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3	The beauty of numbers
4	From neurons to perception
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10	Written by
10	written by
11	Yuxuan Cai
12	
13	Promotor: prof. dr. S. O. Dumoulin
14	Copromotor: dr. S. Hofstetter
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### 73 The "number sense"

74 Number, like color and movement, is a basic element of the environment. The cognition of 75 number, including **non-symbolic** and **symbolic number processing**, is therefore ubiquitous and necessary in daily life. Human and animals share the ability to process non-symbolic 76 77 number information, namely, **numerosity** (i.e., the set size of a group of items). This ability is present in pre-lingual infants (Hyde & Spelke, 2011; Izard, Sann, Spelke, & Streri, 2009), birds 78 79 (Emmerton, Lohmann, & Niemann, 1997), fishes (Agrillo, Dadda, Serena, & Bisazza, 2008) 80 and insects (Dacke & Srinivasan, 2008) and helps to guide humans and animals' behavior and 81 decisions. Thus, numerosity perception is believed to be a 'number sense', an intuitive understanding of countable quantities across species (D. C. Burr, Anobile, & Arrighi, 2018a; 82 S. Dehaene, 2001; Andreas Nieder, 2021). Numerosity perception is critical for navigating the 83 world, exploiting food sources and avoiding predation (e.g., a monkey processes numerosity 84 85 and chooses the tree branch with most fruit, as showed in Figure 1.1). Therefore, the neural 86 mechanism of numerosity perception is of great interest to psychologist and neuroscientists 87 and has been studied with various techniques, including electrophysiology (Andreas Nieder, 88 Freedman, & Miller, 2002a; Sawamura, Shima, & Tanji, 2002), neuroimaging (Eger, Sterzer, 89 Russ, Giraud, & Kleinschmidt, 2003; Fornaciai, Brannon, Woldorff, & Park, 2017; Piazza, 90 Izard, Pinel, Le Bihan, & Dehaene, 2004), computational modelling (S. Dehaene & Changeux, 1993; B. M. Harvey, Klein, Petridou, & Dumoulin, 2013) and more recently, deep learning 91 92 algorithms ((Kim, Jang, Baek, Song, & Paik, 2021; Nasr, Viswanathan, & Nieder, 2019; Stoianov & Zorzi, 2012; Zorzi & Testolin, 2018). 93



- Figure 1.1 A cartoon example of a monkey processes the number of fruits on a tree.
  Numerosity perception is critical to guide human and animals' behavior and decision in daily
  life.
- 98

### 99 Neural mechanism of numerosity perception

100 Evidence from single-cell recordings

By recording spike rates in single neurons of non-human primates, electrophysiological studies 101 102 have found neurons tuned to numerosity in the intraparietal areas (A. Nieder & Miller, 2004; 103 Andreas Nieder et al., 2002a; Sawamura et al., 2002). The response curves of these neurons 104 show a 'bell-shaped' coding scheme, peaking at a specific numerosity (their preferred 105 numerosity), regardless of the physical appearances of the items (Andreas Nieder & Dehaene, 2009). These neurons respond maximally to their preferred numerosities and decrease their 106 107 responses as the distance increases from this numerosity. Later studies found numerosityselective neurons also in crows (Ditz & Nieder, 2015) and humans (neurosurgical patients) 108 109 (Kutter, Bostroem, Elger, Mormann, & Nieder, 2018).

110

# 111 Evidence from neuroimaging studies

112 With the development of functional magnetic resonance imaging (fMRI), we are able to noninvasively explore the neural mechanism of numerosity perception in healthy participants. 113 114 Combining fMRI and adaptation paradigms, Piazza and colleagues (2004) have demonstrated a compressed coding for numerosity in the human intraparietal sulcus, alike to the tuning 115 116 curves observed in single neurons. Later on, Eger and colleagues (2009) deciphered distinct 117 patterns for symbolic and non-symbolic number formats from human brain activity and the 118 patterns evoked by numerosity changed in a gradual fashion as a function of numerical distance, 119 suggesting an orderly layout of numerosity representations. Moreover, discriminability of 120 numerosity-evoked fMRI activity patterns in the human intraparietal cortex was found to be 121 associated with behavioral enumerations (Lasne, Piazza, Dehaene, Kleinschmidt, & Eger, 122 2019).

123

# 124 Evidence from computational modelling and deep learning

Based on the evidence from neurophysiology and neuroimaging, our colleagues have since
used population receptive field (pRF) modelling (Dumoulin & Wandell, 2008) and ultrahigh field (UHF) fMRI (i.e. 7 Tesla) to explore numerosity representations in the human brain

128 (B. M. Harvey et al., 2013). A Gaussian function defined by a set of parameters was utilized

129 as a neural model to describe the tuning curve of numerosity-selective neural populations. More specifically, preferred numerosity is indicated by the peak of the tuning function and the **tuning** 130 131 width is indicated by the full-width at half maximum of the Gaussian. In that study, our colleagues initially found that numerosity-selective neural populations are organized in the 132 133 human parietal cortex in a **topographic map** where preferred numerosity changes gradually 134 and orderly across the cortical surface (Figure 1.2). Such topographic organization was later found throughout the human brain, at the temporal-occipital cortex (NTO), the parietal-135 occipital cortex (NPO), the parietal cortex (NPC1 – NPC3), and the frontal cortex (NF), 136 137 forming a network of numerosity maps (Ben M. Harvey & Dumoulin, 2017a). In this thesis, we refer to these maps as NTO (numerosity maps at the temporal-occipital cortex) and so forth, 138 139 as defined in previous studies (Ben M. Harvey & Dumoulin, 2017a; Hofstetter, Cai, Harvey, & Dumoulin, 2021; Tsouli, Cai, et al., 2021) and following naming conventions of newly 140 discovered visual field maps in human cortex (Wandell, Dumoulin, & Brewer, 2007). 141

More recently, with the popularity and wide applications of deep learning algorithms, computational research using artificial deep neural networks models have shown numerositytuned responses (Nasr & Nieder, 2021; Nasr et al., 2019; Zorzi & Testolin, 2018), even in networks with no training (Kim et al., 2021).

Thus, converging evidence demonstrated a specialized neural system for processing numerosity and that numerosity-tuned neurons are the core of this network. In this thesis, we used pRF modelling approach and UHF fMRI to explore the neural mechanism of numerosity, assess the properties of the numerosity-tuned neural populations along the topographic maps, and establish links between neural tuning and behavioral perception of numerosity.



151

**Figure 1.2 Topographic numerosity map in the human parietal cortex.** (A) The variance explained by the model highlighted a region in the right parietal cortex where the neural populations demonstrated numerosity tuning. The black square is enlarged in B. (B) Preferred numerosities of the neural populations increased from medial to lateral ends (white lines) of the region of interest (black and white lines). Adapted from (B. M. Harvey et al., 2013).

157

# 158 Distinct behavioral performances on small and large numerosities

159 It is well-documented that discrimination on a small number of items, typically less than four 160 items (known as subitizing), is fast and error-free (Kaufman & Lord, 1949). This is distinct 161 from the behavioral performance on large numerosities (known as estimation), which is more 162 time-consuming and error-prone as numerosities increase (Trick & Pylyshyn, 1994) (Figure 163 1.3A). Thus, small and large numerosities are thought to be processed under two separate 164 systems (Feigenson, Dehaene, & Spelke, 2004; Revkin, Piazza, Izard, Cohen, & Dehaene, 2008; 165 Xu, 2003). However, this theory is not universally accepted. Neurophysiological evidence 166 suggests that a single mechanism underlies small and large numerosities, given that the tuning 167 curves of neurons preferring small and large numerosities are encoded in logarithmic scale in the same way (Figure 1.3B) (Ditz & Nieder, 2016b; A. Nieder & Merten, 2007). Thus, an 168 169 alternative theory suggests that an approximate number system (ANS) represents all 170 numerosities (Ditz & Nieder, 2016a; Merten & Nieder, 2009; Andreas Nieder & Miller, 2004b). 171 To test these two hypotheses, we investigated the representation of small and large numerosities in the human brain in Chapter 2. We speculated that the discrepancy between the 172 173 subitizing and estimation ranges may reflect neural tuning properties of numerosity 174 representation.



175

#### 176 Figure 1.3 Two theories of neural mechanisms underlying small and large numerosities.

(A) Enumeration of up to four items (subitizing) is error free, while enumeration of larger
numerosities (estimation) is error prone. Based on this distinct behavioral performance, two
systems are thought to process small and large numerosities. Adapted from (Tsouli, Harvey, et
al., 2021). (B) Single-cell recordings show similar tuning curves for small and large
numerosities, suggesting a single mechanism underling small and large numerosities
representations. Adapted from (Ditz & Nieder, 2016a).

183

### 184 The role of attention in numerosity perception

185 Another argument derived from the discrete performances in the subitizing and estimation 186 ranges is whether attention is required in numerosity perception. Due to the quick and accurate 187 judgements, subitizing has been assumed to be pre-attentive (Trick & Pylyshyn, 1993, 1994), that is, we perceive the numerosity even when attentional focus is not directed to the 188 189 numerosity feature (i.e., feature attention) (Castaldi, Piazza, Dehaene, Vignaud, & Eger, 190 2019), or to where the stimulus is presented (i.e., spatial attention) (Hesse, Schmitt, 191 Klingenhoefer, & Bremmer, 2017). Nevertheless, recent psychological studies suggested that 192 numerosities in subitizing but not estimation range require attentional resources (Anobile, Turi, 193 Cicchini, & Burr, 2012; D. C. Burr, Turi, & Anobile, 2010) and that attention affects enumeration of both small and large numerosities (Vetter, Butterworth, & Bahrami, 2008). 194 195 Some studies used explicit numerosity tasks that require participants' attention to numerosity, for example by discriminating two numerosities (Pomè, Anobile, Cicchini, Scabia, & Burr, 196 197 2019). Whereas some studies did not require numerosity judgements but require participants 198 to perform tasks based on other aspects of the stimuli, for example detecting color changes of 199 the presented dots (B. M. Harvey et al., 2013; Viswanathan & Nieder, 2013). Whether participants were involved in a numerosity task or not, they were exposed to the stimulus and 200 201 attended to some features of the stimulus. We refer to such attentional control that humans use 202 cognitive information (e.g., cues) to direct attention to relevant objects (targets) in a visual 203 scene as endogenous attention, which can be considered as a top-down processing. 204 Alternatively, participants could also have little or no control over their attention during 205 perceptual processing. For example, our attention is easily and involuntarily drawn to 'oddball' 206 stimuli that are very different from the background (e.g., a deviant numerosity within a 207 sequence of equal stimuli) (Hesse et al., 2017). We refer to this stimulus-driven attention as 208 exogenous attention, which can be considered as a bottom-up processing (Corbetta & 209 Shulman, 2002).

210 We live in a complex world in which a single scene may have multiple objects with different numerosities. For example, a monkey may be asked to choose from a pile of fruits, 211 212 e.g., three bananas and two oranges (Figure 1.4A). How does numerical information of the monkey's interest and attention ('two bananas') is extracted from the visual scene and what are 213 214 the neural responses to the numerical information that is not attended ('three oranges')? In all 215 the studies mentioned above, only one set of items was used, that is, the dots displayed in the 216 visual scene were perceived as one single set. Thus, attention to the stimulus is inseparable and 217 directed to one numerosity in the visual scene all the time. To mimic the dynamic natural 218 environment and explore the role of attention in numerosity perception, we used complex 219 numerosity stimuli consisting of two dot subsets (Figure 1.4B), and manipulated attentional 220 focus towards either one of the two subsets (Chapter 3). In this experimental design we were 221 able to assess attentional modulation on numerosity responses and explore the neural responses 222 to the unattended subset.



223

- Figure 1.4 Examples of complex numerosity stimuli in (A) daily life and in (B) schematicexperimental design.
- 226

# 227 Towards symbolic number processing

228 As discussed above, humans and animals share the ability to process non-symbolic numerosity, 229 while only humans possess the unique ability to process **symbolic numbers** (Figure 1.5). This 230 ability is attained via learning of abstract symbols such as Arabic numbers, number words, 231 math and so forth, which relies on language development and education (Ansari, 2008; 232 Halberda & Feigenson, 2008). Whether non-symbolic numerosity and symbolic number are 233 represented in a common abstract coding scheme is a longstanding debate (Ansari, 2007; 234 Andreas Nieder, 2004). Based on the distinct perceptual consequences, some researchers 235 propose two independent systems: an approximate system for non-symbolic numerosity and 236 an exact system for symbolic number (X. He, Guo, Li, Shen, & Zhou, 2021; Marinova, 237 Sasanguie, & Reynvoet, 2021; Sasanguie, De Smedt, & Reynvoet, 2017). Moreover, recent evidence from single-cell recordings on neurosurgical patients showed distinct neurons tuned 238 to number symbol and numerosity in the medial temporal lobe (Kutter et al., 2018). Yet, an 239 240 alternative hypothesis suggests that numerosity and symbolic number are interconnected. 241 Specifically, numerosity perception is thought to be the precursor to the development of symbolic numerical cognition (Halberda, Mazzocco, & Feigenson, 2008; Andreas Nieder, 242 243 2020a, 2020b; Piazza, 2010). FMRI adaptation studies have revealed similar number-evoked 244 activation in the intraparietal cortex of both hemispheres, using Arabic numbers (Naccache, Dehaene, Inserm, Hospitalier, & Joliot, 2001) or dot arrays (Piazza et al., 2004) In addition, 245 246 cross-notation fMRI adaptation has also been observed in the human parietal and prefrontal cortex (Piazza et al., 2007, but see (Cohen Kadosh et al., 2007). As mentioned above, 247 248 numerosity-tuned neural populations are organized in networks of topographic maps across the 249 human cortex. With the advance of pRF modelling and UHF, in Chapter 4 we investigated 250 whether the numerosity maps are also involved in symbolic number processing.



251

Figure 1.5 Examples of non-symbolic numerosity (e.g., a dot array) and symbolic number
stimulus (e.g., an Arabic number 3).

254

#### 255 Numerosity maps at standard field strength of **3**T

256 The field of cognitive neuroscience is weighing evidence about whether to move from the 257 current standard field strength of 3T to UHF of 7T and above. The MRI systems operating at 258 UHF provide greatly increased signal-to-noise (SNR) and sensitivity to blood oxygenation 259 level dependent (BOLD) contrast (van der Zwaag, Schäfer, Marques, Turner, & Trampel, 2016; 260 Viessmann & Polimeni, 2021; Yacoub et al., 2001), which increases the popularity of UHF at 7T and above in cognitive neuroscience. So far, numerosity maps have only been detected at 261 7T (B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; 262 263 Hofstetter & Dumoulin, 2021; Tsouli, Cai, et al., 2021) and whether it is possible to be 264 reconstructed at 3T remains unknown. Thus, in Chapter 5 we investigated whether the

numerosity maps could be detected at 3T and to what extent 7T outperforms 3T in terms of the
model predictive power. We predicted that more data points would be required to reconstruct
robust numerosity maps using 3T data (for example by averaging more functional runs).
Though MR physicists and engineers have extensively compared MR systems operating at
different fields, this study aim to contribute to the field by providing evidence from the
perspective of cognitive neuroscience.

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318	B. M. H and S. O. D wrote the paper. All authors commented on the paper. S. O. D. and S. H.
319	provided supervision.
320	
321	Supplementary materials for this chapter can be found in Appendix A.
322	

#### 323 Abstract

Numerosity, the set size of a group of items, helps guide behaviour and decisions. Non-symbolic numerosities are represented by the approximate number system. However, distinct behavioural performance suggests that small numerosities, i.e. subitizing range, are implemented differently in the brain than larger numerosities. Prior work has shown that neural populations selectively responding (i.e. hemodynamic responses) to small numerosities are organized into a network of topographical maps. Here, we investigate how neural populations respond to large numerosities, well into the ANS. Using 7T fMRI and biologically-inspired analyses, we found a network of neural populations tuned to both small and large numerosities organized within the same topographic maps. These results demonstrate a continuum of numerosity preferences that progressively cover both the subitizing range and beyond within the same numerosity map, suggesting a single neural mechanism. We hypothesize that differences in map properties, such as cortical magnification and tuning width, underlie known differences in behaviour. 

#### 357 Introduction

Perception of numerosity (the set size of a group of items) guides human and animal behaviour 358 359 and decisions(D. Burr & Ross, 2008; S. Dehaene, 2001; Andreas Nieder, 2020b; Andreas Nieder & Dehaene, 2009). Both humans and animals perceive numerosity over a wide 360 361 numerical range. The approximate number system (ANS) is a core system that is commonly 362 recognized to process non-symbolic number (i.e. numerosity) and relates to symbolic number processing(Gallistel & Gelman, 1992; Meck & Church, 1983). The ANS is thought to produce 363 364 an intuitive "number sense" across species(Cantlon & Brannon, 2006) and throughout human 365 development(Izard et al., 2009), and represent increasing numerosities with decreasing 366 precision in accord with Weber's law (Whalen, Gallistel, & Gelman, 1999). Primarily based 367 on the distinct behavioural performances, a separate system termed object tracking system 368 (OTS) (Storm & Pylyshyn, 1988) is thought to process small numerosities, typically up to four, 369 known as subitizing range(Kaufman & Lord, 1949). This system is thought to be distinct from 370 larger numerosities, known as estimation range (Trick & Pylyshyn, 1994). Evidence supporting 371 the distinct systems for numerosity processing comes from the discontinuous behavioural 372 performances, such as reaction time and accuracy, and distinct neural signatures(Anobile, 373 Cicchini, & Burr, 2016; Feigenson et al., 2004). For example, numerosity judgements within 374 the subitizing range yields accurate enumerations, which fails for larger numerosities, and may 375 violate Weber's law(Revkin et al., 2008).

376 However, the separate numerosity systems are not universally accepted(Chesney & Haladjian, 2011; Cordes, Gelman, Gallistel, & Whalen, 2001; Andreas Nieder & Miller, 377 378 2004b). Neurophysiological studies on non-human primates found neurons that selectively 379 respond to different numerosities(Andreas Nieder, Freedman, & Miller, 2002b; Sawamura et 380 al., 2002). These numerosity-selective neurons respond to small and large numerosities with 381 similar logarithmic tuning functions as human(Piazza et al., 2004). Single neuron recording 382 studies conducted on monkeys and crows found no sudden change in the behavioural 383 performance and no distinct neural responses between small and large numerosities(Ditz & 384 Nieder, 2016a; Andreas Nieder & Miller, 2004a). Moreover, numerosity discrimination follows Weber's law in both small and large numerosities(Ditz & Nieder, 2016b; A. Nieder & 385 386 Merten, 2007). Thus, these studies suggest that there is no need to assume separate systems for 387 small and large numerosities.

Here we investigate the neural mechanisms underlying the representation of small and large numerosities in the human brain. We refer to the numerosity ranges as small and large, because subitizing range varies between participants and we did not tailor our experiment for individual participants(Mandler & Shebo, 1982). We measured BOLD responses of
numerosity-selective neural populations within functional magnetic resonance imaging (fMRI)
recording sites(B. M. Harvey et al., 2013). We have previously shown these populations to
respond maximally to numerosities in a small range (i.e. 1 to 7) and to be arranged in orderly
topographic maps(Ben M. Harvey & Dumoulin, 2017a). Here we measure their responses to a
wider range of numerosities, well into the ANS (i.e. 1 to 64).

397 Based on prior knowledge about topographic maps(Devoe et al., 1996; Wandell et al., 2007) and numerosity processing(S. Dehaene, 2003; Andreas Nieder, 2016), we will evaluate 398 399 two hypotheses. First, small and large numerosities may be processed in distinct cortical 400 regions. We have previously described neural populations responding maximally to small 401 numerosities in an extensive network of topographically organized brain areas(B. M. Harvey 402 et al., 2013; Ben M. Harvey & Dumoulin, 2017a). As perception of larger numerosities shows 403 some different properties, such as more time-consuming and error-prone, larger numerosities 404 may produce responses in distinct neural populations in a distinct set of areas. Second, neurons 405 responding maximally to large numerosities could be placed in the same topographic map, i.e. 406 along the systematic topographic progression including both the small and large ranges. This 407 would be akin to stimulating greater eccentricities in the same visual field map(Wandell et al., 408 2007). Even if small and large numerosities are represented at the same topographic map, there 409 may still be perceptual differences between small and large numerosities. For example, central 410 versus peripheral vision are processed in the same topographic visual field map, but their perception differs considerably(Wandell et al., 2007). Following this hypothesis, neural 411 412 populations responding to large numerosities may display distinct properties, such as broader 413 tuning, thus leading to different perceptual properties.

414 We investigate these hypotheses using ultra-high field (7 Tesla) fMRI and population 415 receptive field (pRF) modelling(Dumoulin & Wandell, 2008). We measured BOLD response 416 of neural populations that tuned to small and large numerosities and compared estimated neural 417 numerosity preferences to investigate how different numerosity ranges are represented in the 418 brain. We find that both numerosity ranges are represented in the same topographic maps, and 419 we suggest that differences in neural response selectivity and topographic map properties, such 420 as tuning width and cortical magnification respectively, underlie the different perceptual and 421 behavioural properties of small and larger numerosities.

422

### 423 Results

424 Neural populations in the same cortical regions respond to small and large numerosities

425 When participants viewed the small numerosity range, i.e. 1 to 7, we found neural populations 426 tuned to these small numerosities. These neural populations were organized in a network of 427 topographic numerosity maps in line with our previous observations(B. M. Harvey et al., 2013; 428 Ben M. Harvey & Dumoulin, 2017a). This network consisted of six numerosity maps, in the 429 temporo-occipital cortex (NTO), parieto-occipital cortex (NPO), parietal cortex (NPC1-3), and in the superior frontal cortex (NF) (Figure 2.1A & B). Within each map, the numerosity-430 431 selective neural populations changed gradually along the cortical surface in their preferred 432 numerosity (the numerosity producing the largest response in each population). For example, 433 in NTO (Figure 2.1A), neural populations preferring smaller numerosities clustered at the inferior temporal gyrus while numerosity preferences increased posteriorly along the map 434 435 (white lines). When participants viewed the large numerosity range, i.e. 1 to 64, we found a similar network of topographic numerosity maps as the one derived from viewing the small 436 437 range (Figure 2.1B). Similar networks of topographic numerosity maps were also found in all 438 other participants (Supplementary Figure 2.1B.

439 To illustrate the tuned responses, we extracted the response time courses of two 440 example recording sites (voxels) elicited by viewing the small (Figure 2.1A, C & E) and large 441 (Figure 2.1B, D & F) numerosity ranges. These example sites are located in the anterior and posterior regions of the NTO map (Figure 2.1A & B). For the anterior recording site, the neural 442 response models captured more than 80% of the response variance in both conditions (Figure 443 444 2.1C & D). This site had similar preferred numerosities in both conditions, i.e. 2.2 and 2.3 445 respectively (Figure 2.1G & H). When viewing the small numerosity range, the posterior 446 recording site's response increased monotonically over the presented range, reflecting a 447 preferred numerosity above 7 (Figure 2.11). However, this preferred numerosity could not be 448 determined accurately as this response reached a maximum beyond the presented range (Figure 449 2.1E). When viewing the large numerosity range, the maximum response occurred at the 450 presentation of larger numerosities (above 7) (Figure 2.1F). As this maximum was within the 451 large stimulus range, this allowed us to determine the preferred numerosity at 16 (Figure 2.1J). 452 This demonstrates that neural populations with larger preferred numerosities are found near those with the small preferred numerosities at the same cortical area. 453





Figure 2.1. Neural population responses to small and large numerosities. A & B Cortical surface rendering of the right hemisphere shows a similar network of numerosity maps in both presented ranges. Preferred numerosities of cortical recording sites, estimated from responses to the small range (A) and the large range (B) for recording sites with over 30% of variance explained by the neural response model. Black lines outline the lateral borders of individual numerosity maps. The borders denoting the lowest and the highest preferred numerosities in

461 each map are marked by white lines. C & D An example fMRI recording site in anterior NTO shows different fMRI time courses (dots) for small (C) and large (D) numerosity ranges. Both 462 time courses are similarly well captured by the predictions (coloured lines) of similar neural 463 464 response models. Dots represent mean response amplitudes; error bars represent the standard 465 errors over repeated measurements (n = 4). The presented numerosities are indicated at the top 466 of the graph. E & F An example fMRI recording site in posterior NTO shows a higher preferred numerosity. This response does not reach a maximum in the small numerosity range (E). Dots 467 468 represent mean response amplitudes; error bars represent the standard errors over repeated 469 measurements (n = 4). G & H For both numerosity ranges, the anterior NTO site's response is 470 predicted by similar neural response models. I & J For the large numerosity range, posterior 471 NTO site's response is well predicted by a neural response model (J). However, this sites' preferred numerosity is above the small numerosity range, so it could not be determined 472 473 accurately (I), produces only low-amplitude responses and yields poorer model fits (E) with 474 this range. Preferred numerosity is indicated by the highest response amplitude in the neural 475 model, and tuning width is indicated by the full width at half maximum (FWHM). The neural 476 response model within the presented range is shown with solid lines, outside the range with 477 dashed lines.

478

### 479 Selectivity of neural populations remains stable

480 We found strong correlations between the preferred numerosities estimated from the two 481 numerosity ranges, especially for the overlapping portion (Figure 2.2A & B), in all maps and 482 all participants (Supplementary Figure 2.2). We selected these preferred numerosities estimates 483 based on two criteria: variance explained exceeded 30% and the preferred tuning fell within 484 the presented ranges (i.e. 1-7 and 1-64 for the small and large ranges, respectively). This indicates a similar spatial organization of numerosity preferences between the two conditions, 485 486 though it does not test how similar these preferences are. To quantify their similarity, we 487 computed the extent to which the distribution of preferred numerosities estimated from the 488 small and large ranges deviated from the unity line (where the two estimates are identical), i.e. 489 the percentage deviation, for each map in each participant (see Methods). Zero percentage 490 deviation indicates identical preferred numerosity estimates between conditions. A Wilcoxon's 491 signed rank test showed that the percentage deviations of all the maps across participants were 492 significantly above zero (two-sided, p = 0.0006, z = 3.4, df = 47) (Figure 2.2C). This 493 demonstrated that preferred numerosities were significantly larger when estimated from the 494 large numerosity range. However, the median percentage deviation was only around 3.59%,

far smaller than the change in mean presented numerosities (454%), so, though significant, the effect size is small. ANOVA analyses of the percentage deviations in all the maps and participants demonstrated a significant effect of participant, but no effect of map and no interaction. Post-hoc analysis showed that only one participant had a significantly different percentage deviation from other participants (two-way ANOVA;  $F_{(7,47)} = 13.36$ , p =3.0 x 10<sup>-8</sup>, followed by post hoc analysis, Bonferroni corrected for multiple comparisons) (Figure 2.2D).

501 Furthermore, we performed a cross validation analysis (see Methods). To estimate the model's predictability and reliability, we fit pRF estimates on one half split dataset to the 502 503 response elicited by the other half split dataset and computed the cross-validated variance 504 explained (i.e.  $cvR^2$ ) of the two conditions, respectively (within-condition cross validation). 505 Next, we fit pRF estimates on small numerosity to the response elicited by large numerosity 506 and computed the  $cvR^2$ , and vice versa (cross-condition cross validation). We use the format of "pRF predictor  $\rightarrow$  test data" (e.g., "large  $\rightarrow$  small") to indicate using data from large 507 numerosity range to predict data acquired while viewing small numerosity ranges. We 508 averaged the  $cvR^2$  from all the possible iterations: "small  $\rightarrow$  small", "small  $\rightarrow$  large", "large 509  $\rightarrow$  large" and "large  $\rightarrow$  small" cross validations, respectively. We then performed a within-510 subject two-way ANOVA analysis to compare the cvR<sup>2</sup> between within- and cross-condition 511 512 validations. There were no significant differences (p > 0.025, two-sided, Bonferroni corrected for multiple comparisons). As Figure 2.2E shows, all of the half-split datasets show 513 514 considerably high predictive power, suggesting that the pRF estimates are similar across conditions. The results of cross validation analyses also show strong correlations between 515 516 preferred numerosity estimated from the two ranges and a slight increase of numerosity 517 preference at the large range. (Supplementary Figure 2.5A-B).



Figure 2.2. Relationship between numerosity preferences estimated from small and large 519 ranges indicates similar numerosity selectivity and topographic progressions. (A) 520 521 Participant 1's NTO (see the maps in Figure 2.1A & B) numerosity preferences estimated from 522 the two ranges were strongly correlated (see legend of the Pearson correlation coefficients and 523 statistical significance). Dots show the estimates from individual recording sites (variance 524 explained > 30%), the blue line shows the linear fit between the two estimates, the dashed line 525 shows unity (i.e. identical preferences). (B) Linear fits from all six of this participant's maps. These also reflect strong correlations in each map (see legends), indicating a similar spatial 526 527 organization of estimated numerosity preferences, and are consistently above the unity line. (C) Bars show averaged percentage deviation quantifying the difference between the slopes of 528 529 the linear fits (in B) and the unity line for each map. Error bars show the standard errors of the 530 mean over participants (n = 8). A two-sided Wilcoxon signed rank test shows the percentage 531 deviation of all these maps were above zero (z = 3.4, p = 0.0006, df = 47), suggesting a slight 532 increase of preferred numerosity estimates at the large range. (D) Bars show averaged 533 percentage deviation (same as in C) for each participant. Error bars show the standard errors 534 of the mean (n = 6). Post hoc analysis shows significant difference between participant 6 and 535 other participants (Bonferroni corrected for multiple comparions; \* indicates  $p = 3.0 \times 10^{-8}$ ). 536 (E) Bars represent averaged cross-validated variance explained of the within- and cross-537 condition cross validation datasets. Error bars indicate standard errors of the mean over

518

participants (n = 8). Within-subject two way ANOVA analysis shows no significant differences between the cross validation datasets (p > 0.025, two-sided, Bonferroni corrected for multiple comparisons). Source data are provided as a source data file.

541

## 542 More cortical area devoted to smaller numerosities

The change of numerosity preferences along each map was quantified by measuring the distance of each data point from the borders of the map with the highest and the lowest numerosity preferences (white lines in Figure 2.1A & B, see Methods). The numerosity preference progressed systematically along the cortical surface (Fig. 3a). Consistent with previous studies(B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a), we found a cortical magnification effect, with less cortical surface responding to larger numerosities, in all the maps of all the participants (Figure 2.3B, Supplementary Figure 2.3).

550 To visualize the location of populations with large numerosity preferences (above 7), we calculated the proportion of large numerosity preferences in each 10% cortical distance 551 552 interval. As shown in Figure 2.3C, neural populations tuned to large numerosities are located 553 towards the end of the maps. This suggests that numerosity preferences progressed from small 554 to large continuously along the same topographic map. Last, we found a significant correlation 555 between the size of the maps (cortical distance) and the largest preferred numerosity in these maps (r = 0.51, p = 0.0003; Figure 2.3D). This suggests that tuned responses to larger 556 557 numerosities are more detectable in larger maps. Using cross validation datasets, similar 558 systematic progressions were found across all maps and all participants (Supplementary Figure 559 2.5C).



560

Figure 2.3. Visualization of the large numerosity preference locations. (A) Cortical 561 562 progression of small (dark blue) and large (light blue) preferred numerosities with the cortical distance (between the white lines in Figure 2.1A & B) across participant 1's NTO map. The 563 564 preferred numerosity increased systematically for both conditions. Points represent the mean preferred numerosity in each distance bin (every 2 mm); error bars showing standard errors of 565 566 the mean over data points within each bin. Coloured lines show the best logarithmic fits. (B) 567 Progression of numerosity preferences estimated from the large range as a function of 568 normalized cortical distance in all the numerosity maps of participant 1. The black line shows 569 the best logarithmic fit that bins the data points from all the maps across normalized cortical 570 distance. Shade area shows the 95% confidence interval determined by bootstrapping fits (n =571 1.000) to the binned points and p-values indicate the probability of the observed change from 572 permutation analysis (n = 10,000), in both panel A & B. (C) Proportion of tuned responses to 573 large preferred numerosities (above 7) for each 10% interval of normalized cortical distance in 574 all maps of all participants. Coloured bars represent the proportion of preferred numerosities 575 ranging from 7-16, 16-32 and 32-64. (D) Map size (cortical distance) correlates with the largest 576 preferred numerosities in the maps, i.e., large maps typically contain larger numerosity 577 preferences. Source data are provided as a source data file. 578

### 579 Tuning width increases with preferred numerosity

To illustrate the change of tuning width with preferred numerosity, we plotted tuning width against preferred numerosity estimated by viewing the large numerosity range (Figure 2.4). Population tuning widths increase with preferred numerosities systematically across all numerosity maps of all the participants (Supplementary Figure 2.4), in line with the observation at the small numerosity range(B. M. Harvey et al., 2013). The cross validated datasets show similar changes of tuning width increase with preferred numerosity (Supplementary Figure 2.5D-E).



587

Figure 2.4. Tuning width changes with preferred numerosity. (A) Tuning width increases 588 589 with preferred numerosity in participant 1's NTO map elicited by the large range. Recording points are binned based on preferred numerosity. Points represent the mean tuning width within 590 591 each bin, error bars represent the standard errors of the mean over all the data points within 592 each bin. Solid line is the linear fit to the bins, weighted by the inverse of the standard error of 593 each bin. (B) Linear fits of tuning width against preferred numerosity of all the numerosity 594 maps averaged across participants (solid coloured lines) and across maps (solid black line). In 595 both panel a & b: dashed lines represent 95% confidence intervals determined by bootstrapping 596 fits to the binned points (n = 1,000). The statistical significance of the slopes was determined 597 with permutation analysis (n = 10,000), indicating the probability of observed tuning width 598 change by chance.

599

### 600 Discussion

We found a network of neural populations tuned to small and large numerosities organized as topographic maps in the same cortical regions. These neural populations exhibit stable numerosity selectivity regardless of presented numerosity range. When the participants were viewing the large range, i.e. 1 to 64, we found populations with larger numerosity preferences (above 7) located at the end of the maps (near higher preferences within the small range). These 606 numerosity maps exhibit features akin to maps for primary sensory organs (retinotopic maps, 607 tonotopic maps and somatotopic maps), such as a larger extent of cortical surface devoted to 608 smaller numerosities, i.e. cortical magnification(Ben M. Harvey & Dumoulin, 2011; Sereno et 609 al., 1995). These results demonstrate a continuum of small and large numerosity preferences 610 within the same numerosity map. We therefore propose a single neural mechanism for the ANS 611 up to numerosities of 64. We suggest that small and large numerosities are encoded in the same neural tuning, nevertheless, small and large numerosities differ in their cortical representations. 612 613 We speculate that the differences of the map properties, such as cortical magnification and 614 tuning width, may underlie the different behavioural and perceptual qualities of small and large 615 numerosities.

For the overlapping numerosities between the small and large ranges, i.e. 1 to 7, the numerosity maps were similar. When stimulating with larger numerosities (above 7), the numerosity maps extended in the direction of the higher preferences within the small range condition in a continuous fashion. This is akin to visual field maps, when stimulating with a greater eccentricity a larger proportion of the map is revealed(Wandell et al., 2007). Likewise, a wider numerosity range reveals a larger proportion of the numerosity map.

622 We propose that there are two main theories to explain the results. On one hand, we 623 speculate that the numerosity tuning remains stable but that the stimulus range influences the 624 numerosity responses. A single recording site (1.75 x 1.75 x 1.75 mm<sup>3</sup>) will have about 250,000 625 neurons(Braitenberg, 1998). In line with this notion, the tuning width of the total population 626 within a single recording site is quite large: neural populations tuned to 2 have a tuning width 627 of about 10 (see for example in Figure 2.1G & H). Therefore, we assume that at a single neuron 628 level, different preferred tunings are present in the same fMRI recording site, i.e. the population 629 consists of neurons with different preferred numerosities. In other words, the heterogeneity of 630 the neural population alters the overall numerosity preference depending on the presented 631 range. More specifically, the overall numerosity preference of a recording site is an average of 632 the preferred numerosities of the neural populations within this recording site. For example, at 633 the same recording site, the averaged population tuning would be higher for the large 634 numerosity range because the neurons sensitive to larger numerosities in the recording site will 635 contribute more to the population responses when the larger numerosities are presented, and less when smaller numerosities are presented. We found only a slight increase of preferred 636 637 numerosity at the same recording site (i.e. the slope is slightly above the unity line) when 638 stimulated with the large range, even in the lower portion of the range (i.e. 1-7). However, the 639 overall deviation is small (around 3.59%). This suggests that the majority of neurons within a

640 recording site tend to have similar preferred numerosities. Furthermore, neural tuning 641 estimated from the large range predicts a large signal variation of the responses derived from 642 the small range, and vice versa (Figure 2.2E). Therefore, we suggest that the numerosity 643 preference of single neurons is likely stable, but the heterogeneity of the neural population may 644 give rise to different preferred numerosity estimations when the stimulus changes.

645 On the other hand, another possible explanation is that the tuning of neural population depends on the presented stimuli and the numerosity maps are dynamic remapping of the tuning 646 647 properties. Previous studies have demonstrated that numerosity is susceptible to adaptation 648 akin to primary sensory perceptions(D. C. Burr, Anobile, & Arrighi, 2018b; D. Burr & Ross, 649 2008; Piazza et al., 2004). Recently, Tsouli et al.(Tsouli, Cai, et al., 2021) found that 650 numerosity adaptation altered the preferred numerosity within the numerosity map, resulting a 651 predominantly attractive biases towards the numerosity of the adaptor. Moreover, the 652 adaptation effect increases as the numerical distance between the unadapted preferred 653 numerosity and the adaptor increases. Let us assume that the neural population at a recording 654 site responded selectively to the numerosity 4. When stimulated repeatedly and sequentially 655 with larger numerosities (e.g. 8-64), the preferred numerosity of the neural population could 656 shift to a higher number, due to the attractive bias of adaptation towards the larger numerosities. 657 Thus, the numerosity maps would show some systematic changes in numerosity preference depending on the numerosity range, i.e. dynamic remapping of the neural population tuning 658 659 properties. As we note in the Methods, our stimulus sequence presented the numerosities 660 changed systematically in both ascending and descending directions and the small and large 661 ranges were interleaved during scanning. By doing so, we aim to balance opposing effects of 662 preceding lower and higher numerosities and habituation effects of the small or large range. 663 Furthermore, as Supplementary Figure 2.1 shows, stimulating with only large numerosities 664 (>7) resulted in poor estimates of the maps and only elicited responses at the maps consisting 665 of neural populations tuned to larger numerosities. This suggests that the neural population 666 tuning is less likely to change dynamically to follow the presented stimulus. Thus, though we 667 cannot exclude context-depending remapping, we are not convinced of this theory given the possibility of range-dependent differences in the contributions of different parts of a 668 669 heterogeneous neural population (the first theory). Therefore, we favour the interpretation that 670 under our stimulus design the numerosity tuning remains predominantly stable.

In line with our findings, the stability of numerosity selectivity is also evident at a
temporal scale. At a single neuron level, neurophysiological recordings on non-human primates
demonstrated that numerosity-selective neurons maintain reliable tunings after the numerosity

stimulations disappear(Andreas Nieder et al., 2002b; Andreas Nieder & Miller, 2003). 674 Similarly, stable numerosity selectivity is also found in corvid birds when retaining information 675 of numerosity in working memory and the neuronal activity during the delay period could 676 predict behavioural performance(Ditz & Nieder, 2015). These findings suggest that tuned 677 678 responses of numerosity-selective neurons are stable across time, at least they hold information 679 of the pre-presented numerosity in working memory. This enables a reliable neural system to 680 maintain information temporally to deal with the task demand. Together with our findings, we 681 suggest that numerosity tunings are stable, providing a reliable neural system for numerosity 682 perception at the cortical representation and temporal processing scales.

683 The largest preferred numerosities detected in the numerosity maps were smaller than 684 the largest presented numerosity (i.e. 64), and these neural populations are found located at the 685 end of the map. In addition, stimulating with only larger numerosities (i.e. above 7) does not 686 reveal the complete maps, or a clear topographic progression, but mainly produces responses 687 at the sites where the maps have neural populations tuned to large numerosities (Supplementary 688 Figure 2.1C). There were few responses to larger numerosities beyond 12. This could be 689 interpreted as evidence that the cortical encoding is different for larger numerosities than 690 smaller ones. However, fewer responses to large numerosities does not necessarily mean there 691 are no neurons responding to these large numerosities. Evidence from single neuron recordings 692 demonstrate neurons selective for large numerosities (A. Nieder & Merten, 2007). In our study, 693 neurons with tuning to very large numerosities may be hidden in the overall neural populational 694 response dominated by neurons tuned to smaller numerosities. Therefore, we suggest that small 695 and large numerosities are represented similarly in terms of their neural tunings.

696 Furthermore, based on our observations, less cortical area is devoted to representing 697 larger numerosities. We assume that the largest numerosity we can measure is constrained by 698 the surface area of the numerosity map. For example, the largest preferred numerosity of a 699 given recording site (voxel) is derived by averaging the preferred numerosities of the neural 700 populations within this site. In such a way, the representative preferred numerosity of a given 701 recording site will always be smaller after averaging values from the subpopulations. This 702 could also explain why the size of numerosity map correlates with the potential largest 703 preferred numerosity within the map (Figure 2.3D). If the map is small in size (fewer voxels), 704 we cannot resolve individual populations preferring larger numerosities as they are mixed with 705 those preferring smaller numerosities at the same recording sites. If the maps are larger in size 706 however, we could distinguish the neural populations tuned to larger numerosities and those 707 tuned to smaller numerosities in separated voxels.

708 Furthermore, we propose that the cortical magnification explains why stimulating with 709 only larger numerosities (i.e. above 7) does not reveal the complete maps or topographic 710 progression. We speculate that the cortical magnification factor, i.e. fewer cortical surface area 711 is devoted to larger numerosities, accounts for the fact that fewer representations for larger 712 numerosities (e.g. 16 - 64) were detected. In visual cortex, there is a smaller fraction of cortical 713 surface for representing larger eccentricities(Daniel, Whitteridge, Hospital, & London, 1961; 714 Wandell et al., 2007), likewise, there are evidences point at a similar decline in surface area for 715 representing larger numerosities(B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 716 2017a). Thus, it seems likely that the detection of the largest numerosity was also constrained by the cortical magnification effect of the numerosity map representation. In support of this 717 718 assumption, Cheyette et al. (Cheyette & Piantadosi, 2020) suggested that the limited amount of 719 information processing capability of the underlying neural circuits leads to the inaccurate 720 perception of large numerosity, while a single system represents small and large numerosity.

721 The continuum of cortical representation of small and large numerosities argues for a 722 single numerosity neural representation mechanism, in line with the single enumeration system 723 of the ANS. However, numerosity estimation is fast and accurate for the subitizing range, 724 where some studies report a clear violation of Weber's law(Revkin et al., 2008; Xu, 2003). 725 Enumeration suddenly becomes slow and error-prone beyond this range, showing an increase 726 in reaction time and a decrease in precision(Balakrishnan & Ashby, 1991; Pomè, Anobile, 727 Cicchini, & Burr, 2019). Therefore, this dissociation is held to reflect two separate systems in 728 enumerations at different set sizes(Feigenson et al., 2004). However, reported differences in 729 the dependence for small versus large numbers do not necessary imply the existence of two 730 separate systems. Because for small numerosities the imprecision of the numerosity 731 representation remains below one item while for larger numerosities to achieve the same 732 discrimination precision more numerical distance is required, which results in more overlap 733 and a ratio-dependent effect(Andreas Nieder, 2020a, 2020b).

Although we suggest that a common neural mechanism underlies numerosity 734 representation across a wide range, it may nevertheless have distinct perceptual and 735 736 behavioural consequences between the subitizing and estimation ranges. The fast and accurate 737 perception on small numerosities is because more cortical area of the numerosity maps are 738 devoted to smaller numerosities(B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 739 2017a). This is consistent with the observation in macaque prefrontal cortex that single neurons 740 with smaller numerosity preferences occurred more frequent, with a progressive decrease in 741 frequency toward higher numerosity preferences(A. Nieder & Merten, 2007). This cortical

742 representation of small and large numerosities resembles the logarithmic coding of 743 numerosity(Kutter et al., 2018; Andreas Nieder & Miller, 2003; Piazza et al., 2004). Neurophysiological studies in macaque and corvids show logarithmically numerosity encoding 744 in single neurons(Ditz & Nieder, 2015; Andreas Nieder, 2016). Logarithmic coding allows a 745 746 wide range of numerosities to be encoded, thus increasing the scope of neural representation and perception of numerosity(Dayan & Abbott, 2001). The cortical magnification of 747 748 numerosity maps provides the neural circuits for such a logarithmic coding space. Perception 749 on large numerosities gets inaccurate and takes more time as the tuning width increases with 750 the preferred numerosity. Thus, we speculate that the properties of numerosity representation, such as cortical magnification and tuning width, give rise to distinct perceptual performance 751 752 on small and large numerosities.

753 Despite much evidence for a number sense in humans, there have been arguments about 754 whether numerosity is sensed directly or derived indirectly from other non-numerical 755 information in the stimulus, such as dot size and density(S. C. Dakin, Tibber, Greenwood, 756 Kingdom, & Morgan, 2011; Gebuis & Reynvoet, 2012). One reason why the argument is 757 particularly compelling is that numerosity is intrinsically correlated with many other physical 758 features. For example, we have shown a correlation between the neural tuning of object size 759 and numerosity, with largely overlapping topographic maps. However, object size and 760 numerosity tuning result from distinct mechanisms, indicated by their distinct tuning properties 761 and map organizations(Ben M. Harvey, Fracasso, Petridou, & Dumoulin, 2015). Previous 762 studies from other labs have demonstrated separate mechanisms for perception of numerosity 763 and density(Anobile, Cicchini, & Burr, 2014; Anobile, Cicchini, et al., 2016) that a regime of 764 texture mechanism represents densely packed items that cannot be individuated as separate 765 items. Note that in previous studies(B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 766 2017a), we used various stimulus conditions, such as constant area, constant dot size, constant 767 circumference, high density and various shapes. In these studies, we consistently found topographic numerosity maps in all the stimulus configurations, which suggests that the 768 topographic maps depend on numerosity rather than other stimulus information. We have also 769 770 demonstrated that responses in these maps cannot be explained by neural tuning for these non-771 numerical features(Ben M. Harvey & Dumoulin, 2017b). In the current study, we used a 772 stimulus configurations total surface area held constant across numerosity, ensuring equal 773 luminance in all the numerosity displays. The stimuli were presented in a larger central visual field of  $4^{\circ}$  than the original setting of  $1.5^{\circ}$ , as this configuration allows larger numerosity 774

stimuli to have enough space to individuate each item. But in this stimulus configuration density increases with numerosity and total item perimeter decreases, for example. We believe the response we observe reflect numerosity because this has been conclusively demonstrated in the same maps in our previous studies(B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Ben M. Harvey et al., 2015), although it was not possible to design the experiment to conclusively demonstrate this in the current data with the large numerosity range.

781 Based on these results, we suggest that differences in neural properties within the same 782 topographic map underlie the different cognitive behaviours of numerosity perception. This is 783 commonly seen in visual field maps with perceptual differences between central (foveal) and 784 peripheral vision. Visual field maps show changes in cortical magnification and receptive field 785 size with eccentricity. Specifically, more of the cortical area is devoted to central vision with 786 smaller receptive fields. Such differences in cortical magnification and receptive field size may 787 reflect different perceptual processing requirements(Wandell et al., 2007). Therefore, like 788 visual cortex, we suggest that, not only are topographic maps a core principle of brain 789 organization, but the differential features of cognitive topographic maps underlie differences 790 in cognition.

791

#### 792 Methods

#### 793 Participants

We present data from eight participants (one female, age range 25 – 45 years). All the
participants had normal or correct-to-normal visual acuity. All were well educated, with good
mathematical abilities. Written informed consent was obtained before every MRI session. All
experimental procedures were approved by the ethics committee of University Medical Centre
Utrecht.

799

# 800 Stimuli and experiment design

Visual stimuli were presented on a 69.84 x 39.29 cm LCD screen (Cambridge Research 801 802 Systems) behind the MRI bore. Participant was required to lie still and view the display through 803 a mirror attached to the head coil. The total distance from the attached mirror to the display 804 screen was 220 cm. The display resolution was 1920 x 1080 pixels. Visual stimuli were 805 generated in Matlab using PsychToolbox(Brainard, 1997; Denis G Pelli, 1997). A large 806 diagonal cross composed of thin red lines was displayed consistently across the entire screen, 807 which allows accurate fixation. Participants were asked to fixate the intersection of the cross. 808 Stimuli consisted of a group of dots with a constant total surface area presented in the central

809 4° (diameter) of the visual field. Dots were randomly positioned at each presentation so that 810 each dot fell entirely within this area, to distribute contrast energy equally across the stimulus 811 area for all numerosities (Figure 2.5). Each numerosity presentation that contained the same 812 number of dots was placed in a new, random position, so no specific visual position was 813 associated with any numerosity. To prevent perceptual grouping, individual items were 814 distributed roughly homogeneously across the stimulus area. All of the numerosity 815 presentations were displayed as black or white dots on a grey background. Dot patterns were 816 presented briefly (300ms) to ensure participants did not have time to count. A new random 817 pattern was presented every 650ms, with 350ms presentation of a uniform grey background 818 between dot pattern presentations. This was repeated six times, over 3900ms, corresponding to 819 two fMRI volume acquisitions (TRs), before the numerosity changed. On 10% of numerosity 820 presentations, the dots were shown in white instead of black. Participants were instructed to 821 press a button when white dots were shown to ensure they were paying attention to the stimulus 822 during the fMRI acquisition and responded to 90-100% of white dot presentations within each 823 functional run. No numerosity judgements were required. Main stimuli in the small numerosity 824 range consisted of 1 to 7 dots, with 20 dots as the baseline, while large numerosities consisted 825 of 1 to 64 dots and a baseline of 512 dots. To test neural populations responses to larger 826 numerosities, a third numerosity range consisted of only large numerosities from 8 to 64 dots 827 and a baseline line of 512 dots was introduced, namely, the large-control range (Supplementary 828 Fig. 1a). The main numerosity stimuli were first presented in ascending order, followed by a 829 longer period (15.6 seconds) where presented with the baseline stimuli (20 or 512 dots in the 830 small or large range respectively), then followed by the main numerosities in descending order, 831 followed by another identical baseline period. This sequence was repeated four times (4 cycles) 832 for each functional run. The long baseline period had a similar function to the blank periods 833 used in visual field mapping stimuli in population receptive field experiments(Dumoulin & 834 Wandell, 2008). During this period, little neural response was expected from numerosity-835 selective neurons preferring the main numerosities of interest, as such a relatively large 836 numerosity should be well outside of the numerosity range that elicits strong responses. This 837 long period also allows hemodynamic responses to return to baseline between blocks of 838 changing numerosity.



Figure 2.5. Illustration of stimuli and experimental design. (A) A full example stimulus as seen by the participants in the scanner. The dot pattern covered the central 4° (visual angle) diameter within an 10.2° diameter mean-luminance (grey) field. A large, thin, red fixation cross passes diagonally through the center of the display, and through the center of the dot pattern. Participants fixated at the intersection of the cross. (B) Example numerosity stimuli, where the total surface area of the dot pattern is constant across numerosities. c The sequence of the numerosity stimuli presented to the participants at the small and large ranges, respectively.

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848 MRI acquisition and preprocessing

Anatomical MRI data were acquired from a Philips 7T scanner (Philips Medical Systems, Best, 849 850 NL). MP2RAGE T1 anatomical MRI data were acquired at the spatial resolution of 0.64 x 0.64 x 0.64 mm<sup>3</sup> (resampled to 1 x 1 x 1 mm<sup>3</sup> for the follow-up processing), repetition time (TR) 851 852 was 6.2 ms, echo time (TE) was 3 ms, and flip angle was 5/7 degrees. Functional T2\*-weighted multi-band (factor=2) 2D echo planar images (EPI) were acquired on a Philips 7T scanner 853 854 using a 32 channel head coil (Philips Nova Medical) at a resolution of 1.75 x 1.75 x 1.75 mm<sup>3</sup>, with a full-brain-coverage field of view (FOV=106 x 112 x 236) covering 64 slices. TR was 855 856 1950ms, TE was 25ms, and flip angle was 70 degrees. Functional runs were each 182 time 857 frames (354.9 seconds) in duration, of which the first six time frames (11.7 seconds) were 858 discarded to ensure the signal was at a steady state. Within each session eight functional runs 859 were acquired with the small and large numerosity ranges interleaved to avoid adaptation. Each 860 participant was scanned for two sessions on separate days. In addition, we collected eight functional runs on seven of our participants with the large-control range. 861

T1 anatomical scans were automatically segmented using CBS tools (<u>www.nitrc.org</u>) 862 and then manually edited to minimize segmentation errors using ITK-SNAP(Yushkevich et al., 863 864 2006) (www.itksnap.org). This provides a highly accurate description of the cortical surface, 865 an anatomical segmentation space used for analysis of cortical organization. The cortical 866 surface was reconstructed at the grey-white matter border and rendered as a smoothed 3D 867 surface. Head movement and motion artefacts between and within functional scans were measured and corrected for in AFNI(Cox, 1996). Motion-corrected functional data were then 868 869 averaged and the resulting mean image was co-registered to the segmented anatomy. Individual 870 functional images were then co-registered to the same anatomical space using the same 871 transformation.

872

### 873 fMRI data analysis

874 Functional data analysis was performed in mrVista, which is freely available at 875 (https://github.com/vistalab/vistasoft). First, data from separate sessions was imported into the 876 same anatomical space for each participant. Functional runs (n = 8) collected for the same 877 condition (small or large range) were averaged to produce a dataset with strong signal strength. 878 Second, the averaged functional dataset was collapsed onto the nearest point on the cortical 879 surface across depth to further increase on signal strength, which generated a (folded) 2D grey 880 matter surface. Then we performed the canonical numerosity modelling developed to estimate 881 the tuning properties of numerosity-selective neural populations(Dumoulin & Wandell, 2008; B. M. Harvey et al., 2013). Briefly, a one-dimensional neural model defined as a Gaussian 882 883 function in logarithmic space was adopted. The Gaussian function characterized by a set of 884 parameters: preferred numerosity (mean) and tuning width (standard deviation). The model 885 predicts neural responses by taking the presented numerosity at each time point and evaluating 886 the Gaussian function's amplitude at this numerosity. Then convolving these predicted neural 887 response time course with a hemodynamic response function (HRF) to generate predicted fMRI 888 time courses. The predicted fMRI time course with the minimum sum of squared errors  $(R^2)$ 889 residuals to the recorded signal was chosen, and the Gaussian function parameters that 890 generated this prediction were used to summarize the recording site's response. The goodness of model fit ( $\mathbb{R}^2$ , i.e. variance explained) was thresholded at 30% to select recording sites with 891 892 clear numerosity selective responses: recording sites with lower variance explained were 893 excluded from further analysis. The modelling procedure allows preferred numerosity 894 estimates outside the range of the presented stimuli, ensuring estimates within the stimulus 895 range are not just the best of a limited set. We excluded from analysis any recording sites where

the preferred numerosity was outside the presented range accordingly. Finally, the preferrednumerosity data was projected onto the smoothed cortical surface.

898

## 899 Definition of region of interest

900 We defined regions of interest (ROI) where the numerosity-selective neural populations are 901 organized topographically similar to previously reported numerosity maps(Ben M. Harvey & 902 Dumoulin, 2017a). In total, six ROIs were drawn for the right hemisphere in the temporooccipital cortex, parieto-occipital cortex, parietal cortex, and superior frontal cortex, 903 904 corresponding to six numerosity maps: NTO, NPO, NPC1, NPC2, NPC3, NF. In each ROI, we 905 defined map borders on the lowest and highest preferred numerosities (white lines) and the 906 map edges around the local increase in model goodness of fit (black lines) (Figure 2.1A-B & 907 Supplementary Figure 2.1B).

908

## 909 Correlation analysis between numerosity preferences

910 Pearson correlation analysis was performed between numerosity preference estimated from the 911 small and large ranges. This included the recording sites that had variance explained above 912 30% in both conditions. Taking into account the functional resolution of the recording sites, 913 the total number of data points (n) used to calculate correlation's probability was reduced by 914 the factor by which functional voxels were up-sampled onto the 2D cortical surface.

915 To quantify the similarity between the numerosity preferences estimated from the two ranges, we calculated the percentage deviation. We calculated the difference of the slopes 916 917 between the linear fit line of the numerosity preference correlation and the unity line (y = x). 918 The percentage deviation of the unity line was set to 0, indicating that the estimates of small 919 and large numerosity preference are equal. The largest possible deviation is indicated by the 920 best fit function of y = 10.5x-9.5, where the estimate of the largest numerosity at small range 921 (i.e. 7) corresponds to the estimate of the largest numerosity at the large range (i.e. 64). The 922 percentage deviation of this best possible fit was set to 1. Thus, for each map, the percentage deviation = (p-1)/9.5, where p is the slope of the best fit of the correlation. We performed a 923 924 Wilcoxon signed rank test (two-tailed) to the percentage deviations of all maps in all 925 participants. A two-way ANOVA was performed to test the statistical difference in the 926 percentage deviations between maps and participants, followed by post hoc analysis with 927 Bonferroni correction for multiple comparisons.

928

929 Analysis of change of numerosity preferences along maps

For each ROI, we calculated the distance of each recording site to the nearest points on the borders of the map with the lowest and highest numerosity preferences. The ratio between the distances to each border was computed, which gives a normalized distance along the ROI in the primary direction of preferred numerosity change. Then we multiplied this normalized distance by the mean length of the ROI in this direction, which gives a measure of the distance along the ROI for each recording site.

We binned the data points within every 2 mm distance interval along each ROI. The 936 937 mean and standard error of the preferred numerosity of the points within the bin was calculated. 938 We fitted logarithmic functions to bootstrapped samples of the bin means. From these 939 bootstrapped fits we took the median slope and intercept as the best fitting numerosity 940 progression. We determined 95% confidence intervals by plotting all lines generated during bootstrapping iterations and finding the 2.5 and 97.5 percentiles values for these fits. The 941 942 statistical significance of the slopes was determined with a permutation analysis, where the 943 order of distance bins was randomized (10,000 times). The slopes were fitted at each 944 permutation, and the probability of finding the observed slope by chance was calculated as the 945 number of times where the slope in the randomized permutation was equal to or greater than 946 the observed slope.

947 We normalized the cortical distance of each ROI to visualize the progression of numerosity preference in a similar way. We binned the recording sites within every 10% 948 949 interval of the normalized cortical distance along each ROI. To visualize the location of neural 950 populations selectively responding to larger numerosities (above 7), we sorted neural 951 populations preferred large numerosities into three subranges (i.e. 7-16; 16-32; 32-64) at each 952 bin. We calculated the proportion of these recording sites among all the selected recording sites 953 in the same bin. The proportions of each subrange at each bin of all maps in all participants 954 were averaged and stacked. Last, we extracted the largest preferred numerosity of each map 955 estimated from the large range and calculated the correlation between these preferred 956 numerosities and the cortical distance of the maps.

957

# 958 Analysis of change of tuning width with numerosity preference

In each ROI, we binned data based on preferred numerosities at each range, with numerosity increments of 0.25 between bins. The mean and standard error of each bin were calculated. We fitted linear functions to bootstrapped samples of the bin means. We determined 95% confidence intervals by plotting all lines generated during bootstrapping iterations and finding the 2.5 and 97.5 percentiles values for these fits. Similar permutation analysis, as described above, was used to calculate the probability of finding the observed tuning width change by
chance. Unstable fits are common seen in some ROIs where there are little information in the
data set to distinguish tuning widths.

967

### 968 Cross validation analysis

We cross validated the results by splitting the data into two halves for each condition, based on odd versus even runs, resulting in four half cross validation datasets (i.e. small-odd, smalleven, large-odd and large-even). Two types of cross validations were done: within-condition and cross-condition. We selected the recording points from each cross validation datasets based on the criterion that the preferred tuning from 1 to 7, which present at both the small and the large ranges.

975 For the within-condition validation, we extracted the model prediction of the selected 976 voxels from one dataset (e.g. large-odd) and fitted that to the other dataset (e.g. large-even) of the same condition and vice versa, namely the "small  $\rightarrow$  small" and "large  $\rightarrow$  large" 977 978 validations. This resulted in two iterations of each condition and we calculated the crossvalidated variance explained  $(cvR^2)$  of each iteration. For the cross-condition validation, we 979 980 extracted the model prediction from one cross validation dataset (e.g. small-odd) and fitted that 981 to the two datasets of a different condition (e.g. large-odd & large-even), namely the "small  $\rightarrow$ 982 large" and "large  $\rightarrow$  small" validations. This resulted in eight iterations of cross validation by 983 taking the model prediction from each dataset in turn. We then calculated the averaged withincondition and cross-condition cvR<sup>2</sup> across all iterations and across maps and participants. A 984 985 within-subject repeated measures two-way ANOVA analysis was performed using JASP to 986 compare within- and cross-condition validations (Fig. 2e)(JASP Team, 2020).

987 To validate the results of the large range data, we selected the voxels with the criteria 988 that the preferred tuning fell at the large range and with the  $cvR^2$  larger than 30%. We replicated 989 the main analyses using the cross validation datasets (see Supplementary Fig. 5).

990

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995

### 996 Competing interests

997 The authors declare no competing interests.

998	
999	Data availability
1000	The data sets generated during the current study are available from the corresponding author
1001	upon reasonable request. Source data of presented figures are provided with this paper.
1002	
1003	Code availability
1004	The code that supports the findings of this study is available from the corresponding
1005	author upon reasonable request.
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1041	Attention drives human numerosity selective responses
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1062	
1063	Supplementary figures of this chapter can be found in Appendix B.
1064	

#### 1065 Abstract

Numerosity, the set size of a group of items, helps guide behaviour and decisions. Previous studies have shown that neural populations respond selectively to numerosities. How numerosity is extracted from the visual scene is a longstanding debate, often contrasting low-level visual with high-level cognitive processes. Here, we investigate how attention influences numerosity selective responses. The stimuli consisted of black and white dots within the same display. Participants' attention was focused on either black or white dots, while we systematically changed the numerosity of black, white and total dots. Using 7T fMRI, we show that the numerosity-tuned neural populations respond only when attention is focused on their preferred numerosity, irrespective of the unattended or total numerosities. Without attention, responses to preferred numerosity were inhibited. Unlike traditional effects of attention in the visual cortex where attention enhances already existing responses, these results suggest that attention is required to drive numerosity selective responses. 

## 1080 Keywords

1081 numerosity-selective response, inhibition, feature-based attention, feature-similarity, attention1082 field model

#### 1099 Introduction

Perception of numerosity, i.e. the set size of a group of items, guides human and animals' 1100 behaviour and decisions (Brannon & Terrace, 1998; S. Dehaene, 2001; Andreas Nieder, 2020a; 1101 Andreas Nieder & Dehaene, 2009). Behaviour often requires numerosity perception, for 1102 1103 example choosing the bag or tree with the most fruits when shopping or foraging. 1104 Neurophysiological experiments have described neurons tuned to numerosity, responding maximally when a specific numerosity is displayed, with responses decreasing with distance 1105 1106 increases from this preferred numerosity, in non-human primates (A. Nieder & Merten, 2007; 1107 Andreas Nieder et al., 2002a), crows (Ditz & Nieder, 2015, 2016a) and humans (Kutter et al., 1108 2018). Functional magnetic resonance imaging (fMRI) experiments also revealed responses to 1109 numerosity comparison tasks (A. S. Dehaene et al., 2016) and later to specific numerosities 1110 (Eger et al., 2009; Piazza et al., 2004). We have since used population receptive field (pRF) 1111 modelling (Dumoulin & Wandell, 2008) to show these responses to specific numerosities 1112 reflect neural populations tuning for different numerosities in each fMRI recording site (B. M. 1113 Harvey et al., 2013). These neural populations are organized in topographic maps where 1114 preferred numerosity changes gradually across the cortical surface. A network of topographic 1115 numerosity maps is found throughout the human brain (Cai, Hofstetter, van Dijk, et al., 2021a; 1116 Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; Tsouli, Cai, et al., 2021).

1117 There is a longstanding debate on how numerosity is extracted from the visual scene. 1118 While some have proposed that numerosity perception follows non-numerical image features 1119 such as area and density that are often correlated with numerosity (Steven C. Dakin, Tibber, 1120 Greenwood, Kingdom, & Morgan, 2011; Durgin, 2008; Gebuis, Gevers, & Cohen Kadosh, 1121 2014), growing convergent research indicates numerosity itself is perceived directly by humans 1122 and represented in the brain akin to other visual features such as colour and motion (D. Burr & 1123 Ross, 2008). This evidence is provided from psychophysical (Cicchini, Anobile, & Burr, 2016; 1124 DeWind, Adams, Platt, & Brannon, 2015), neuroimaging (DeWind, Park, Woldorff, & Brannon, 2019; Ben M. Harvey & Dumoulin, 2017b, 2018; Park, Dewind, Woldorff, & 1125 1126 Brannon, 2016) and computational (Kim et al., 2021; Stoianov & Zorzi, 2012; Zorzi & Testolin, 1127 2018) approaches. Numerosity may be estimated from early visual representations, such as 1128 spatial frequency domain image representations (Steven C. Dakin et al., 2011; Paul, van 1129 Ackooij, ten Cate, & Harvey, 2021). Such estimation of numerosity may also underlie the 1130 similarity of numerosity to low-level visual properties like position, orientation and spatial 1131 frequency in aspects of its perception (e.g., adaptation (D. Burr & Ross, 2008)) and neural representation (e.g., neural tuning and topographic mapping (Tsouli, Harvey, et al., 2021)). 1132

Despite the potentially low-level estimation of numerosity by the visual system, higher-level
processes are clearly involved in numerosity perception, such as for example grouping
(Maldonado Moscoso, Castaldi, Burr, Arrighi, & Anobile, 2020; Pan, Yang, Li, Zhang, & Cui,
2021), connectedness (L. He, Zhou, Zhou, He, & Chen, 2015; Kirjakovski & Matsumoto,
2016), multisensory integration (Anobile, Arrighi, Togoli, & Burr, 2016a; Andreas Nieder,
2012) and attention (Anobile, Stievano, & Burr, 2013; Ansari, Lyons, Van Eimeren, & Xu,
2007; D. C. Burr et al., 2010).

1140 Behavioural studies investigating the role attention plays in numerosity processing have 1141 provided mixed results, and whether and to what extent attention modulates neural responses 1142 to numerosity remain unknown. Numerosity perception has been argued to be pre-attentive, i.e. 1143 numerosity is perceived spontaneously even when participants are not involved in a numerosity 1144 task and when their focus of attention is not directed towards the stimulus (Hesse et al., 2017). 1145 On one hand, enumerating small number of objects up to 4 items, i.e. subitizing, shows fast and error-free behavioural results, and has therefore traditionally been assumed to be pre-1146 1147 attentive (Trick & Pylyshyn, 1993, 1994). On the other hand, attention is needed in numerosity 1148 processing, even in the subitizing range. It has been demonstrated that subitizing required 1149 attentional processes by using an inattentional blindness paradigm (Railo, Koivisto, Revonsuo, 1150 & Hannula, 2008). This view was supported by recent studies using cross-sensory dual tasks 1151 and documented that subitizing and mapping numerosity onto space are attentional-demanding 1152 (Anobile, Cicchini, & Burr, 2012; Anobile, Turi, et al., 2012). Even more attentional resources 1153 are needed for subitizing than in the estimation range, i.e. for a smaller than a larger number of 1154 items (D. C. Burr et al., 2010; Pomè, Anobile, Cicchini, Scabia, et al., 2019).

1155 Here we ask how neural responses, specifically in neural populations tuned to 1156 numerosity, are affected by attention. In the visual cortex, neural responses reflect both 1157 stimulus-driven responses and attentional modulation (Carrasco, 2011; Reynolds & Chelazzi, 1158 2004; Ungerleider, 2000). Typically, the attentional modulation is conceptualized as a gain factor that modulates neural responses (Maunsell & Treue, 2006; McAdams & Maunsell, 1159 1160 1999a; Reynolds & Heeger, 2009). In neural mechanisms of numerosity perception, the 1161 balance between stimulus-driven responses and attentional modulation is unclear. Some studies 1162 have explicitly used tasks (e.g. a delayed match-to-numerosity task) manipulating attention towards the numerosity of presented stimulus (Ditz & Nieder, 2016b; A. Nieder & Merten, 1163 1164 2007; Andreas Nieder et al., 2002a). Others observed similar responses in the absence of an 1165 explicit numerosity task (e.g. a color discrimination task), and speculatively, in the absence of attention to the numerosity per se (Cai, Hofstetter, van Dijk, et al., 2021a; B. M. Harvey et al., 1166

2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; Hofstetter & Dumoulin,
2021; Tsouli, Cai, et al., 2021; Viswanathan & Nieder, 2013). However, all of these studies
displayed only one set of items, and so do not require attention to be focused on some items
but not others.

Here we determined how established numerosity selective responses change when the participant pays attention to different subsets of items within the display. We recorded blood oxygen level dependent (BOLD) signals using ultra-high field (i.e. 7 Tesla) fMRI (Cai, Hofstetter, van der Zwaag, Zuiderbaan, & Dumoulin, 2021) in three consecutive experiments and analysed these responses using neural model-based analyses (Dumoulin & Wandell, 2008).

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## 1177 **Results**

# 1178 Behavioural tasks modulate attention

In Experiment 1, we presented a stimulus consisting of black and white dots of a fixed total 1179 1180 numerosity (Figure 3.1A) while systematically varying the ratio of the two subsets (Figure 1181 3.1B,C), and instructed participants to pay attention to (the shape of) one or the other subset. 1182 No numerosity judgements on either dot subset were required (Figure 3.1A,C), and the same 1183 stimulus was presented regardless of which subset was attended. Participants were told which 1184 dot subset they should attend and perform the shape detection task on with a verbal instruction ('attend black' or 'attend white') through the scanner's intercom. The participants responded 1185 1186 with a button press when the attended dot subset changed from circular to oval. The aspect ratio of the ovals was adjusted so that difficulty was equated between participants 1187 1188 (Supplementary Table 3.1).

1189 To evaluate the task performance, we computed the discriminability index (d') (Figure 1190 3.1D). We found significantly higher d' values for the attended than the unattended dot subset 1191 in both the 'attend black' and 'attend white' conditions in Experiment 1 (Paired *t*-test,  $t_{ab} =$ 22.6,  $p_{ab} = 8.2 \times 10^{-21}$ ;  $t_{aw} = 19.3$ ,  $p_{aw} = 8.5 \times 10^{-19}$ ). Based on participant reports, we assume the 1192 d' above zero for the unattended dot subset is primarily driven by accidental hits when 1193 responding to the attended dot subset, namely false alarms. These results show that participants 1194 1195 were paying attention to the cued dot subset during scanning (see Supplementary Table 3.1 for 1196 task performance of individual participants).





1211 black' (circles) and 'attend white' (triangles) conditions. Filled markers denote detection 1212 performance on the attended subset, i.e. hits, and open markers on the unattended subset, i.e. 1213 false alarms. \*, p < 0.00001 by paired *t*-test.

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# 1215 Numerosity responses follow the numerosity of the attended set

1216 To illustrate the attentional modulation of numerosity-tuned responses, we extracted the fMRI response time courses elicited by the 'attend black' and 'attend white' condition of an example 1217 1218 recording site in the superior parietal lobule at the right hemisphere of one participant (Figure 1219 3.2A, black point). In Experiment 1, 27 dots were constantly displayed. However, we found these two time courses (Figure 3.2B, left panel) show opposite neural response patterns, with 1220 1221 the peak response occurring after the presentation of low numerosities in the attended set in each condition. The difference in response indicates the underlying neural populations are 1222 1223 modulated by the attentional task which requires focus on a specific subset of dots presented 1224 on the screen. The numerosity pRF model was fit using only the numerosity of the attended set, and its predictions captured most of the response variance ( $R^2 > 70\%$ ) in these time courses 1225 1226 (Figure 3.2B, left panel). The pRF models give similar preferred numerosity estimates in both 1227 conditions (Figure 3.2B, right panel). This result suggests that numerosity responses follow the 1228 numerosity of the attended set.



1230 Figure 3.2. Modulation of numerosity selective neural responses by attention. (A) Anatomical rendering of the right cerebral cortex of one participant. Black point indicates the 1231 1232 cortical location in the superior parietal lobule from which we selected an example recording site. (**B**) Two fMRI response time courses from this recording site, elicited by the 'attend black' 1233 1234 and 'attend white' conditions, respectively. Left panel: the time courses show very different 1235 response patterns to the identical stimulus presentations (top). The responses follow the numerosity of the attended set. Points represent mean response amplitudes over repeated 1236 1237 measurements. The pRF models tuned to the attended set's numerosity predict these responses 1238 well (black and white lines, R<sup>2</sup> denotes variance explained). Right panel: neural tuning models that best predict these time courses. This recording site preferred similar numerosities (Pref 1239 1240 num), irrespective of the attention condition. (C) Cross-validated variance explained by the 1241 models in the numerosity maps, evaluated within and between attention conditions. Bars show 1242 the mean variance explained across hemispheres, shapes indicate each individual participant 1243 and hemisphere. (**D**) A similar network of numerosity maps at both hemispheres derived from 1244 the two attention conditions. Maps show numerosity preferences estimated from responses to numerosities of the attended set at those recording sites with  $R^2 > 30\%$ . White lines denote 1245 maps borders following the lowest and highest preferred numerosities seen in each map. Black 1246 1247 lines outline the other borders of each map. (E) Preferred numerosity estimates derived from the two conditions were well correlated. Bars show mean Pearson correlation coefficients 1248 1249 across participants. In all panels, error bars represent standard errors of the mean.

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1251 We split the data into two halves and performed cross validation analyses using within-1252 and between-condition validations. The model parameters determined from one data half 1253 closely predicted the responses to the attended numerosity in the other half well, regardless of 1254 which dot subset was attended (Figure 3.2C). Repeated measures ANOVA analysis shows no 1255 significant difference in model fits among all the cross validation combinations (p > 0.025, two-sided, Bonferroni corrected for multiple comparisons). Therefore numerosity-tuned 1256 1257 responses followed the numerosity of the attended set similarly, and did not depend which dot 1258 subset was attended.

As previously shown (Cai, Hofstetter, van Dijk, et al., 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; Tsouli, Cai, et al., 2021), we found the numerosity-tuned neural populations were organized in a network of topographic numerosity maps (Figure 3.2D, Supplementary Figure 3.1). Within each map, the neural preferred numerosity varied gradually across the cortical surface. For example, in a map in the 1264 superior parietal cortex (NPC1), numerosity preference increased systematically from medial to lateral (white lines in Figure 3.2D). The numerosity maps derived from the two attention 1265 conditions were very similar, indicating the preferred numerosities of the neural populations 1266 they contain were independent of which dot subset was attended. To quantify the similarity 1267 1268 between the pRF estimates derived from the two attention conditions, we performed a Pearson 1269 correlation analysis. The preferred numerosity estimates within the maps from the two 1270 conditions were repeatably positively correlated (two-sided Wilcoxon signed rank test of correlation coefficients, z = 5.97,  $p = 2.4 \times 10^{-9}$ , df = 47), indicating a similar spatial distribution 1271 1272 numerosity preferences of the neural populations on the cortical surface (Figure 3.2E).

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# 1274 No stimulus-driven response of the preferred but unattended numerosities

1275 In Experiment 1, we established that neural responses are dominated by the numerosity in the 1276 attended set. However, Experiment 1 does not reveal what the neural responses to the 1277 unattended set are. For example, the unattended set may elicit smaller responses, no response, 1278 or may even suppress the response. Thus, in Experiments 2, we used a 2 x 2 block design to 1279 establish the response amplitude to the preferred, but unattended numerosities.

1280 In Experiment 2, we focussed on neural populations preferring the numerosities of 2 to 1281 4 in the numerosity maps (see ROI definition in the Methods section). The dot subset with the preferred numerosities consisting of 2/3/4 dots were presented at the 1<sup>st</sup> block and 3<sup>rd</sup> block, 1282 1283 while being attended and unattended (Figure 3.3A). The total numerosity was fixed at 40. We predicted the response time courses (Figure 3.3B) and response amplitudes (Figure 3.3C) 1284 1285 according to two hypotheses. In Hypothesis 1, the neural populations respond to the preferred 1286 but unattended numerosities, but to a smaller degree than to the preferred and attended 1287 numerosities. In other words, the neural responses follow the preferred numerosity. This hypothesis follows visual cortical responses where attention boosts responses, but without 1288 1289 attention there is still a stimulus-driven response (O'Craven, Rosen, Kwong, Treisman, & 1290 Savoy, 1997). In Hypothesis 2, there is no response to the preferred numerosities in the absence 1291 of attention, namely the neural responses follow the attended numerosity. Note that Hypothesis 1292 2 predicts a decrease in response amplitude during the block of the preferred but unattended 1293 numerosities. This decrease is driven by the higher numerosities in the attended set (36/37/38)1294 which are further away from the preferred numerosities (2/3/4) than the baseline numerosity 1295 (20) is.





**Figure 3.3. Study design, hypotheses and responses in Experiment 2.** (A) Experimental design. Stimuli consisted of a subset of 2/3/4 dots in one color and another subset of 38/37/36 dots in the opposite color, or two equal subsets of 20 black and 20 white dots, presented simultaneously. The preferred numerosities of 2 to 4 were either in the attended or unattended set of black or white dots. (B) Hypothesized numerosity responses under attentional modulation in the two conditions. Hypothesis 1 (H<sub>1</sub>) is that neural responses follow preferred numerosities. Stronger responses occur when preferred numerosities (2/3/4) are in the attended

1304 set than when they are in the unattended set in a given condition. Hypothesis 2 (H<sub>2</sub>) is that neural responses follow the attended numerosity only. Increased responses occur when 1305 preferred numerosities are in the attended set, while no response or decreased responses occur 1306 when they are in the unattended set. Black and white lines indicate the 'attend black' and 1307 'attend white' conditions, respectively. (C) Predicted response amplitudes of preferred 1308 1309 numerosities in the attended set (red) and unattended set (blue) following H<sub>1</sub> (top) and H<sub>2</sub> (lower). (D) Measured response time courses and general linear model (GLM) predictions. 1310 1311 Compared to the baseline of 20 dots in both subsets, increased responses occurred when 1312 preferred numerosities were in the attended set, while decreased responses occurred when they 1313 were in the unattended set. Points represent mean response amplitudes over repeated measurements. Solid lines show the GLM predictions. T values demonstrate statistically 1314 1315 significant difference in response amplitudes between conditions where preferred numerosities 1316 were in the attended  $(t_{attn})$  or unattended set  $(t_{unattn})$ , compared to the baseline, in a given condition. \*, p < 0.05; \*\*, p < 0.01; \*\*\*, p < 0.0001. (E) Averaged response amplitudes when 1317 the preferred numerosities were in the attended (red) and unattended (blue) sets across both 1318 1319 conditions at individual maps. In all panels error bars represent standard error of the mean.

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1321 We extracted and averaged the time courses of the voxels within all the numerosity maps that had the preferred numerosities of 2 to 4 (defined from Experiment 1). General linear 1322 1323 models (GLM) were fitted to these time courses (Figure 3.3D). *T*-tests demonstrated significant 1324 different responses between the conditions where the preferred numerosities were in the 1325 attended or unattended sets (compared to the baseline of 20 dots in both subsets) (Figure 3.3D). 1326 Response amplitudes of preferred numerosities in the attended set and unattended set were 1327 computed for individual maps (Figure 3.3E). In line with the ROI definition, increased response amplitudes were seen when participants attended the dot subsets with the preferred 1328 1329 numerosities. Furthermore, in line with Hypothesis 2, decreased response amplitudes were seen when the preferred numerosities (2/3/4) were in the unattended set. We then performed a 1330 1331 repeated measures ANOVA analysis on the response amplitudes, followed by post hoc analyses with Bonferroni correction for multiple comparisons. The main effect for attention 1332 1333 was statistically significant ( $F_{(1,2)} = 81.577$ , p = 0.012), while the main effect for maps ( $F_{(5,10)}$ ) = 1.140, p = 0.400) and the interaction between attention and maps (F<sub>(5, 10)</sub> = 0.877, p = 0.530) 1334 1335 did not reach a significant level. Therefore, there appears to be no stimulus-driven response to 1336 the preferred but unattended numerosities.

#### 1338 Suppression of preferred but unattended numerosities

In Experiment 2 the overall numerosities were kept constant while the non-preferred 1339 1340 numerosity varied. Therefore, it is not clear to what extent the decreased responses were induced by the non-preferred numerosities (38/37/36) in the attended set, or by the preferred 1341 1342 numerosities (2/3/4) in the unattended set. Decrease in response may be explained by the 1343 numerosities of the attended set alone: a lower response would be expected because 36/37/38is further away from the preferred numerosity than 20 is. Alternatively, the decrease in response 1344 1345 could be due to the presentation of the preferred, but unattended numerosities. Therefore, in 1346 Experiment 3 only one non-preferred numerosity was shown, and as a result the total numerosity varied while the non-preferred numerosity in the attended set was fixed at 20 1347 (Figure 3.4A). Following Hypothesis 2, which suggests neural responses follow the 1348 numerosity of the attended set, we predict no increased response when the preferred 1349 1350 numerosities are in the unattended set in Experiment 3 (Figure 3.4B, C).



Figure 3.4. Suppressive neural population responses modulated by preferred but
unattended numerosities. (A) Study design of Experiment 3. A subset of 2/3/4 dots was either

1354 attended or unattended while keeping the non-preferred numerosity constantly at 20 dots in another subset, regardless of attended or unattended. (B) Predicted responses following 1355 Hypothesis 2: neural responses follow the numerosity in the attended set, thus no response to 1356 the preferred but unattended numerosity is expected. (C) Predicted response amplitudes when 1357 1358 the preferred numerosities are in the attended set (yellow) and unattended (green) set. (**D**) 1359 Measured response time courses and GLM predictions. Compared to the baseline of 20 dots in both subsets, increased responses occurred when preferred numerosities are in the attended set, 1360 1361 while decreased responses occurred when they are in the unattended set. Black and white 1362 symbols indicate the 'attend black' and 'attend white' conditions, respectively. Points represent 1363 mean response amplitudes over repeated measurements. Solid lines represent the GLM predictions. T values demonstrate statistical difference in response amplitudes between 1364 1365 conditions where preferred numerosities were in the attended  $(t_{attn})$  or unattended set  $(t_{unattn})$ , compared to the baseline, in a given condition. \*, p < 0.05; \*\*, p < 0.01; \*\*\*, p < 0.001. (E) 1366 Averaged response amplitudes when the preferred numerosities were in the attended set 1367 (yellow) and unattended (green) set across both conditions at individual maps. In all panels 1368 1369 error bars represent standard error of the mean.

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1371 In line with the definition of the ROI and Experiment 2, the neural population showed increased responses when the preferred numerosities were in the attended set. However, they 1372 still revealed decreased responses when the preferred numerosities were in the unattended set, 1373 compared to the baseline. This result is not only visible in the response time series (Figure 1374 1375 3.4D) but also in statistical comparisons of response amplitudes in individual maps (Figure 1376 3.4E). Repeated measures ANOVA analysis was performed on the response amplitudes, 1377 followed by post hoc analyses with Bonferroni correction for multiple comparisons. Analogous to the results of Experiment 2, the main effect for attention was statistically significant ( $F_{(1,2)}$ 1378 1379 = 19.311, p = 0.048), while the main effect for maps (F<sub>(5, 10)</sub> = 1.662, p = 0.231) and the interaction between attention and maps ( $F_{(5, 10)} = 1.065$ , p = 0.434) did not reach a significant 1380 1381 level. This result does not support Hypothesis 2's prediction that the neural response only 1382 follows the attended set's numerosity, and will therefore remain at baseline during the 1383 unattended blocks. The decreased responses induced when the preferred numerosities were in the unattended set suggest that, in the absence of attention, the preferred numerosities actively 1384 1385 suppress the neural population responses.

- 1386
- 1387 Discussion

1388 Here we studied how attention affects numerosity-tuned neural responses. In three experiments we changed the numerosity of black and white dot subsets within the same display, while 1389 1390 participants performed an attention-demanding, non-numerical task on either the black or white 1391 dot subset. In Experiment 1, we analysed responses to these stimuli using pRF models tuned 1392 to the numerosity of the attended set, and found that numerosity-tuned neural responses 1393 followed the numerosity of the attended set. In Experiments 2 and 3 we investigated the responses to the unattended set. We did not observe any stimulus-driven response without 1394 1395 attention and even observed suppression of responses by the preferred numerosities when 1396 unattended. Therefore, we propose that attention drives numerosity selective responses even 1397 when non-numerical features are attended, with the non-attended sets producing inhibitory responses in neural populations that would otherwise prefer the numerosities of the non-1398 1399 attended sets.

1400 Our results suggest that attention drives numerosity responses. Importantly, attention 1401 was directed to one subset of the dot pattern using a shape-task and no numerosity judgement 1402 was required. In line with these observations, in animals, neural responses to numerosity are 1403 typically measured while animals are performing numerosity comparison tasks that they have 1404 been thoroughly trained in (Ditz & Nieder, 2015; A. Nieder & Merten, 2007; Andreas Nieder 1405 et al., 2002a). These tasks require attention to both the dot set and its numerosity. Similar 1406 responses were observed in untrained animals that paid attention to the dot color but paid 1407 attention to the dot pattern nevertheless (Viswanathan & Nieder, 2013). Likewise, our previous 1408 studies directed attention towards the stimulus using a dot-color task (Cai, Hofstetter, van Dijk, 1409 et al., 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 1410 2021; Hofstetter & Dumoulin, 2021; Tsouli, Cai, et al., 2021). Thus, in both human and animal 1411 studies that do not require numerosity judgements, the participant pays attention to the dot set 1412 itself. Therefore, it seems that attention to some feature of the stimuli is always involved to 1413 some degree. Our study shows that this focus of attention is essential to numerosity processing.

1414 In the visual cortex, attention enhances stimulus-driven responses of neurons preferring 1415 the attended location or feature (Corbetta, Miezin, Dobmeyer, Shulman, & Petersen, 1990; 1416 Treue & Maunsell, 1996). For example, spatial attention increases responses of neurons with 1417 receptive fields at the attended location (Connor, Preddie, Gallant, & Van Essen, 1997; Moran & Desimone, 1985); attention to a specific orientation increases the responses of V4 neurons 1418 preferring this orientation (McAdams & Maunsell, 1999a, 1999b); attention to a specific 1419 1420 motion direction increases the responses of MT neurons preferring that direction (Treue & 1421 Martínez Trujillo, 1999). Even which feature within a complex display is attended affects

1422 response amplitudes: in a display with both stationary and moving dots, attention to the moving dots produces larger response than attention to the stationary dots in the motion-responsive 1423 1424 area MT. Yet, even with attention diverted away, stimulus-driven motion alone still elicits 1425 neural responses albeit to a smaller degree (O'Craven et al., 1997). This appears different from 1426 numerosity responses. Attention appears required for numerosity-selective neural responses, 1427 driving or gating the responses. Thus, unlike responses in the early visual cortex, which happen whenever the preferred stimulus is shown, both bottom-up and top-down mechanisms appear 1428 1429 necessary to drive numerosity responses. We speculate that this may be because numerosity-1430 tuned responses emerge at later stages of visual processing, while previously-studied orientation tuned (McAdams & Maunsell, 1999b, 1999a), motion direction tuned (Treue & 1431 1432 Martínez Trujillo, 1999; Treue & Maunsell, 1996), and spatially tuned (Connor et al., 1997; Martinez-Trujillo & Treue, 2004) responses are present in the primary visual cortex. 1433

1434 More recent findings further demonstrate that the facilitation of responses when the 1435 attended feature matches the neuron's response preference is accompanied by an inhibition of 1436 responses in neurons with tuning preferences far from the attended feature (Martinez-Trujillo 1437 & Treue, 2004). Martinez-Trujillo and Treue recorded the responses of direction-selective 1438 neurons in macaque area MT while systematically changing the attended direction in a task 1439 outside the neuron's receptive field. Changing from a neuron's preferred to its anti-preferred direction caused a systematic change of the attentional modulation from an enhancement to a 1440 suppression. This is in line with a feature similarity gain model of attention (Maunsell & Treue, 1441 2006; Treue & Martínez Trujillo, 1999). In the current experiments, we recorded responses in 1442 1443 the neural populations preferring small numerosities (2/3/4) and neural responses were highest 1444 when the numerosity of the attended set also had a small numerosity. When the attended set 1445 had a large numerosity (20/36/37/38), the decreased responses we observed could be attributed 1446 to the dissimilarity between the attended numerosity and the preferred numerosity of the 1447 underlying neural populations. Alternatively, our results might be explained within the 1448 framework of a normalization model of attention (Reynolds & Heeger, 2009), which 1449 incorporates three basic components: the stimulation field, the normalization field and the 1450 attention field. The attention field acts as a gain field and is also suggested to have an inhibitory 1451 surround (Puckett & Deyoe, 2015). If the normalization field operates in the feature space of 1452 numerosity the model is similar to the feature similarity gain model of attention. In both cases, 1453 our results suggest that the attention filed has both a faciliatory and an inhibitory component.

1455 Can these results be explained by other features of our stimulus or task, such as attention to a specific spatial location or shape changes? In our stimulus design, the smaller dot subset with 1456 1457 the preferred numerosities (of the neural populations of interest) was always grouped together, 1458 so this likely to be grouped based on spatial proximity (Anobile, Castaldi, Moscoso, Burr, & 1459 Arrighi, 2020; Maldonado Moscoso et al., 2020; Zhao & Yu, 2016). Numerosity response 1460 seems likely to be enhanced by spatial attention at this location, given that numerosity must ultimately be derived from early visual image representations (Steven C. Dakin et al., 2011; 1461 1462 Paul et al., 2021). However, we believe the spatial distribution of the two dot subsets is not 1463 sufficient to account for the effect of attention we observed. First, the dot subset with a 1464 preferred numerosity was displayed simultaneously with the other dot subset with a nonpreferred numerosity. Second, the position of the other dot subset was randomized on each 1465 1466 display so no location was associated with any particular numerosity. Finally, the shape of the 1467 dots was changed homogenously within the same dot subset, thus there was no grouping effect within each dot subset. 1468

1469 To summarize, here we have shown that attention to a group of items strongly 1470 modulates neural responses to its numerosity, even though numerosity itself is not task-relevant 1471 or endogenously attended. We propose that both lower-level and higher-level processes are 1472 required in numerosity perception. On one hand, numerosity is perceived spontaneously even 1473 without an explicit numerical task, including the current study (Cai, Hofstetter, van Dijk, et al., 1474 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; Tsouli, Cai, et al., 2021; Viswanathan & Nieder, 2013). On the other hand, higher-level 1475 1476 cognitive control, such as attention, also plays an important role in processing numerical 1477 information. We live in a complex world in which a single scene may have many different 1478 types of objects with different numerosities. Representing the numerosity of task-relevant 1479 objects while ignoring the numerosity of other objects may therefore be vital to the effective 1480 numerosity perception in natural scenes.

1481

#### 1482 Methods

1483 Participants

We present data from four participants in three different consecutive experiments (two females,
age range 27 – 32 years). Each experiment replicates and builds on the previous experiment,
thus this study focuses on internal replication and number of trials per participant rather than
number of participants with limited trials (Baker et al., 2020). All the participants had normal
or corrected-to-normal visual acuity. All were well educated, with good mathematical abilities.

1489 Written informed consent was obtained before every MRI session. All experimental procedures 1490 were approved by the ethics committee of VU University Amsterdam.

1491

#### 1492 Stimuli and experimental design

1493 Visual stimuli were presented on a 69.84 x 39.29 cm LCD screen (Cambridge Research 1494 Systems) behind the MRI bore. Participants were required to lie still and view the display through a mirror attached to the head coil. The total distance from the attached mirror to the 1495 1496 display screen was 220 cm. The display resolution was 1920 x 1080 pixels. A button box 1497 recorded behavioural responses. Visual stimuli were generated in Matlab using PsychToolbox 1498 (Kleiner, Brainard, & Pelli, 2007). In all the experiments, a large diagonal cross composed of 1499 thin red lines was displayed consistently across the entire screen, serving as a fixation marker. 1500 The numerosity stimuli consisted of black and white dots presented simultaneously in the 1501 central 3° (diameter) of the visual field. In 10% of the total stimuli presentations, black dots 1502 were shown in ovals instead of circles, and in another 10% of the stimulus presentations, white 1503 dots were shown as ovals. The aspect ratio of the ovals was adjusted in practice runs prior to 1504 scanning so that difficulty was equated between participants (for aspect ratios for each 1505 participant see Supplementary Table 3.1). At the start of each scan run, participants were 1506 verbally instructed which group they should pay attention to through the scanner's intercom system, using the instructions 'attend black' or 'attend white'. The order of these two 1507 1508 conditions alternated every two runs in each session, and was counterbalanced between 1509 sessions in the same participant. Participants fixated the red cross throughout the experiments, 1510 and pressed a button when they detected a subtle shape change (from circular to oval) of the 1511 group they were instructed to pay attention to. No numerosity judgement was required. Task 1512 performance was quantified using the discriminability index (d') of the signal detection theory, which denotes participants' sensitivity to the difference between the signal present and signal 1513 1514 absent distributions (Green & Swets, 1966). We determined a response as a hit if it occurred within 2 seconds after a signal presentation, otherwise it was classified as a false alarm. 1515

1516

#### Experiment 1 1517

1518 In previous studies, the stimulus systematically varied total numerosity (Cai, Hofstetter, van Dijk, et al., 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter 1519 1520 et al., 2021; Hofstetter & Dumoulin, 2021; Tsouli, Cai, et al., 2021). In Experiment 1, we fixed 1521 the total numerosity (i.e. 27 dots) and systematically varied the ratio of black and white dots. 1522 Specifically, the numerosity stimulus consists of a subset of black dots and another subset of

white dots in the same display. The numerosities of these two sets systematically increased and decreased to map responses to their numerosities, but the changes of the two subsets were coordinated so the total numerosity of the whole display remained constant at 27. The dots were randomly positioned at each presentation so that each dot fell entirely within the stimulus area and no specific visual position was associated with any numerosity. Individual dots were distributed roughly homogeneously to avoid perceptual grouping. Dots in the subset with a smaller set size were presented next to each other (Figure 3.1A).

1530 We used a similar design as was previously used to uncover numerosity maps (Cai, 1531 Hofstetter, van Dijk, et al., 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 1532 2017a; Hofstetter et al., 2021; Hofstetter & Dumoulin, 2021; Tsouli, Cai, et al., 2021). 1533 Specifically, the numerosity of the white dot subset increased from 1 to 7, while the black dot 1534 subset decreased from 26 to 20 (Figure 3.1B). Then, the black dot subset increased from 1 to 1535 7, while the white dot subset decreased from 26 to 20. Then, the white dot subset decreased 1536 from 7 to 1, while the black dot subset increased from 20 to 26. Finally, the black dot subset 1537 decreased from 7 to 1, while the white dot subset increased from 20 to 26. This sequence was 1538 repeated three times at each functional run. Participants 1 and 3 were shown with this presentation sequence, while the other participants were shown with the same sequence but in 1539 1540 the opposite dots color (i.e. first the black dot subset increased from 1 to 7, while the white dot 1541 subset decreased from 26 to 20 accordingly). We analysed the responses to the stimuli focusing 1542 the numerosity of the attended set. For example, when participants attended the white dot 1543 subset ('attend white' condition), the numerosity of the attended set first increased from 1 to 1544 7, then decreased from 26 to 20, then decreased from 7 to 1, then increased from 20 to 26. We 1545 have previously shown that most numerosity-tuned neural populations have a numerosity 1546 preference below seven (Cai, Hofstetter, van Dijk, et al., 2021a). When the numerosity of the attended set was in the 1-7 range, we therefore expected a large response from these neural 1547 1548 populations and a large modulation of the response by the changes in numerosity. When the 1549 numerosity of the attended set was in the 20-26 range, we expected little response from these 1550 neural populations and little modulation of the response as well. Thus, a long period of attending a dot subset with a large numerosity serves as a baseline period, allowing the 1551 1552 haemodynamic responses to return to baseline.

Each numerosity dot pattern was presented briefly (300 ms) to ensure participants did not have time to count (Figure 3.1C). A new random pattern was presented every 650 ms, with a 350 ms presentation of a uniform grey background between dot pattern presentations. This was repeated three times, over 1950 ms, corresponding to one fMRI volume acquisition (i.e., 1557 TR). Each numerosity was presented six times, corresponding to 2 TRs, before moving to next1558 numerosity in the stimulus sequence.

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#### 1560 *Experiment 2*

1561 In order to investigate the extent to which numerosity responses were modulated by numerosity 1562 preference and attentional state, we applied a 2 (preferred numerosity vs. non-preferred numerosity) x 2 (attended vs. unattended) block-design experiment (Fig. 3A). We focused on 1563 1564 the neural populations that had preferred numerosities of 2 to 4, determined from Experiment 1565 1, given that the large proportions of neural populations tuned to these numerosities (Cai, 1566 Hofstetter, van Dijk, et al., 2021a; A. Nieder & Merten, 2007). To maintain a constant total 1567 numerosity of 40 in the stimulus displays, the stimuli consisted of a small subset of 2/3/4 dots 1568 and a large subset of 38/37/36 dots in the opposite color, or two equal-sized subsets of 20 black dots and 20 white dots. 1569

Each dot pattern was presented similarly to Experiment 1 with 300 ms presentation of a dot pattern followed by 350 ms presentation of a grey background. This was repeated six times for each numerosity when the stimuli consisted of variable-sized dot subsets (presentations of 2+38, 3+37 or 4+36 dots) and 18 times when the stimuli consisted of two equal-sized subsets (presentations of 20+20 dots). The presentations of the numerosities of 2/3/4 were randomized within the block, yet keeping the total numerosity to 40 dots. The total surface area of each dot pattern remained constant.

The stimuli were presented in four blocks, each block lasted 11.7 seconds. As in Experiment 1, participants were informed to which dot subset they should perform a shape change detection task on by verbal instructions at the start of each scan run. This block-design stimulus sequence was repeated seven times at each run. This block structure produces robust BOLD responses, ensuring a sufficient blank period for the hemodynamic responses to return to baseline between blocks.

1583

1584 *Experiment 3* 

In Experiment 2 the non-preferred numerosities varied to match the preferred numerosity so as to remain the total numerosity constant at 40. Thereby the non-preferred numerosities in the attended or unattended set also varied. To further understand numerosity responses to preferred numerosities in the unattended set, in Experiment 3 we fixed the non-preferred numerosity at 20, both in the attended or unattended set. Specifically, we used stimuli that consisted of a subset of 2/3/4 dots in one color and another subset of 20 dots in the opposite color, or two equal subsets of 20 black dots and 20 white dots (Fig. 4A). In other words, the non-preferred
numerosity was constant but the total numerosity varied. Stimulus presentations were identical
to Experiment 2.

1594

## 1595 MRI acquisition and preprocessing

1596 MRI data were acquired from a Philips 7 Tesla scanner (Philips Medical Systems, Best, Netherlands). T1 anatomical data were acquired with an MP2RAGE sequence (Margues et al., 1597 2010) at the spatial resolution of 0.7 mm<sup>3</sup>, repetition time (TR) was 6.2 ms, echo time (TE) 1598 1599 was 2.5 ms, and flip angle (FA) was 5 degrees. Functional T2\*-weighted two-dimensional echo planar images (EPI) were acquired using a 32-channel head coil (Philips Nova Medical) with 1600 1601 the following parameters: isotropic resolution of 1.75 mm<sup>3</sup>, full-brain-coverage field of view (FOV =  $234 \times 112 \times 184 \text{ mm}$ ) covering 64 slices, TR/TE = 1950/25 ms, and FA =  $70^{\circ}$ , multi-1602 band factor = 2. Each functional run had 174 TRs and lasted 339.3 seconds. Top-up scans that 1603 1604 included the opposite phase-encoding direction were acquired following each functional run. 1605 Each scanning session included eight functional runs. Experiment 1 included two scanning 1606 sessions that were collected on separate days, resulting in eight runs for the 'attend black' and 'attend white' conditions, respectively. Three of the participants were scanned for Experiments 1607 1608 2 and 3 on separate days. Each experiment had one session including eight runs. The order of 1609 the two attention conditions was randomised between sessions and participants. One functional run of the 'attend black' condition in Experiment 1 of Participant 3 was excluded due to signal 1610 1611 dropout in the image data.

1612 T1 anatomical scans were resampled to an isotropic resolution of 0.6 mm<sup>3</sup> and preprocessed and automatically segmented grey and white matter using cbs-tools 1613 1614 (https://www.cbs.mpg.de/institute/software/cbs-hrt). Segmentation errors were manually edited using ITK-SNAP (Yushkevich et al., 2006). The cortical surface was reconstructed at 1615 the grey-white matter border and rendered as a smoothed 3D surface. Functional runs were 1616 corrected for head movement and motion using AFNI (Cox, 1996). Image distortions in the 1617 1618 gradient encoding direction were corrected for using the top-up scans (Andersson, Skare, & 1619 Ashburner, 2003). The first six TRs of each functional run were discarded to ensure steady-1620 state magnetization. Functional runs were registered to the anatomical images using vistasoft (https://github.com/vistasoft/wiki). Functional data were interpolated to the anatomical 1621 1622 segmentation space using trilinear interpolation. Functional runs from separate sessions were 1623 imported to the same T1-weighted anatomical space. The time-series data were then aligned to 1624 the anatomical space and then averaged based on the attention conditions in each experiment,

respectively. Data from all recording sites (voxels) were collapsed and averaged onto the nearest point on the cortical surface, which generated a (folded) two-dimensional representation of the grey matter nodes and increased signal strength. The fMRI data were analysed at this space with pRF modelling (Experiment 1) and GLM analyses (Experiments 2 and 3). No spatial or temporal smoothing was applied to the functional data.

1630

## 1631 *pRF modelling*

pRF modelling was applied to the fMRI data collected in Experiment 1 in order to characterize
the numerosity tuning of each recording site in the attention conditions (Dumoulin & Wandell,
2008). Briefly, to characterize numerosity tuning, the pRF model describes the averaged tuning
of the underlying neural populations using a one-dimensional logarithmic Gaussian function
(B. M. Harvey et al., 2013). The Gaussian function is characterized by preferred numerosity
(mean of the Gaussian) and tuning width (standard deviation of the Gaussian).

1638 The pRF model is estimated based on the fMRI data and the time course of the 1639 presented numerosities. In Experiment 1 the total presented numerosity was constant (i.e. 27 1640 dots) throughout the time course and so predicts a constant response and explains no response 1641 variance. However, the numerosity of the attended set changed, so the pRF model was fitted to 1642 the attended set's numerosity rather than the total numerosity. For a large group of candidate 1643 preferred numerosities and tuning widths, a predicted neural response time course is calculated 1644 by taking the attended set's numerosity at each time point and evaluating the candidate 1645 Gaussian function's amplitude at each numerosity in the stimulus time course. Each candidate 1646 predicted neural response time course is then convolved with a canonical hemodynamic 1647 response function (HRF) to create a candidate predicted fMRI time course. The predicted fMRI 1648 time course that brings the best agreement to the measured fMRI time course at this recording site was chosen. Participant-specific HRF parameters were estimated over the whole fMRI 1649 1650 volume and applied to refit the pRF (Ben M. Harvey & Dumoulin, 2011). The Gaussian 1651 function's parameters that generated the best fit fMRI time course were used to characterize 1652 the response at this recording site. The pRF fitting procedure allows preferred numerosity 1653 estimates outside the range of the numerosities in the attended set, ensuring estimates within 1654 the stimulus range are not just the best of a limited set.

1655

#### 1656 Definition of region of interest

1657 We rendered the preferred numerosities of the response model from the average of both 1658 attention conditions in Experiment 1 onto the cortical surface. We excluded recording sites 1659 where the preferred numerosity was outside the main attended numerosity range (i.e. 1-7) or the variance explained by the pRF model was lower than 30% from further analysis. Six ROIs 1660 were drawn on each hemisphere corresponding to the six numerosity maps described in 1661 previous studies (Cai, Hofstetter, van Dijk, et al., 2021a; Ben M. Harvey & Dumoulin, 2017a; 1662 Hofstetter et al., 2021; Tsouli, Cai, et al., 2021): NTO at the temporo-occipital cortex, NPO at 1663 1664 the parietal-occipital cortex, NPC1-3 around the postcentral sulcus of the parietal cortex, and NF in superior frontal cortex. In each map, we manually defined lines on the lowest and highest 1665 1666 points of preferred numerosity ("end" borders). The edges of the map ("side" borders) were 1667 defined around local regions showing good fits of numerosity-tuned response models.

We extracted voxels within these numerosity maps that had the preferred numerosities of 2 to 4 and had more than 30% variance explained by the pRF models in both conditions from Experiment 1, resulting in a new ROI. This ROI was then used for further analysis in Experiments 2 and 3.

1672

## 1673 GLM analysis

1674 We performed GLM analyses on the fMRI data recorded in Experiments 2 and 3. The timing of presentations of the preferred numerosities in the attended set and in the unattended set 1675 1676 served as two predictors in the GLM. This was convolved with a two-gamma HRF to account 1677 for the delayed and dispersed blood flow responses (Glover, 1999). Paired *t*-test was performed 1678 to demonstrate the different responses between conditions where preferred numerosities were in the attended set or in the unattended set, compared to the baseline condition, in a given 1679 1680 condition. Response amplitudes (betas, denoted as percentage BOLD signal change) of 1681 individual maps were computed across hemispheres for individual participants. Repeated 1682 measures ANOVA analysis was performed on the response amplitudes of all participants, with the factors of attentional states and individual maps. Post-hoc analyse was performed 1683 1684 afterwards with Bonferroni correction for multiple comparisons.

1685

# 1686 *Correlations of pRF estimates derived from two attention conditions*

Pearson correlation analysis was performed between numerosity preferences estimated from the 'attend black' and 'attend white' conditions in Experiment 1. Taking into account the functional resolution of the recording sites, the total number of data points (n) used was reduced by the factor that functional voxels were up-sampled onto the 2D cortical surface to calculate the correlation's probability. The correlation coefficients were transformed into z-scores using 1692 Fisher *z*-transformation before averaging the correlation coefficients across maps and1693 participants.

1694

#### 1695 *Cross validations*

1696 We cross validated the numerosity tuning response model fits between the 'attend black' and 'attend white' conditions. Specifically, we split the data of each condition into two haves based 1697 on odd or even runs and cross-validated the pRF estimates within- and between-condition. 1698 1699 Specifically, we fitted the numerosity pRF model on one half dataset and used this to predict 1700 the responses from the other half. We fitted that model to another dataset of the same condition or the opposite condition, giving the cross-validated variance explained  $(cvR^2)$  in each case. 1701 1702 We averaged the  $cvR^2$  from all the iterations of the cross validation combinations. A repeated measures two-way ANOVA analysis was performed in JASP (JASP Team, 2020) to compare 1703 1704 the  $cvR^2$  of the within- and cross-condition validations.

1705

# 1706 Data availability

1707 The data sets generated during the current study are available from the corresponding authors1708 upon reasonable request.

1709

## 1710 Code availability

The code that supports the findings of this study is available in the Vistasoft repository
(<u>https://github.com/vistalab/vistasoft</u>). Additional code is available from the corresponding
authors upon reasonable request.

1714

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1737	Numerosity maps at the human temporal-occipital lobe involved in
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1755	
1756	Supplementary figures of this chapter can be found in Appendix C.
1757	

## 1758 Abstract

Numerosity, the set size of a group of items, helps guide human and animals' behavior and decisions. Numerosity perception is thought to be a precursor of symbolic numerical cognition. Previously, we uncovered neural populations selectively tuned to numerosities organized in a network of topographic maps. Here we investigate whether these numerosity maps are also involved in the processing of symbolic numbers, using ultra-high field fMRI at 7 Tesla and a number-detection task. We found that the numerosity map at the temporal-occipital cortex (NTO) also respond to symbolic numbers. Furthermore, we found that the numerosity-tuned neuronal populations at the NTO map in the left hemisphere are tuned to symbolic numbers. These results reveal different functions of the numerosity maps and, in particular, support the role of the ventral temporal-occipital cortex in linking non-symbolic numerosity and symbolic numerical processing.

# 1771 Keywords: 5-7

1772 Numerosity map, symbolic number, ventral temporal cortex, number form area, ultra-high field1773 fMRI

#### 1792 Introduction

Numerosity, i.e., the set size of a group of items, helps guide humans and animals' behavior 1793 1794 and decisions (S. Dehaene, 2001; Andreas Nieder, 2020b, 2021). Humans share the ability to 1795 perceive numerosity with many animal species, including non-human primates (Brannon & 1796 Terrace, 1998; Cantlon & Brannon, 2006; Sawamura et al., 2002), birds (Ditz & Nieder, 2015; 1797 Emmerton et al., 1997), fish (Agrillo et al., 2008), and insects (Cantlon, Platt, & Brannon, 2009; Giurfa, 2019). Newborn babies and preverbal infants are also able to perceive non-symbolic 1798 1799 numerosity (Feigenson et al., 2004; Izard et al., 2009; Strauss & Curtis, 1981). However, only 1800 human adults possess a unique numerical competence, i.e. symbolic numerical cognition, that 1801 involves the learning of abstract symbols such as Arabic numerals, number words, math and 1802 so forth (Ansari, 2008; Andreas Nieder & Dehaene, 2009).

1803 Whether non-symbolic numerosity and symbolic numbers are represented in a common 1804 abstract coding scheme is a longstanding debate (Ansari et al., 2007; Cohen Kadosh et al., 2007; 1805 S. Dehaene, 1992; Andreas Nieder, 2004; Piazza et al., 2007). Two competing hypotheses have 1806 been proposed. Based on behavioral observations, some researchers propose the existence of two independent numerical systems: one for approximate non-symbolic numerosities and 1807 1808 another for exact symbolic numbers (X. He et al., 2021; Marinova et al., 2021; Sasanguie et 1809 al., 2017). In agreement with this view, neuroimaging evidence has shown distinct neural 1810 activation patterns evoked by non-symbolic and symbolic number formats (J. Bulthé, De Smedt, & Op de Beeck, 2014; Eger et al., 2009). More recently, single-cell recordings in the medial 1811 1812 temporal lobe of neurosurgical patients revealed distinct neurons selectively tuned to non-1813 symbolic and symbolic numbers (Kutter et al., 2018).

1814 Alternatively, another view suggests that non-symbolic numerosity and symbolic 1815 numbers are interconnected. The approximate number system (ANS) shared by human adults, 1816 infants and animals, is believed to be the precursor to the development of symbolic numbers 1817 (Ansari, 2008; S. Dehaene, 2001; Feigenson et al., 2004; Andreas Nieder, 2020a; Piazza, 2010). 1818 It has often been assumed that number symbols acquire their meaning by being mapped onto 1819 the pre-existing non-symbolic representations of numerical magnitude, i.e., the 'mental number 1820 line' (Verguts & Fias, 2004). The ANS is characterized by two behavioral characteristics: the 1821 'numerical distance effect' and 'numerical size effect' (S. Dehaene, Dehaene-Lambertz, & 1822 Cohen, 1998). Psychophysics studies have demonstrated that both non-symbolic (Buckley & 1823 Gillman, 1974) and symbolic (Moyer & Landauer, 1967) numerical magnitudes are subject to 1824 these two effects (Defever, Sasanguie, Gebuis, & Reynvoet, 2011). Moreover, and crucially, 1825 performance with non-symbolic numerical tasks predicted children's mathematics performance

1826 (Gilmore, McCarthy, & Spelke, 2010; Halberda et al., 2008), and training on non-symbolic arithmetic skills improved symbolic math performance (Park, Bermudez, Roberts, & Brannon, 1827 2016). Brain imaging studies identified regions primarily in the parietal and frontal lobes as 1828 key areas of both non-symbolic and symbolic number processing (Arsalidou & Taylor, 2011; 1829 1830 Piazza et al., 2007; Sokolowski, Fias, Mousa, & Ansari, 2017). Examination on brain-damaged 1831 patients associated deficits at key regions responsible for numerosity processing with dyscalculia and acalculia, a learning disability in comprehending and manipulating numbers 1832 1833 (S. Dehaene, Molko, Cohen, & Wilson, 2004).

1834 In the last decades, evidence from single-cell recording in non-human primates (Andreas 1835 Nieder et al., 2002b), crows (Ditz & Nieder, 2015), and human (Kutter et al., 2018) have shown neurons tuned to numerosity, responding maximally when a specific numerosity is displayed, 1836 1837 with responses decreasing as distance from this preferred numerosity increases. Similar 1838 numerosity-tuned responses were shown using an fMRI adaptation paradigm (Piazza et al., 1839 2004). We have since used population receptive field (pRF) modelling (Dumoulin & Wandell, 1840 2008) to show that these neural population responding to specific numerosities are organized 1841 in topographic maps where preferred numerosity changes gradually across the cortical surface 1842 (B. M. Harvey et al., 2013). A network of these numerosity maps were found throughout the 1843 human cortex, specifically in the temporal-occipital lobe (NTO), parietal-occipital lobe (NPO), 1844 parietal lobe (NPC1-3) and frontal lobe (NF) (Cai, Hofstetter, van Dijk, et al., 2021b; Ben M. 1845 Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; Tsouli, Cai, et al., 2021). However, the role these maps play in numerosity perception and symbolic numerical cognition is still 1846 1847 unknown (Tsouli, Harvey, et al., 2021).

1848 Here we ask whether numerosity-selective neural populations within the established 1849 network of numerosity maps are also involved in the processing of symbolic numbers. In our former study (Harvey et al., 2013), we did not find evidence to support the involvement of a 1850 1851 map in the right superior parietal lobe (NPC1) in symbolic number processing. Here, we revisit this question with two conceptual advances. First, we evaluate the entire network of 1852 1853 topographic maps and we speculate that functional specialization of the maps differ (Tsouli, 1854 Harvey, et al., 2021). We hypothesize that the function of the topographic maps may differ 1855 (Tsouli, Harvey, et al., 2021), in particular for symbolic number processing. Second, we redesigned the stimulus and task. Specifically, we suspect that the lack of response to the 1856 1857 presentation of numbers might have been due to the failure of perceiving the semantic meaning 1858 of the presented number symbols, i.e. the number concepts. In the Harvey et al. (2013) study, 1859 participants judged the color of the stimuli but no number judgements were required. The

1860 magnitude information of non-symbolic numerosity (e.g., a dot pattern of "••") spontaneously emerges with a stimulus presentation in the visual format (D. Burr & Ross, 2008; Cicchini et 1861 al., 2016). However, this might not be the case for symbolic numbers (e.g., "2" or "two"), of 1862 which the physical appearance of a symbol bears no numerical information. Furthermore, 1863 attention appears necessary to numerosity perception (Anobile, Cicchini, et al., 2012; D. C. 1864 1865 Burr et al., 2010; Pomè, Anobile, Cicchini, Scabia, et al., 2019). Thus, having a task that involves judgment of symbolic numbers will both focus attention on the number and force 1866 1867 participants to process its magnitude information.

Using ultra-high field fMRI at 7 Tesla (Cai, Hofstetter, van der Zwaag, et al., 2021), we recorded blood oxygen level dependent (BOLD) signals while participants were engaged in a symbolic number experiment with a number-detection task. We analysed the neural responses to symbolic numbers using a general linear model (GLM) analysis throughout the cortex, and within the participant's numerosity maps. We applied a neural model-based analysis, i.e. pRF modelling (Dumoulin & Wandell, 2008) to investigate whether numerosity-tuned neural populations at the numerosity maps are also tuned to symbolic numbers.

1875

## 1876 Methods

1877 *Participants* 

We present data from seven participants (three females, age range 24 – 48 years, two lefthanded). All the participants had normal or corrected-to-normal visual acuity. All were well
educated, with good mathematical abilities. Written informed consent was obtained before
every MRI session. All experimental procedures were approved by the ethics committee of VU
University Amsterdam (Netherlands).

1883

# 1884 Stimuli and experimental Design

Visual stimuli were presented on a 69.84 x 39.29 cm LCD screen (Cambridge Research Systems) behind the MRI bore. Participants were required to lie still and view the display through a mirror attached to the head coil. The total distance from the attached mirror to the display screen was 220 cm. The display resolution was 1920 x 1080 pixels. A button box recorded behavioural responses. Visual stimuli were generated in Matlab using PsychToolbox (Kleiner et al., 2007). A large diagonal cross composed of thin red lines was displayed consistently across the entire screen, serving as a fixation marker.

1892

1893 Localizing non-symbolic numerosity maps

1894 We first ran a localizer experiment to identify the numerosity maps of our participants. We used the same study design as was previously used (Cai, Hofstetter, van Dijk, et al., 2021b; B. 1895 M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; Tsouli, 1896 Cai, et al., 2021). Specifically, a sequence of numerosity stimuli consisting of 1 to 7 dots were 1897 1898 first presented in ascending order, followed by a long period (15.6 seconds) where presented 1899 with the baseline numerosity of 20 dots, then followed by the same sequence in descending order and another identical baseline period (Figure 4.1A). This sequence was repeated four 1900 1901 times for each fMRI scan run.



Figure 4.1. Illustration of experimental design and stimulus presentations. (A) The sequence of the presented non-symbolic numerosity used to localize the numerosity maps. (B) Schematic representation of an example stimulus presentation in the numerosity maps localizer experiment (blue frame in A) within one fMRI volume acquisition (i.e. one TR). Each dot pattern was presented briefly (300 ms) at the central 4° of the visual field, followed by a 350

1908 ms presentation of a gray background before a new random positioned dot pattern presentation. 1909 Each pattern of the same numerosity was repeatedly presented six times, corresponding to two 1910 TRs, before the numerosity changed. Participants fixated the red cross at the centre and pressed a button when dots were shown in white rather than black. (C) The sequence of presented 1911 1912 symbolic numbers. (**D**) Schematic representation of an example trial of symbolic number '3' 1913 in the symbolic experiment (green frame in C) within one TR. Each symbol was presented briefly (300 ms) at the central 1.5° of the visual field, with an inter-stimulus-interval of 350 ms 1914 of a gray background. Each number was presented six times before moving to the next number. 1915 1916 Participants fixated at the red cross and responded when the presented number increased in 1917 magnitude by one as compared to the previous presented number. (E) Letters, similar in 1918 morphology to the target numbers, were used as distractors.

1919

1920 Numerosity stimuli consisted of a group of dots with a constant total surface area presented in the central 4° (diameter) of the visual field. Dots were randomly positioned at each 1921 1922 presentation so that each dot fell entirely within this area, to distribute contrast energy equally 1923 across the stimulus area for all numerosities. Each numerosity presentation that contained the 1924 same number of dots was placed in a new, random position, so no specific visual position was 1925 associated with any numerosity. To prevent perceptual grouping, individual items were 1926 distributed roughly homogeneously across the stimulus area. All of the numerosity stimuli were 1927 displayed as black or white dots on a gray background. Dot patterns were presented briefly (300 ms) to ensure participants did not have time to count. A new random pattern was presented 1928 1929 every 650 ms, with 350 ms presentation of a uniform gray background between dot pattern 1930 presentations. This was repeated six times, over 3900 ms, corresponding to two fMRI volume 1931 acquisitions (TR), before the numerosity changed (Figure 4.1B). On 10% of numerosity 1932 presentations, the dots were shown in white instead of black. Participants were asked to fixate 1933 at the red cross in the center and press a button when whites dots were shown to ensure they were paying attention to the stimulus during fMRI acquisition. Participants responded to 90-1934 1935 100% of the white dots presentations within each run. No numerosity judgements were required.

1936

## 1937 Symbolic number experiment

We used a similar sequence as the numerosity stimulus sequence, but instead of dots we showed Arabic numbers from 1 to 7, and a baseline period using the number '0' (zero). The number '0' was used instead of '20' in order to keep all the stimuli as single-digit numbers (Figure 4.1C) as '20' may be interpreted as containing two items (Jessica Bulthé, De Smedt, & Op de Beeck, 2015). This stimulus sequence was presented in ascending (1 - 7), then
descending order (7 - 1) followed by a baseline period, respectively. This sequence also
repeated four times (cycles) for each functional run.

Symbolic number stimuli were randomly presented in the central 1.5° (diameter) of the 1945 1946 visual field. Each number was presented briefly (300 ms) with an inter-stimulus-interval of 350 1947 ms of a uniform gray background between stimulus presentations. Each number was repeated six times, over 2 TRs before the number changed. Participants fixated the red cross and pressed 1948 1949 a button when the number increased in magnitude by one as compared to the previous presented 1950 number. A list of capitalized letters that were morphologically similar to the symbolic numbers from 1 to 8 were used as distractors: 'L', 'Z', 'E', 'A', 'S', 'G', 'T', 'B' (Figure 4.1E). All the 1951 numbers and letters were displayed in the font of 'Arial Unicode MS' with the font size of 27. 1952 1953 When the stimulus sequence was presented in an ascending order, there were always seven 1954 regular stimulus changes in symbolic number in one cycle that would require a response 1955 ('embedded trials', e.g. when the stimulus changed from 1 to 2, 2 to 3, etc.). In addition to the 1956 embedded trials, at random points along the sequence the stimuli presented was a number 1957 increased by one as compared to the previous number ('catch trials'), or a letter that was 1958 morphologically similar to the target number ('distract trials') (Figure 4.1E). The 'catch trials' 1959 and 'distract trials' correspond to 20% of the total number of stimulus presentation trials. 1960 Percentage of correct responses were calculated for the 'embedded trials' and 'catch trials', 1961 respectively. Responses to the distractor letters or any trials other than the 'embedded trials' and 'catch trials' were counted as false alarms. Task performance was quantified using the 1962 1963 discriminability index (d') of the signal detection theory, which denotes participants' 1964 sensitivity to the targets (Green & Swets, 1966). We determined a response as a hit if it occurred 1965 within 2 seconds after a signal presentation, otherwise it was classified as a false alarm.

1966

# 1967 MRI acquisition and preprocessing

All MRI data were acquired using a Philips 7T scanner (Philips Medical Systems, Best, NL). MP2RAGE (Marques et al., 2010) T1 anatomical MRI data were acquired at the spatial resolution of  $0.64 \times 0.64 \times 0.64$  mm<sup>3</sup> (resampled to  $0.6 \times 0.6 \times 0.6$  mm<sup>3</sup> for following processing). Repetition time (TR) = 6.2 ms, echo time (TE) = 3 ms, and flip angle (FA) = 5°. Functional T2\*-weighted multi-band (factor = 2) 2-dimensional echo planar images (EPI) were acquired using a 32 channel head coil (Philip Nova Medical) at a resolution of  $1.75 \times 1.75 \times$ 1.75 mm<sup>3</sup>. A full-brain-coverage field of view (FOV =  $106 \times 112 \times 236$ ) covering 64 slices was used. TR = 1950 ms, TE = 25 ms,  $FA = 70^{\circ}$ . Each functional scan run has 182 TRs (354.9 seconds in duration), of which the first six TRs were discarded to ensure the signal was at a steady state. Participants were scanned for eight functional runs in one session to localize the numerosity maps, except for participant 4 who had nine runs. For the symbolic number experiment, each participant was scanned for two sessions on separate days, resulting in fifteen to seventeen functional runs in total.

1981 T1 anatomical automatically segmented cbs-tools images were using 1982 (https://www.nitrc.org/projects/cbs-tools/) and then manually edited to minimize segmentation 1983 errors using ITK-SNAP (Yushkevich et al., 2006). This provides a highly accurate description 1984 of the cortical surface, an anatomical segmentation space used for analysis of cortical 1985 organization. The cortical surface was rendered as a smoothed 3D surface. Head movement 1986 and motion artefacts between and within functional images were measured and corrected for 1987 in AFNI (Cox & Hyde, 1997). Using Vistasoft (https://github.com/vistalab/vistasoft/wiki), the 1988 motion-corrected functional images were co-registered to the same anatomical space using the 1989 same transformation. The time-series data were aligned to the anatomy and then averaged. Data 1990 were imported to the anatomical segmentation space using trilinear interpolation. To increase 1991 signal strength, data from all recording sites (voxels) were collapsed onto the nearest point on 1992 the cortical surface layer. This formed a (folded) 2D representation of the gray matter nodes. 1993 pRF modelling and subsequent statistical analyses were performed at this space.

1994

### 1995 *pRF modelling of responses to non-symbolic numerosity*

PRF modelling was applied to estimate numerosity responses (Dumoulin & Wandell, 2008; B.
M. Harvey et al., 2013). Briefly, a one-dimensional logarithmic model was used to predict
numerosity responses. This model describes tuning in logarithmic numerosity space using a
Gaussian function characterized by preferred numerosity (mean of the Gaussian) and tuning
width (standard deviation of the Gaussian).

2001 At each gray matter voxel, the pRF model is estimated based on the recorded signal and 2002 the predicted time course. A prediction of the neural response time course was produced by 2003 overlapping the stimulus (numerosity) at each time point with the Gaussian tuning function. 2004 By convolving this prediction with a hemodynamic response function (HRF), a predicted 2005 response time course was generated. The pRF parameters for each voxel were chosen by those 2006 predicted fMRI time courses that bring the best agreement to the recorded signal, denoted as 2007 variance explained (R<sup>2</sup>). Last, participant-specific HRF parameters were estimated over the 2008 whole fMRI volume and these parameters were used to refit the pRF.

The pRF fitting procedure allows preferred numerosity estimates outside the range of the presented stimuli, ensuring estimates within the stimulus range are not just the best of a limited set. We excluded from analysis any recording sites where the preferred numerosity was outside our presented range and the variance explained was lower than 30%. We then projected the preferred numerosity of these recording sites on the smoothed cortical surface (Figure 4.2 & Supplementary Figure 4.1).





Figure 4.2. Topographic numerosity maps in the human cortex. An example of preferred
numerosity estimates in one participant for the left and right hemispheres. Black lines outline
the edge borders of individual numerosity maps and white lines denote the lowest and highest
preferred numerosities in each map. The map of preferred numerosity estimates is thresholded
at a variance explained of 30%. LH, left hemisphere. RH, right hemisphere. See Supplementary
Figure 4.1 for maps of all other participants.

2022

## 2023 Definition of regions of interest

2024 We defined regions of interest (ROI) where the numerosity-selective neural populations are organized topographically similar to previously reported numerosity maps (Figure 4.2) (Cai, 2025 2026 Hofstetter, van Dijk, et al., 2021b; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; 2027 Tsouli, Cai, et al., 2021). In general, a network of six numerosity maps were defined on the left 2028 and right hemispheres, respectively. These maps lay in the temporal-occipital cortex (NTO), parietal-occipital cortex (NPO), parietal cortex (NPC1, NPC2, NPC3) and superior frontal 2029 2030 cortex (NF). Within each ROI, we manually defined map borders on the lowest and highest 2031 preferred numerosities (white lines) and the map edges around the local regions with increase 2032 in model goodness of fit (black lines).

2033

2034 Analysis of neural responses to symbolic numbers

2035 First, we performed GLM analyses on the averaged functional data of the symbolic number experiment. The GLM analyses included the presentation of the main numbers (i.e., "1-7") as 2036 2037 a predictor. We projected the t-values at the recording sites where the GLM model explained more than 30% of the variance at the site onto the cortical surface. We then tested the neural 2038 2039 responses to symbolic numbers within the numerosity maps. We averaged the time-series 2040 across voxels within each map and fitted the averaged time-series with the GLM model to attain the *t*-values representing the overall responses at individual maps. We performed a 2041 2042 repeated two-way ANOVA analysis on the t-values of individual maps in both hemispheres of 2043 all the participants, followed by a post-hoc analysis for multiple comparisons (JASP Team, 2044 2020). Then, a one sample t-test was performed on the t-values at each map across participants 2045 to test whether the overall responses at individual maps in each hemisphere are significantly 2046 higher than zero.

Next, to explore whether the neural responses in the NTO maps are also tuned to symbolic numbers, we fitted pRF models to the data. In contrast to the numerosity model, we fitted a Gaussian tuning function in linear numerical space as symbolic numbers are more precisely and linearly represented (Verguts & Fias, 2004). We then averaged the variance explained by the pRF models across voxels within bilateral NTO maps, respectively.

2052 We cross-validated the results by splitting the data into two halves, based on odd and even runs. We fitted both the GLM model and pRF model on each half dataset. The variance 2053 2054 explained of the pRF prediction from one half dataset was evaluated on the time series of the 2055 other half dataset, yielding new variance explained at the recording sites. Cross-validated 2056 variance explained by the GLM model or pRF model were then calculated by averaging the 2057 resulting variance explained over the two halves datasets, respectively. We then quantified the 2058 proportion of recording sites where neural activity is better explained by tuning models rather than the GLM at bilateral NTO maps, respectively. Differences between the (cross-validated) 2059 2060 variance explained of the pRF model and (cross-validated) variance explained of the GLM 2061 were calculated. We performed a pair t-test to compare the degree of the difference in the 2062 variance explained of the pRF model and GLM model. A Wilcoxon's sign rank test was 2063 performed on the degree of difference to investigate whether the difference is significantly 2064 higher than zero.

Finally, we performed a Pearson correlation analysis between the preferred numerosity estimates and the preferred number estimates at the recording sites responding to both numerosity and symbolic numbers in the NTO map. Taking into account the functional resolution of the recording sites, the total number of data points (n) used to calculate 2069 correlation's probability was reduced by the factor by which functional voxels were up-2070 sampled onto the 2D cortical surface.

2071

#### 2072 Conversion to MNI coordinates

Our analyses were in individual participant space. To identify the location of the NTO map on an average brain, we converted these to MNI *x*, *y*, *z* coordinates. We first located at each individual participants' map centres on the cortical surface. We then transformed each participant's anatomical MRI data, together with these map centre locations, into MNI averaged template space using MINC toolkit (Collins, Neelin, Peters, & Evans, 1994) (http://packages.bic.mni.mcgill.ca) and rigid alignment and linear scaling. We took the mean and standard deviation of the resulting MNI coordinates of the NTO map across participants.

2080

## 2081 Results

## 2082 *Participants engaged in the task*

All the participants performed the task with a high percentage of correct responses, both of detecting changes in symbolic numbers as part of the stimulus sequence ('embedded trials', mean  $\pm$  SD: 93.6%  $\pm$  3.4%, d' = 4.1  $\pm$  0.4) and random changes ('catch trials', 83.3%  $\pm$  9.6%, d' = 3.7  $\pm$  0.4). The percentage correct and d' suggest that participants were engaged in the task and processing the semantic meaning of the presented numbers (see Supplementary Table 4.1 for the performance of individual participants).

2089

## 2090 Numerosity map NTO responds to symbolic numbers but not the other maps

2091 Figure 4.3A shows the results of the GLM analysis of the main testing numbers of "1-7" (red) 2092 and the baseline number of "0" (blue). Most brain regions responding to symbolic numbers did not overlap with the location of the numerosity maps, except for NTO maps in the ventral 2093 2094 stream of the bilateral temporal occipital cortices (Figure 4.3A lower panel, see also Supplementary Figure 4.2 for the results of all other participants). To illustrate the responses 2095 2096 to symbolic numbers, we extracted a time-series from an example recording site at the NTO 2097 map of participant 1 (Figure 4.3B). Responses were observed at the presentation of the main 2098 symbolic numbers (t = 18.6), and the GLM prediction captured most of the variance in the signal ( $R^2 = 86\%$ ). Repeated two-way ANOVA analysis with the factors of hemispheres and 2099 2100 maps (see Methods) showed a significant effect of maps ( $F_{(5,78)} = 7.28$ , p < 0.001), but no 2101 significant effect of laterality ( $F_{(1,78)} = 0.142$ , p = 0.726) and no interaction effect ( $F_{(5,78)} = 1.124$ , 2102 p = 0.379). Based on these results, we averaged *t*-values of individual maps across hemispheres

2103 for individual participants (Figure 4.3C, but see results for left and right hemispheres separately, 2104 in Supplementary Figure 4.3). Post-hoc analysis showed that the NTO map exhibited 2105 significantly higher responses to symbolic numbers than other maps (Bonferroni corrected for multiple comparisons, Figure 4.3C). Furthermore, one-sample *t*-test performed on the *t*-values 2106 2107 of each individual map across participants showed that only the NTO map exhibited responses 2108 significantly higher than zero ( $t = 6.12 \times 10^{-7}$ , p = 0.0005). These results indicate that neural 2109 populations at the NTO map not only respond to non-symbolic numerosity but also to symbolic 2110 numbers.



Figure 4.3. Stimulus-driven responses to symbolic numbers at numerosity maps. (A) The 2112 2113 result of an example participant of the GLM analysis which contrasted the responses to the 2114 number of "0" (blue colors) and "1-7" (vellow-red colors). Lower panel shows the ventral view 2115 of the cortical surface where the responses to symbolic numbers overlap with the NTO map. 2116 Only recording sites (i.e. voxels) where the variance explained  $(R^2)$  by the GLM exceeding 30% 2117 were projected on the cortical surface. (B) Response time-series to symbolic numbers at an 2118 example recording site extracted from the NTO map. The GLM prediction (solid line) captured 2119 86% of the variance at this recording site with a corresponding t-value of 18.6. Dots represent 2120 the averaged response amplitude and error bars represent standard errors of the mean over 2121 repeated measures. (C) Averaged t-values at individual maps across hemispheres and
participants. Repeated two-way ANOVA analysis (followed by post-hoc analysis for multiple comparison) shows that responses at NTO are significantly higher than responses at the other maps. Bars represent the mean and error bars represent the standard deviations of the mean. \*, p = 0.005; \*\*, p = 0.002; \*\*\*, p < 0.001.

2126

2127 Are responses at the NTO map also tuned to symbolic numbers?

Next we asked whether the responses to symbolic numbers at the NTO maps also show tuning 2128 characteristics which underlies perception (Tsouli, Harvey, et al., 2021), rather than untuned 2129 2130 stimulus-driven responses. We found that the pRF models explained the data well and captured most of the response variance. Figure 4.4A shows a time-series of an example recording site at 2131 2132 the NTO map of participant 1 (open circles). The pRF model explained about 90% of the variance at this site (solid line), and indicated that this recording site prefers the symbolic 2133 2134 number of about 3.4. Overall, the pRF models achieved considerable variance explained at bilateral NTO maps across all the participants (mean  $\pm$  SD: R<sup>2</sup> = 71  $\pm$  16% in the left NTO map 2135 2136 and 70%  $\pm$  20% in the right NTO map) (Figure 4.4B).

2137 We quantified the proportion of the recording sites where the tuning model explained 2138 more variance in the neural responses than the GLM (Figure 4.4C-D). Overall, more than half 2139 of the recording sites at the NTO maps across participants and hemispheres showed higher 2140 variance explained by the tuning model (mean  $\pm$  SD: 56  $\pm$  14% in the left NTO map and 52% 2141  $\pm$  11% in the right NTO map). However, only the responses at the left NTO maps were significantly higher than zero (Wilcoxon sign rank test, p = 0.0391). Paired *t*-test showed that 2142 2143 the left NTO maps had a significantly higher variance explained by the tuning model than the 2144 right NTO maps (t = 4.53, p = 0.004). These results suggest that numerosity-tuned neural 2145 populations at the left NTO map are also tuned to symbolic numbers.

We compared the preferred numerosity estimates and preferred number estimates of the neural populations in the left NTO map that responded to both stimuli. We did not find a significant correlation between these estimates (Pearson correlation coefficient, mean  $\pm$  SD: *r*  $= 0.10 \pm 0.2$ ) (Supplementary Figure 4.4). These results suggest that the neural populations tuned to symbolic numbers in the left NTO map were distinct from the neural populations tuned to non-symbolic numerosity.

2152



2154 Figure 4.4. Numerosity-tuned neural populations at the left NTO map are tuned to 2155 symbolic numbers. (A) Response time-series of an example recording site at the NTO map of 2156 participant 1 and the pRF model prediction. Dots represent the mean response amplitude and error bars represent standard error of the mean over repeated measures. The best fit neural 2157 model (solid line) captured more than 90% of the variance at this site. (B) Averaged variance 2158 2159 explained at bilateral NTO maps across participants of the pRF models fitted with the averaged time series across recording sites within the map. (C) Cross-validated variance explained by 2160 2161 pRF model and GLM at all the recording sites within the NTO map of participant 1. Black line 2162 indicates an equal variance explained by the GLM and pRF model. Texts indicate the 2163 proportion of recording sites where the pRF model fit the data better than the GLM. (**D**) 2164 Difference in variance explained at bilateral NTO maps derived by pRF and GLM models, 2165 averaged across participants. The NTO map in the left hemisphere shows significantly higher variance explained than zero (indicated by the red \*, p = 0.0391), and significantly different 2166

2153

from the variance explained of the right NTO map (indicated by the black \*, p = 0.004). LH, left hemisphere. RH, right hemisphere.

2169

Last we investigated the NTO map in the context of other regions implicated in functional 2170 2171 specializations in the ventral cortex, in particular the number form area (NFA). To identify the 2172 coordinates of the NTO map, we transformed the hemispheres of each participant into Montreal 2173 Neurological Institute (MNI) space and averaged the coordinates across participants. Table 1 shows the averaged coordinates (values are given as mean (SD), see Supplementary Table 4.2 2174 2175 for the coordinates of individual participants) at the centre of the NTO map in the current study and in our previous study (Ben M. Harvey & Dumoulin, 2017a). We then compared with the 2176 2177 coordinates of the NFA previously reported in the inferior temporal gyrus and suggested to be specialized for Arabic numeral processing. Though we refrain from statistical analyses on these 2178 2179 coordinates given all the differences in methods, we propose that NTO is close but distinct from the NFA. 2180

2181

Table 1. The MNI coordinates of the NTO map and the NFA

Cortical regions	Reported studies	Left hemisphere			Right hemisphere		
Conticui regionis		Х	У	Z	Х	У	Z
NTO map	current study (n = 7)	-40(4)	-67(8)	-8(4)	40(3)	-74(4)	-7(4)
	Harvey et al., 2017 (n = 5)	-42(3)	-77(3)	3(8)	44(7)	-75(1)	-4(3)
NFA	Shum et al., 2013 (n = 5)	-	-	-	51	-54	-24
	Abboud et al., 2015 (n = 9)	-	-	-	54	-45	-17
	Hermes et al., 2017 (n = 10)	-	-	-	57	-51	-17
	Yeo et al., 2017 (meta-analysis)	-	-	-	51	-49	-15
	Grotheer et al., 2016 (n = 24)	-60	-57	-17	61	-45	-17

- 2182 (n: number of participants; -: no data)
- 2183

# 2184 Discussion

Here we studied whether numerosity-tuned neural populations within a network of topographic maps respond to symbolic numbers. We used a number-detection task that requires participants' attention and forced processing of the semantic meaning of the presented Arabic numbers. Behavioral measures indicate that the participants indeed processed the semantic meaning of the numbers. We found that neural populations in the ventral temporal occipital cortex (NTO), but not the other numerosity maps, respond to symbolic numbers. The neural populations within the left NTO map were also found to be tuned to the presented numbers.

Previously, our colleagues explored neural responses to symbolic numbers (B. M. 2192 2193 Harvey et al., 2013). In that study, however, the focus was only on the superior parietal cortex 2194 (NPC1) and with a task that did not require participants to attend or process the semantic 2195 meaning of the numbers. Here, we revisit this question exploring the established network of 2196 numerosity maps throughout the brain covering the temporal, parietal and frontal cortices (Cai, 2197 Hofstetter, van Dijk, et al., 2021b; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021; 2198 Tsouli, Cai, et al., 2021), together with a number-detection task requiring the participants to 2199 process the semantic meaning of numbers. In the human visual cortex, multiple visual field 2200 maps are specialized for specific functions (Wandell et al., 2007). Analogous to the visual field 2201 maps, we suspect that different numerosity maps are also specialized for different functions 2202 (Tsouli, Harvey, et al., 2021). In line with our previous findings, we did not observe responses 2203 to symbolic numbers in the NPC1 map on the parietal cortex. We did find responses to 2204 symbolic numbers in the NTO map at the ventral stream of the occipitotemporal region (VOT).

2205 We not only found that NTO map responds to symbolic numbers, we also found that 2206 neuronal populations in the left NTO are tuned to symbolic numbers, i.e. preferentially respond 2207 to a specific number. Tuning to symbolic number was uncorrelated to tuning to numerosity 2208 suggests that these are distinct but overlapping populations (Ben M. Harvey, Dumoulin, 2209 Fracasso, & Paul, 2020; Hofstetter et al., 2021). We found tuning to numbers in the left NTO, 2210 which is in line with the observation that single neurons are tuned to symbolic numbers in human medial temporal lobe (Kutter et al., 2018). We did not find evidence for tuning to 2211 2212 symbolic numbers in right NTO, which may be a genuine hemispheric difference, but can also 2213 be attributed to methodological issues, such as the size of the map (Cai, Hofstetter, van Dijk, 2214 et al., 2021b) or larger (scatter) of tuning preferences of individual neurons thereby blurring 2215 the tuning properties at the population level.

The human VOT region contains functional areas that exhibit strong selectivity for categories such as faces, bodies, word forms, visual objects and scenes (Op de Beeck, Pillet, & Ritchie, 2019) and, in addition, visual number symbols (S. Dehaene & Cohen, 1995). Previous studies have revealed a reproducibly localized NFA in the inferior temporal gyri (Abboud,
Maidenbaum, Dehaene, & Amedi, 2015; Grotheer, Herrmann, & Kovács, 2016; Hannagan,
Amedi, Cohen, Dehaene-Lambertz, & Dehaene, 2015; Hermes et al., 2017; Shum et al., 2013;
Yeo, Wilkey, & Price, 2017). In line with the functional specialization in ventral occipital
cortex, our findings suggest that the numerosity-selective neural populations at the NTO map
also respond to symbolic numbers, indicating that numerosity-tuned neural populations in the
VOT region also play a critical role in symbolic number processing.

2226 Representations of numerosity in parietal and frontal brain regions are well investigated 2227 in both humans and nonhuman primates (S. Dehaene, 2003; B. M. Harvey et al., 2013; Andreas Nieder & Dehaene, 2009). Studies have demonstrated increased functional connectivity 2228 2229 between ventral temporal regions with parietal and frontal regions during calculation (Park, Hebrank, Polk, & Park, 2012), and even in the blind (Abboud et al., 2015). Our results show 2230 2231 that the NTO map in the VOT region is involved in symbolic number processing. However, 2232 we did observe responses in other brain regions but not in the remainder of network of 2233 numerosity maps.

2234 Representation of symbolic numbers is suggested to evolve from non-symbolic 2235 numerosity representations (S. Dehaene & Cohen, 2007; Piazza et al., 2007). The high-level 2236 human numerical ability of processing numbers are believed to be linked to evolutionarily 2237 conserved numerosity representation during cognitive development (Halberda et al., 2008; 2238 Szkudlarek & Brannon, 2017). The finding of human number neurons also support the 2239 hypothesis that symbolic number cognition is rooted in biologically determined mechanisms 2240 (Kutter et al., 2018). In line with these findings, our results that the neural populations in the 2241 NTO map at the ventral temporal-occipital lobe respond to numerosity and number symbols, 2242 support a link between non-symbolic and symbolic numerical processing.

2243

#### 2244 Conclusions

To conclude, we found neural populations in the NTO map at the human temporal-occipital cortex responding to numerosity and number stimuli, while the neural populations in the left NTO map are also tuned to symbolic numbers. These results support the hypothesis that numerosity perception is the precursor of the human-unique numerical abilities of processing number symbols.

2250

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2254	
2255	Competing interests
2256	The authors declare no competing interests.
2257	
2258	Data and Code Availability Statement
2259	The code generated during this study is available in the Vistasoft repository
2260	(https://github.com/vistalab/vistasoft).
2261	
2262	The datasets supporting the current study have not yet been deposited in a public repository
2263	because of participants privacy concerns, but are available from the corresponding author on
2264	request.
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2313	S. H., W. van der Z. and S. O. D. edited the paper. S. O. D. and S. H. provided supervision.
2314	
2315	Supplementary figures of this chapter can be found in Appendix D.
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## 2317 Abstract

The field of cognitive neuroscience is weighing evidence about whether to move from the current standard field strength of 3 Tesla (3T) to ultra-high field (UHF) of 7T and above. The present study contributes to the evidence by comparing a computational cognitive neuroscience paradigm at 3T and 7T. The goal was to evaluate the practical effects, i.e. model predictive power, of field strength on a numerosity task using accessible pre-processing and analysis tools. Previously, using 7T functional magnetic resonance imaging and biologically-inspired analyses, i.e. population receptive field modelling, we discovered topographical organization of numerosity-selective neural populations in human parietal cortex. Here we show that these topographic maps are also detectable at 3T. However, averaging of many more functional runs was required at 3T to reliably reconstruct numerosity maps. On average, one 7T run had about four times the model predictive power of one 3T run. We believe that this amount of scanning would have made the initial discovery of the numerosity maps on 3T highly infeasible in practice. Therefore, we suggest that the higher signal-to-noise ratio and signal sensitivity of UHF MRI is necessary to build mechanistic models of the organization and function of our cognitive abilities in individual participants. **Keywords** Ultra-high field, BOLD, numerosity map, computational model, cognitive neuroscience

#### 2351 Introduction

Cognitive neuroimaging studies typically require fast whole brain image acquisitions with high 2352 signal-to-noise ratio (SNR) and maximal sensitivity to small blood oxygenation level 2353 dependent (BOLD) signal changes for reliable detection. This is especially the case for 2354 2355 computational neuroimaging where we go beyond the detection of activation to build 2356 computational models of neural function in individual participants (De Martino et al., 2018; 2357 Dumoulin & Knapen, 2018; Dumoulin & Wandell, 2008; Kay, Naselaris, Prenger, & Gallant, 2008; Naselaris, Kay, Nishimoto, & Gallant, 2011; Wandell, 1999; Wandell & Winawer, 2015). 2358 2359 The use of magnetic resonance imaging (MRI) systems operating at field strengths greater than 3 Tesla (3T), i.e., ultra-high field (UHF) at 7T and above, is becoming popular in cognitive 2360 2361 neuroscience since these systems provide greatly increased SNR and sensitivity to BOLD 2362 contrast.

2363 One of the earliest discoveries using UHF in the field of cognitive neuroscience was 2364 the existence of topographic maps that represent dimensions of numerical cognition (Cai, 2365 Hofstetter, van Dijk, et al., 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2366 2017a). Following studies, extended this finding of cognitive topographic maps and uncovered 2367 maps representing object size (Ben M. Harvey et al., 2015), time duration (Ben M. Harvey et 2368 al., 2020; Protopapa et al., 2019) and haptic numerosity (Hofstetter et al., 2021). These discoveries suggested that topographic principles common in primary sensory and motor 2369 cortices may also be an organizational principle of cognitive functions in association cortex. 2370 However, all these studies used 7T functional MRI (fMRI), and anecdotal reports suggested 2371 2372 failure to reconstruct these maps at lower field strengths.

2373 Here, we ask whether these cognitive topographic maps can be reconstructed at 3T and 2374 we will use these maps and computational models to quantify the differences between 3T and 2375 7T. We focus on visual topographic numerosity maps. Numerosity, the set size of a group of 2376 items, is critical to guide human and animals' behaviour and decision (D. Burr & Ross, 2008; Carey, 2001; Andreas Nieder & Dehaene, 2009). Previously, using population receptive field 2377 2378 (pRF) modelling (Dumoulin & Wandell, 2008) at 7T, we have demonstrated that neural 2379 population in fMRI recording sites (voxel) are selectively responding, i.e., tuned, to certain 2380 preferred numerosities and that this numerosity tuning can be captured with a logarithmic 2381 Gaussian model. Furthermore, different cortical locations have different preferred numerosities 2382 and these preferred numerosities increase systematically across the parietal cortex, i.e., forming 2383 a numerosity topographic map (Cai, Hofstetter, van Dijk, et al., 2021a; B. M. Harvey et al., 2384 2013; Ben M. Harvey & Dumoulin, 2017a; Hofstetter et al., 2021).

2385 In this study, we measure BOLD responses to a range of numerosities at 3T and 7T, respectively, and use pRF modelling to evaluate the responses of the numerosity-selective 2386 2387 neural populations. We compare the variance explained by the numerosity model to the measured responses at the two field strengths as a function of the number scan runs. In this 2388 2389 way, we quantify the extent to which 7T outperforms 3T in terms of the model predictive power. 2390 Though there is already an extensive literature on comparisons between field strengths (Duong 2391 et al., 2003; Alexander Geißler et al., 2013; Pohmann, Speck, & Scheffler, 2016; van der Zwaag 2392 et al., 2009), this work directly compares the dependence of model predictive power on field 2393 strength in the field of computational neuroimaging.

2394

## 2395 Methods

# 2396 Participants

We present data from three participants (one female, age range 22 – 45 years). All participants
had normal or correct-to-normal visual acuity. All participants were well educated, with good
mathematical abilities. Written informed consent was obtained before every scanning session.
All experiments were approved by the ethic committee at University Medical Centre Utrecht.

2401

# 2402 Stimulus presentation

Visual stimuli were presented on a 69.84 x 39.29 cm LCD screen (Cambridge Research
Systems) placed behind the 3T and the 7T MRI bores which was viewed through a mirror
mounted on the coil. The distance from the mirror to the display screen was 210 / 220 cm at
the 3T / 7T scanner rooms, respectively. The stimuli were adjusted to have equal visual angle
on the two display screens. The display resolution was 1920 x 1080 pixels.

2408 The visual stimuli were generated in Matlab using PsychToolbox (Brainard, 1997; Kleiner et al., 2007; D G Pelli, 1997). A large red diagonal cross was displayed continuously 2409 2410 across the entire screen (10.2° diameter), which served as an accurate fixation marker for participants. Stimuli consisting of various number of dots presented in the central 1.5° 2411 2412 (diameter) of the visual field in a grey background. We used the "constant area" configuration from the original study (B. M. Harvey et al., 2013), which keeps the total surface area of all 2413 2414 the presented dots combined constant of all the numerosities, ensuring equal luminance across 2415 all the dot arrays. The main numerosity stimuli of 1 to 7 dots were presented sequentially in 2416 ascending order, followed by a longer baseline period (13.5 s) containing 20 dots. Then the 2417 main stimuli were presented in descending order, followed by another baseline period (Figure 2418 5.1A). This sequence was repeated 4 times in each functional run. Before the first cycle initialized, there was a pre-scan period (12 s) presenting the baseline numerosity of 20 dots. 2419 2420 Dots of all the numerosities were positioned randomly and homogenously to avoid any links 2421 between numerosity and visual position and grouping effects (Figure 5.1B). Numerosity 2422 stimuli were presented briefly (300 ms) as black dots to ensure participants did not have time 2423 to count. This was repeated every 750 ms, each time with a new random dot pattern presented, 2424 with 450 ms presentation of a uniform grey background between pattern presentations. Each 2425 pattern of the same numerosity was repeatedly presented six times, over 4500 ms, 2426 corresponding to 3 fMRI volume acquisitions (repetition time, i.e., TR), before the numerosity 2427 changed (Figure 5.1C). In 10% of the stimuli presentations, dots were shown in white instead 2428 of black. Participants were instructed to press a button when they saw white dots to ensure they were paying attention to the stimuli during fMRI acquisition. No numerosity judgements were 2429 required during the experiment. Participants responded correctly on 90-100% of the white dots 2430 2431 presentations in each run.





Figure 5.1. Illustration of the experimental design and stimuli presentation. (A) Presented
stimulus sequence in which numerosities consisting of 1 to 7 dots were shown in an ascending
order followed by a baseline period containing 20 dots, then descended from 7 to 1 followed
by another baseline period. (B) Two examples of numerosity stimuli presented to the

participant in the scanner. The dot array covered the central 1.5° (visual angle) diameter within 2437 a 10.2° diameter mean-luminance (grey) screen. A large, thin, red fixation cross passed 2438 diagonally through the center of the display, and through the center of the dot array. Participants 2439 2440 were asked to fixate on the intersection of the cross and press a button when dots were shown 2441 in white. (C) Schematic representation of stimuli presentation in one fMRI volume acquisition 2442 (TR). Numerosity stimulus was presented briefly (300 ms), followed by a 450 ms presentation 2443 of a uniform grey background before a new random positioned dot pattern presentation. Each 2444 pattern of the same numerosity was repeatedly presented six times, over 4500 ms, 2445 corresponding to 3 TRs, before the numerosity changed.

2446

# 2447 MRI acquisition

Scanning was carried out on two Philips Achieva scanners operating at 3T and 7T. Functional 2448 data were acquired using 32-channel receive head coils (Philips at 3T and Nova Medical at 7T). 2449 2450 A multi-slice, single-shot gradient echo (GE) echo planar imaging (EPI) sequence was used at 2451 both scanners. Acquisition parameters for the EPI are listed in Table 1. At both systems, all 2452 functional runs had 248 volumes and each session had 8 runs. Three 3T and two 7T sessions 2453 were acquired for each participant on separate days. Anatomical images of each participant 2454 were collected at 7T using an MP2RAGE sequence (Marques et al., 2010) in separate sessions. 2455 The key MR parameters of the T<sub>1</sub> were as follows: matrix size =  $273 \times 367 \times 367$ , voxel size  $= 0.64 \times 0.64 \times 0.64$  mm<sup>3</sup>, TR<sub>MP2RAGE</sub> = 5.5 s, TR/TE = 6.2/2.2 ms, TI<sub>1</sub>/TI<sub>2</sub> = 0.8/2.7 s, flip 2456 2457 angle =  $7^{\circ}/5^{\circ}$ . MP2RAGEs are relatively insensitive to the B<sub>1</sub>-inhomogeneities present at 7T 2458 and yield good segmentation and co-registration results at high spatial resolution (Haast, 2459 Ivanov, & Uludağ, 2018; Huntenburg, Steele, & Bazin, 2018).

2460

**Table 1.** Acquisition parameters for the acquired EPI at 3T and 7T

Field strength (B <sub>0</sub> )	TR (ms)	Voxel size (mm <sup>3</sup> )	TE (ms)	FA (°)	FOV (mm <sup>2</sup> )
3T	1500	1.98 x 1.98 x 2.00	28	80	46 x 190 x 190
7T	1500	1.98 x 1.98 x 2.00	25	70	50 x 190 x 190

2461

# 2462 *Data pre-processing*

Anatomical data pre-processing included skull stripping and resampling to a spatial resolution of  $0.6 \times 0.6 \times 0.6 \text{ mm}^3$ . T<sub>1</sub> images were automatically segmented using cbs-tools (Bazin et al., 2014) and then manually edited to minimize auto-segmentation errors using ITK-SNAP 2466 (Yushkevich et al., 2006) (www.itksnap.org/). This provides a highly accurate description of 2467 the cortical surface, an anatomical segmentation space used for analysis of cortical organization. The cortical surface was reconstructed at the grey-white matter border and rendered as a 2468 2469 smoothed 3D surface. Pre-processing of the functional data was performed using AFNI (Cox, 2470 1996; Cox & Hyde, 1997). The first 8 volumes of each run were discarded to account for signal 2471 equilibrium and participants' adaptation to the immediate environment. Head movement and 2472 motion artefacts between and within the remaining volumes were measured and corrected for. 2473 All functional images collected at the same session were averaged to generate a common mean 2474 EPI image.

2475 Pre-processed functional data were then analysed in mrVista, which is freely available at (https://github.com/vistalab/vistasoft). For each participant, at every session, the mean EPI 2476 2477 image was aligned to the anatomy. Individual functional images were then imported and co-2478 registered to the same anatomical space using the same transformation. To vary the signal 2479 strength, functional images were averaged with a variable number of runs, e.g., 8, 16 or 24 runs 2480 from the 3T and 8 or 16 runs from the 7T sessions. Subsequently, the averaged datasets were 2481 collapsed onto the nearest point on the cortical surface across depth, which generated a (folded) 2482 2-dimentional grey matter surface. pRF modelling and subsequent statistical analyses were 2483 done at this space, except for the validation analyses using data points across cortical depth 2484 (i.e., un-collapsed data, see below). No spatial or temporal smoothing was applied to the 2485 functional data.

2486

# 2487 Numerosity pRF modelling

2488 We applied pRF modelling to the data using a model that was developed to estimate numerosity 2489 tuning properties in human brains (Dumoulin & Wandell, 2008; B. M. Harvey et al., 2013). 2490 Specifically, a one-dimensional logarithmic model was adopted to predict neuronal responses 2491 at each stimulus time point of the numerosity presentation. The model describes tuning in logarithmic numerosity space using a Gaussian function characterized by two parameters: 2492 2493 preferred numerosity (central position) and tuning width (standard deviation). A prediction of 2494 the neural response time course was produced by overlapping the stimulus at each time point 2495 with this tuning model. Then by convolving this prediction with a haemodynamic response 2496 function (HRF), a predicted time course was generated. For each voxel on the 2D cortical 2497 surface, the parameters were chosen from the prediction that fits the data most closely by 2498 minimizing the sum of squared errors between the predicted and observed fMRI time series. 2499 The model goodness-of-fit was described by the variance explained  $(R^2)$ . The neural responses

of each voxel were described by the pRF model with a particular set of parameters. This modelling procedure was applied to the pre-processed functional data averaged with a variable number of runs, e.g., 8, 16 or 24 runs from the 3T or 8 and 16 runs from the 7T sessions. Thus, we reconstructed the numerosity maps at each field strength, for each participant, respectively.

2504

### 2505 Definition of region of interest

We defined region of interest (ROI) on the participants' right hemispheres at the intraparietal 2506 2507 sulcus (IPS), a key brain region for numerosity perception (S. Dehaene, 2001; Feigenson et al., 2508 2004; Kutter et al., 2018; Andreas Nieder, 2016; Andreas Nieder et al., 2002b), and was the 2509 first location where a topographic map of numerosity was found (B. M. Harvey et al., 2013). 2510 In this study, we refer to this ROI as NPC1 (numerosity map in parietal cortex 1) as defined in 2511 previous studies (Ben M. Harvey & Dumoulin, 2017a) and following naming conventions of 2512 newly discovered visual field maps in human cortex where homologues to non-human primates 2513 are unclear (Wandell et al., 2007). NPC1 lays in the right hemisphere, on the gyrus posterior 2514 to the superior postcentral sulcus, and its center position was found at (22, -61, 60) in Montreal 2515 Neurological Institute (MNI) coordinates (B. M. Harvey et al., 2013; Ben M. Harvey & 2516 Dumoulin, 2017a).

2517

#### 2518 Model-based analysis

2519 To compare the model predictive power of the two field strengths, without relying on a predefined model for the functional responses, reference datasets were generated by averaging 2520 2521 8 functional runs from each field strength, respectively (Figure 5.2A). The remaining 2522 individual functional runs were taken as independent test datasets (Figure 5.2D). Every session 2523 was taken as the reference dataset in turn, including all 3T or 7T sessions. Hence, when taking 2524 one 7T session as the reference dataset, the independent test dataset included 8 functional runs 2525 from the other 7T session and 24 runs from the 3T sessions, etc. More comparisons between 2526 reference dataset and independent dataset were made by averaging runs across scanning 2527 sessions. The functional runs of the two 7T sessions were mixed based on odd or even order, 2528 resulting in 4 new reference datasets. Similarly, we created 3 new reference datasets consisting 2529 of eight 3T runs by recruiting every 2 runs from the total 24 3T functional runs. Thus, for each 2530 field strength, six different reference datasets and the remaining runs as test datasets were 2531 generated for further analysis.

2532 We selected voxels from the reference dataset based on two criteria: (1) variance 2533 explained ( $R^2$ ) exceeded 30% and (2) the preferred tuning fell within the presented numerosity range (Figure 5.2B). Model predicted time series of the selected voxels in NPC1 were extracted as the reference model (Figure 5.2C). For each selected voxel, we extracted the time series of each individual run in the independent test datasets (Figure 5.2D). These time series were shuffled (n = 100) and averaged with increasing number of runs included to produce a new time series of the voxel (Figure 5.2E). By fitting the reference model with the averaged time series, we obtained the variance explained ( $R_{(n)}^2$ ) of the reference model as a function of increasing number of runs (Figure 5.2F).

We iterated this procedure 6 times for each field strength while splitting the data into different pairs of reference and test datasets. We averaged the results of each field strength to compare the model predictive power between the two field strengths as a function of number of runs. We then performed a linear fit of how many 3T runs are required to have the same variance explained of one 7T run. This procedure was done for each participant individually. Finally, an overall factor between 3T and 7T in terms of number of runs was obtained by averaging the linear fits across participants.





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Figure 5.2. Flowchart of the model-based analysis procedure comparing the predictive power between field strengths. (A) Eight functional runs (as one session) of either 3T or 7T were averaged and regarded as a reference dataset. (B) Numerosity modelling was performed for

each reference dataset. Voxels with more than 30% of the variance explained ( $R^2$ ) were selected. 2553 (C) The 'reference model' was extracted from each selected voxel. (D) The remaining 2554 individual functional runs were taken as independent test datasets, i.e., the 3T and 7T test data. 2555 (E) The test data was averaged with increasing number of runs to produce averaged time series 2556 2557 at 3T (grey dots) and 7T (black dots), respectively. (F) By fitting the averaged time series with 2558 the reference model, we calculated the variance explained of the reference model as a function of increasing number of runs  $(R_{(n)}^2)$ . We iterated this procedure 6 times while splitting the data 2559 into different reference and test datasets. 2560

2561

#### 2562 *Calculation of noise ceiling*

To quantify the maximum explainable variance given the noise in the data, we computed the noise ceiling (NC) (Lage-Castellanos, Valente, Formisano, & De Martino, 2019; Machens, Wehr, & Zador, 2004; Mante, Frazor, Bonin, Geisler, & Carandini, 2005). Specifically, we employed the method described by Machens et al. (2004). Briefly, we calculated the noise ceiling as the fraction of variance in the residual noise ( $\sigma_{\eta}^2$ ) over the variance in the response power ( $\sigma_s^2$ ):

$$NC = 1 - \frac{\sigma_{\eta}^2}{\sigma_s^2}$$
(1)

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This is basically the maximal variance explained given the noise in the data. The response power is defined as the average variance over the session ( $s_t$ ) with  $t = 1 \dots M$  time-points:

$$\sigma_s^2 = \left\langle \frac{1}{M} \sum_t s_t^2 \right\rangle \tag{2}$$

the variance in the residual noise is estimated as:

$$\sigma_{\eta}^{2} = \frac{n}{n-1} \left[ \left\langle \frac{1}{M} \sum_{t} s_{t}^{2} \right\rangle - \frac{1}{M} \sum_{t} \left\langle s_{t} \right\rangle^{2} \right]$$
(3)

where *n* indicates the number of sessions, angular brackets denote averaging over sessions. Basically, adding up the variance of independent sessions will include the noise in each session, while computing the variance after averaging the sessions will remove the noise between sessions. Their difference is an estimate of the residual noise. The assumptions behind this estimation are minimal: the noise should have zero mean and a non-infinite variance, and should be independent between sessions.

As we used one session as a reference dataset in the analysis, we computed the noise ceiling across sessions each consisting of 8 runs either at 3T or 7T. Since the number of voxels selected for further analysis varied based on the reference sessions (see Figure 5.2 of the analysis flowchart), the noise ceiling was calculated with the voxels selected based on different reference sessions in turn for each iteration. We averaged the noise ceiling of all the six iterations to have the noise ceiling of one session (i.e. 8 runs) at each field strength.

2587

#### 2588 Calculation of tSNR

Temporal SNR (tSNR) is defined on a voxel-wise manner as the ratio of the mean across time 2589 2590 divided by the standard deviation across time. To avoid bias by large response in active grey 2591 voxels, we calculated tSNR in white matter (WM) in addition to grey matter (GM). A whole-2592 brain WM mask was defined from the segmented anatomy for each participant. The ROI for 2593 calculating tSNR in GM is confined to the numerosity map NPC1. We calculated tSNR as the 2594 average tSNR across voxels in the WM mask and GM ROI of each individual run at 3T and 2595 7T, respectively. We reported the average tSNR across runs and participants at each field 2596 strength, respectively.

2597

# 2598 Comparing preferred numerosity and tuning width estimates at 3T and 7T

Pearson correlation analysis was performed between numerosity preference and tuning width 2599 2600 estimates derived from the 3T and 7T data. This included the voxels that had variance explained 2601 above 30% in the maps constructed using all the acquired 3T (24 runs) or 7T (16 runs) data, 2602 and the preferred tuning fell within the presented range. Taking into account the functional resolution of the recording sites, the total number of data points used to calculate correlation's 2603 2604 probability was reduced by the factor by which functional voxels were up-sampled onto the 2D cortical surface. We quantified the similarity between the pRF estimates at 3T and 7T by 2605 2606 dividing the subtraction of two estimates (e.g.,  $X_{7T}$  and  $X_{3T}$ ) by their mean, and converted to percentage:  $((X_{7T} - X_{3T}) / ((X_{7T} + X_{3T})/2) * 100\%$ . 2607

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## 2609 Validation analyses

In the model-based analysis we used the reference model and compared the variance explained to the test datasets at 3T and 7T. This analysis depends on the accuracy of the model. In order to perform a model-free analysis, we extracted the time series of the selected voxels (same criteria as in the model-based analysis) from the reference datasets as a reference time series. Analogous to the model-based analysis, the time series of each individual run in the test datasets (3T or 7T) were averaged with increasing number of runs to produce the averaged time series for each voxel. Applying with a Pearson correlation analysis, we obtained the correlation 2617 coefficients between the reference time series and the averaged time series from the test 2618 datasets as a function of increasing number of runs  $(r_{(n)})$ . This procedure is illustrated in 2619 Supplementary Figure 5.1.

Furthermore, we validated our results by performing both the model-based and modelfree analyses using all data points across the cortical depth (i.e., un-collapsed data), and using all the data points within the ROI, without any threshold.

2623

### 2624 **3. Results**

#### 2625 BOLD responses of numerosity-selective neural populations at 3T and 7T

2626 In Figure 5.3A, we show two examples of representative time series and models. The representative recording site was selected randomly from the 3T data points which has the 2627 2628 averaged variance explained among the selected voxels. We extracted the time series of this 2629 recording site from the datasets that averaging 24 and 16 runs at 3T and 7T, respectively. The 2630 7T time series (blue points) exhibits larger response amplitude than the 3T time series (red points). The two model predictions explain 61% and 77% of the variance in these time series 2631 2632 recorded at 3T (red line) and 7T (blue line), respectively. The higher percentage BOLD signal change and variance explained at 7T confirms the higher BOLD signal sensitivity and SNR at 2633 2634 ultra-high field. The pRF models with a particular set of parameters that best fitted to each time series are shown in Figure 5.3B. The peak response amplitude indicates the preferred 2635 2636 numerosity and the full width half maximum (FWHM) reflects the tuning width of the pRF of 2637 this voxel. At this example recording site, the preferred numerosity was slightly smaller and 2638 the tuning width was larger when estimated at the 3T data (upper panel) than at the 7T data 2639 (lower panel).



# 2641 Figure 5.3. Numerosity-selective neural population responses recorded at 3T and 7T. (A)

Example fMRI time series extracted from the 3T dataset (average of 24 runs; red points) and 2642 2643 7T dataset (average of 16 runs; blue points), respectively. Points represent mean response amplitudes and error bars represent standard error across four repeated stimulus cycles. 2644 2645 Coloured lines indicate the model predictions of the 3T (red) and 7T (blue) time series and  $R^2$ 2646 denotes the amount of variance explained by the model. (B) Profiles of the pRF models that best fitted the 3T (upper panel) and the 7T (lower panel) time series in A. The pRF model is 2647 described by a logarithmic Gaussian tuning function with two parameters: preferred 2648 2649 numerosity (pref num), indicated by the peak response amplitude, and tuning width, defined by the full width at half maximum (FWHM). Dash lines indicate numerosities outside the 2650 2651 presented stimulus range.

2652

2653 *Numerosity map is more reliably detected at 7T than at 3T* 

Figure 5.4 presents the reconstructed numerosity maps of participant 1 using increasing number 2654 2655 of runs acquired at 3T and 7T. Consistent with previous studies (Cai, Hofstetter, van Dijk, et 2656 al., 2021a; B. M. Harvey et al., 2013; Ben M. Harvey & Dumoulin, 2017a), we found numerosity-selective neural populations in the parietal cortex that are topographically 2657 2658 organized. We found a larger cortical extend above the variance explained threshold of 30% at 2659 the 7T (mean number of voxels  $\pm$  SE: 904  $\pm$  56) than the 3T data (695  $\pm$  28). The 3T data were 2660 noisier and therefore could not be adequately captured by the model. At both field strengths, 2661 as the number of runs increased, the noise reduced and the topographic maps became more 2662 robust.





Figure 5.4. The topographic numerosity maps become more robust with increasing 2664 2665 numbers of runs, both at 3T and 7T. (A) Anatomical rendering of the right cerebral cortex. Black frame outlines the region of interest (i.e. NPC1) in the intraparietal sulcus at the right 2666 hemisphere of participant 1. (B) Topographic maps of numerosity-selective neural populations 2667 at NPC1 (black box in A) reconstructed using data of 8 functional runs at the two 7T scanning 2668 2669 sessions, and all the runs across sessions (n=16). (C) Topographic maps reconstructed using 2670 data of the three 3T scanning sessions, and all the runs across sessions (n=24). Maps show 2671 preferred numerosities of cortical recording sites with over 30% of the variance explained. A larger cortical extend above the threshold at the 7T maps than the 3T maps. These maps become 2672 2673 more reliable and comparable at 7T and 3T, with increasing number of runs (right panels).

2674

As Figure 5.4 shows, the numerosity maps obtained at 3T were similar to those obtained 2675 2676 at 7T. This was also found for the other participants (Supplementary Figure 5.2). We then quantified the similarity between the preferred numerosity and tuning width estimates at the 2677 2678 two field strengths by a Pearson correlation analysis. The Pearson correlation analysis indicated 2679 that the numerosity preference estimates derived from the two field strengths were highly 2680 correlated (r > 70%) (Supplementary Figure 4.3A). However, this was not the case for tuning 2681 width (Supplementary Figure 5.3B). Overall, the preferred numerosity estimates at 7T were 2682 slightly higher than at 3T, while the tuning width estimates were broader when recorded at 3T (Supplementary Figure 5.3C). We speculate that the smaller tuning width at 7T maybe 2683

mediated by the larger sensitivity to smaller vessels (Duong et al., 2003; Yacoub et al., 2001),
and the small differences in preferred tuning maybe influenced by the tuning width. However,
given the sensitivity of tuning width estimates to algorithmic consideration, e.g., the HRF
estimations (Dumoulin & Wandell, 2008; Lerma-Usabiaga, Benson, Winawer, & Wandell,
2020) and data quality, we refrain from drawing too strong a conclusion.

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# 2690 One 7T run has four times the model predictive power of one 3T run

2691 Figure 5.5A shows the variance explained by the 7T reference model as a function of increasing 2692 number of runs of 3T and 7T data, together with the noise ceiling of the 7T data in one session 2693 (i.e. 8 runs, dashed lines). The variance explained increased as the number of runs increased at 2694 both field strengths. However, the increase in variance explained was faster at 7T than at 3T. 2695 In other words, more 3T runs were required to reach the same predictive power at 7T. 2696 Furthermore, the reference model always captured more variance of the 7T responses, thus the 2697 resulting variance explained was always higher than that at 3T. For example, averaging 24 3T runs ( $R^2 = 53\%$ ) still could not reach the same variance explained of averaging 8 7T runs ( $R^2$ 2698 2699 = 59%) for any of the participants.

- The model predictive power is constrained by the noise present in the actual response. To quantify the maximum explainable variance (in one session) given the noise in the data, we computed the noise ceiling (see Methods). As shown in Figure 5.5A, averaging 24 3T runs or averaging 8 7T runs always yielded a lower predictive power than the noise ceiling of one 7T session (8 runs).
- 2705 Next, we then calculated how many 3T runs were required to achieve the same model
  2706 predictive power as a function of the number of 7T runs (Figure 5.5B). On average, one 7T run
  2707 has 4 times the variance explained of one 3T run using the 7T reference model.



Figure 5.5. Quantification of field strength effects on pRF model predictive power as a
function of number of runs, using the reference model derived from 7T reference datasets.

2711 (A) The variance explained of the reference model as a function of increasing number of runs

2712 at 3T (red) and 7T (blue). Shaded areas indicate standard errors of the mean over iterations using different reference datasets (n=6). The noise ceiling (dashed line) with 95% confidence 2713 2714 intervals (grey bars) represents the maximum explainable variance (of one 7T session, i.e. 8 runs) given the noise in the data. (B) Linear fits of the number of runs required at 3T to have 2715 2716 equivalent model predictive power of one 7T run. Coloured-coded texts indicate the factor 2717 between 3T and 7T runs to achieve the same variance explained for each participant. On average, one 7T run has 4 times the model predictive power of one 3T run using the 7T 2718 2719 reference model (black).

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Similar results were obtained using the reference model derived from 3T reference 2721 2722 datasets (Supplementary Figure 5.4). Averaging the same number of 3T runs (n = 8) could not reach the noise ceiling of one 3T session. However, the predictive power on the 3T and 7T data 2723 2724 increased with increasing number of runs and ultimately outperformed the noise ceiling of 3T 2725 when more than 8 runs were included (Supplementary Figure 5.4A). When using the 3T 2726 reference model, the number of 3T runs to match the 7T data was smaller (Supplementary 2727 Figure 5.4B), likely due to the noisier data quality at 3T as indicated by the lower noise ceiling, and which likely also resulted in a noisier reference model. Overall, the 7T data had a higher 2728 2729 noise ceiling (mean  $\pm$  SD: 72%  $\pm$  2.6%) than the 3T data (55%  $\pm$  3.7%). These results suggest 2730 that 3T data is noisier and the benefits in model predictive power is due to improved data 2731 quality, rather than model accuracy.

Last, we found similar results using model-free analyses of voxel-wise time series correlation (Supplementary Figure 5.5), model-based analyses using un-collapsed data points (Supplementary Figure 5.6) and using all data points within NPC1, i.e. no thresholding (Supplementary Figure 5.7).

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### 2737 *Comparison of tSNR at 3T and 7T*

Figure 5.6 shows the tSNR maps of one example individual run at 3T (Figure 5.6A) and 7T (Figure 5.6B), and the averaged tSNR in white matter and grey matter across all runs and participants at the two field strengths (Figure 5.6C). On average, one 7T run has higher tSNR in white matter (mean  $\pm$  SE: 79  $\pm$  4) than that at 3T (72  $\pm$  2). However, at the cortical grey matter of the numerosity map (NPC1), on average, 3T has higher tSNR (74  $\pm$  4) than 7T (66  $\pm$ 6) in an individual run. The difference between cortical GM and WM tSNR likely reflects the

- 2744 increased contributions of stimulus-driven BOLD signal fluctuations and physiological noise
- present in cortex at 7T.



Figure 5.6. Comparison of tSNR at 3T and 7T. (A, B) Example tSNR maps of one 3T run 2747 (A) and one 7T run (B). Black lines outline the white matter (WM) mask determined from the 2748 segmented anatomy of the same participant. (C) The averaged tSNR of 3T and 7T in grey 2749 matter (GM) at the region of interest of the numerosity map NPC1 (grey bars) and WM (white 2750 bars) in a functional run. Error bars indicate the standard errors of the mean over all the 2751 2752 individual runs of all the participants. Overall, 7T has higher tSNR in WM, while 3T has higher 2753 tSNR in the task-related GM, which likely reflects the increased contributions of stimulus-2754 driven BOLD signal fluctuations and physiological noise present in the cortex at 7T.

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# 2756 Discussion

2757 We recorded BOLD responses of numerosity-selective neural populations in human parietal 2758 cortex at 3T and 7T, respectively. We used identical numerosity stimuli and tasks and a similar 2759 functional MRI sequence which we optimized for each field strength. We applied identical pre-2760 processing pipeline and analysed the data using biologically-inspired model-based analyses 2761 (Dumoulin & Wandell, 2008). Subsequently, we quantified the number of runs required to 2762 detect reliable numerosity maps at 3T, compared to 7T, for individual participants. Field 2763 strength effects on the functional data were examined using model predictive power. We were 2764 able to reconstruct the topographic numerosity maps in the intraparietal sulcus at 3T. However, the topographic maps derived from the 3T data were less reliable and required much more data 2765 2766 than typically acquired in the field. The numerosity maps at both field strengths became more 2767 reliable with increasing number of runs, though the rate of increase was higher at 7T. On 2768 average across participants, one 7T run had about 4 times the model predictive power of one 2769 3T run.

2770 To acquire comparable data at the two different field strengths, we utilized a GE EPI sequence that we optimized to ensure a good SNR and signal strength at both field strengths. 2771 Specifically, a voxel volume size of  $1.98 \times 1.98 \times 2.00 \text{ mm}^3$  was used at both fields. At 7T, the 2772 TE was close to the tissue  $T_2^*$  and hence optimum for BOLD contrast, while the TE at 3T (28) 2773 2774 ms) was relatively short compared to the grey matter  $T_2^*$  (Peters et al., 2007). Such a short TE 2775 is very widely used at 3T to allow acquisition of a higher number of slices in an achievable TR 2776 (Clare, 1997; Volz, Callaghan, Josephs, & Weiskopf, 2019). At both field strengths the FA was set close to the Ernst angle, and as a result, it was slightly higher at 3T (80°) than at 7T (70°). 2777 2778 Though obviously, these settings influence the results, we do not believe that they bias the 2779 results to favour one field strength over the other.

2780 Previous studies show that imaging at UHF provides a leap forward in both higher SNR and BOLD signal sensitivity. In the context of fMRI, the static image SNR reflects MRI signal 2781 2782 strength over the noise present in the image in the absence of signal. Pohmann et al., (2016) 2783 demonstrated that the image SNR showed a distinctly supralinear increase with field strength by a factor of  $3.10 \pm 0.20$  from 3T to 7T, and  $1.76 \pm 0.13$  from 7T to 9.4T over the entire 2784 2785 cerebrum. However, fMRI signals include contributions from thermal noise and correlated 2786 interference due to head motion, scanner instability and non-neuronal physiological noise 2787 arising from cardiac and respiratory fluctuations. As the magnetic field strength increases, the 2788 relative contribution of non-neuronal physiological noise is also increased (Triantafyllou et al., 2789 2005). If physiological noise contributions dominate over the thermal noise in the imaging 2790 voxel, the SNR is independent of signal strength (Krüger & Glover, 2001), resulting in a 2791 reduced ability to detect activation-induced signal changes. Although the physiological noise 2792 contribution is higher at UHF, the noise effect would be cancelled out when averaging multiple 2793 functional runs as the cardiac and respiratory signals are not task-locked. Thus, we believe that 2794 the data here presented after averaging over runs have only a small contribution from 2795 physiological noise sources.

Furthermore, magnetic field strength increase leads to significant increase of the BOLD contrast (Gati, Menon, U??urbil, & Rutt, 1997; Krasnow et al., 2003). The BOLD signal arises from the field inhomogeneity differences induced by the paramagnetic deoxyhaemoglobin in the capillaries and venous vessels and the surrounding tissue, which manifests as signal changes in the order of a few percent (Logothetis, 2002). This BOLD contrast scales approximately linearly with field strength. A quantitative analysis conducted by van der Zwaag et al., (2009) found that in brain tissue, the BOLD contrast (approximated by the relaxation rate change,  $\Delta R_2^*$ ) increases linearly with field strength (0.98 ± 0.08 at 3T and 2.55 ± 0.22 at 7T). Yacoub et al., (2001) found a supralinear field strength dependence of BOLD contrast that increased by a factor of 2.13 ± 0.23 when going from 4T to 7T. The resulting increase in BOLD contrast is of great benefit for fMRI studies and can be exploited to reduce the number of functional runs required to demonstrate robust activation.

2808 In the current study, the BOLD responses recorded at 7T benefit both from the 2809 increased SNR and augmented BOLD contrast, resulting in the factor of 4 times the number of 2810 3T runs required to achieve equivalent model predictive power of one 7T run. The factor of the 2811 number of 3T runs to match the 7T data was smaller using 3T data as reference compared to using 7T data as reference. We suspect this is due to the noisier data quality of 3T data. Noisier 2812 2813 data results in a pRF model that is less accurate, which in turn limits the amount of variance in the test data it can explain. The lower noise ceiling of 3T data than 7T data also indicate the 2814 2815 noisier data quality at 3T. Overall, the 7T data is less noisy than the 3T data, yielding the higher 2816 noise ceiling. However, taking into account the noise in the 3T data, the model predictive power 2817 is comparable between different field strength. In other words, relative to the noise ceiling, the 2818 pRF model is applicable and independent to field strengths. Furthermore, the similar results 2819 obtained from the model-free analysis suggest that the benefits of using less 7T runs to obtain 2820 robust numerosity maps is induced by data quality but not model accuracy.

2821 Akin to previous studies we found 7T has higher tSNR than 3T in white matter. The 2822 higher tSNR in white matter at 7T is related to the higher SNR at UHF as tSNR will increase 2823 with increasing image SNR, until a field-strength dependent plateau value is reached (Krüger 2824 & Glover, 2001; Triantafyllou et al., 2005). The tSNR is lower in grey matter (i.e., NPC1) at 2825 7T than 3T. This is likely caused by the larger (stimulus-driven) BOLD responses and higher 2826 physiological noise contributions at 7T. The different behaviour of tSNR in grey and white 2827 matter is more pronounced in this study because of the extra task-induced variance and the relatively short TE at 3T, e.g., compared to studies that fixed  $TE=T_2^*$  in a resting state 2828 acquisition (Triantafyllou et al., 2005). The discrepancy that the grey matter tSNR at 7T is 2829 lower than at 3T while the noise ceiling is higher, is due to the fact that task-induced BOLD 2830 fluctuations are 'signal' when calculating the noise ceiling, while they contribute to 'variance' 2831 2832 in the tSNR calculation.

This finding is in agreement with previous literature. To achieve a significant statistical power, many runs of a single participant and/or groups of participants are acquired at conventional field strength scanner (i.e. 3T). In such a way, noise present in fMRI time series 2836 is reduced when multiple runs are averaged together, which leads to a monotonic increase in statistical significance as increasing number of runs. Using a GO/NOGO task, Torrisi et al. 2837 (2018) compared GLM-based activation analyses and showed significant gains in statistical 2838 2839 power at 7T and fewer subjects were necessary at group level to match the same power at 3T. 2840 Gonzalez-Castillo et al. (2012) acquired 100 functional runs at each of the 3 participants at 3T 2841 and later performed a similar study at 7T (Gonzalez-Castillo et al., 2015), where much less 7T runs (~25 runs) were required to reach the same percent of grey matter voxels above a statistical 2842 2843 threshold (activated) as 100 3T runs could achieve. This is a similar factor of 4 times 2844 improvement as we suggest here. At UHF scanner (i.e. 7T), higher SNR and tSNR will reduce 2845 the number of runs required from a single participant to detect activation with an expected 2846 statistical power (Murphy, Bodurka, & Bandettini, 2007). We note that this is particularly 2847 relevant for computational neuroimaging, where signals of single voxels differ and are 2848 modelled separately. Furthermore, because the topographic map locations, size and 2849 orientations vary between participants (Dumoulin et al., 2000; B. M. Harvey et al., 2013; 2850 Wandell et al., 2007), averaging of participants in a common space is often not feasible.

2851 This study differs from other studies comparing field strengths dependence on BOLD 2852 signal in three aspects: first, we used a numerosity task that activated brain regions associated 2853 with high level cognition. This experimental design was the same as the paradigm initially used 2854 to uncover the topographic representation of numerosity at UHF (B. M. Harvey et al., 2013). 2855 Previous studies that compared the BOLD signal sensitivity between different field strengths mainly used simple tasks, e.g., flicker stimulation or finger tapping, to activate the primary 2856 2857 sensory and/or motor cortex (Duong et al., 2003; A. Geißler et al., 2014; Alexander Geißler et 2858 al., 2013; Schäfer et al., 2008). Only a few studies adopted high level cognitive tasks to 2859 compare lower field and UHF. For example, Jerde et al. (2008) compared the task-induced activation at 4T and 7T (Gourtzelidis et al., 2005) using a mental maze solving paradigm, and 2860 2861 Geißler et al. (2014) compared the language network with a standard overt language fMRI paradigm between 3T and 7T. Although there have been many studies comparing field 2862 2863 strengths performed by experts in physics and engineering (Alexander Geißler et al., 2013; 2864 Hutton et al., 2011; Li, 2013; Pohmann et al., 2016; Vaughan et al., 2001), additional empirical 2865 evidence using neurocognitive tasks may also aid the cognitive neuroscientist's decision to execute fMRI experiments at UHF (De Martino et al., 2018; van der Zwaag et al., 2016). 2866 2867 Second, rather than using conventional univariate analysis, such as GLM, we used a custom-2868 built computational pRF modelling. Though the pRF model is conceptually similar to GLM by 2869 taking the best model fit as the predictor in the design matrix, there are several advantages of

2870 using pRF model to quantify the field strength dependent effect on model predictive power. (i) The pRF model is an explicit computational model and is expressed in terms of input-referred 2871 parameters (Dumoulin & Wandell, 2008; Wandell & Winawer, 2015) such as locations in the 2872 visual field rather than in terms of a statistic of the fMRI time series. Compared to a GLM, the 2873 2874 pRF model characterizes the responses of neural populations that preferentially tuned to 2875 different stimuli (e.g., numerosities). The response differences to the presented numerosities could be converted into tuning functions, allowing for a comparison of the tuning parameters 2876 2877 (i.e., preferred numerosity and tuning width), and model predictive performance (i.e., variance 2878 explained) of the fMRI signals. (ii) Our approach was motivated by the anecdotal suggestion that numerosity maps as discovered by the pRF modelling at 7T could not be reproduced at 3T. 2879 2880 As such, the comparison between 3T and 7T became relevant for the pRF modelling. Though 2881 we show that we can reconstruct the numerosity maps at 3T, this requires much more data than 2882 typically acquired in the field. Last, we used model-based, model-free and other validation 2883 analyses, and these analyses showed similar results.

2884 One of the limitations of the current study is that we only have three participants, but 2885 each participant was scanned for three sessions at 3T and two sessions at 7T. We prefer 2886 scanning multiple sessions on fewer participants than scanning more participants with fewer 2887 sessions for several reasons. First, the aim of the current study is to investigate whether we 2888 could detect numerosity maps at 3T and quantify how many functional runs are required at 3T 2889 to reach equivalent model predictive power at 7T. Thus, for each individual participant, it is 2890 necessary to have more than one 3T session so as to have enough signal strength to compare 2891 to a 7T session. Second, pRF model is commonly used to map functionally specialized brain 2892 regions on individual participant, for example, numerosity maps in the intraparietal sulcus. We 2893 ran the model in the native space of each participant's cortical area thus it is not helpful to average these individual-specific cognitive maps across participants. Third, having more 2894 2895 sessions on the same participant would help to reduce the confounds of between-subject 2896 variability for comparing different field strengths. To counter-balance the variability of the 3T 2897 data collection on separate days, we also collected two 7T sessions on two days. Each session 2898 was used as the reference dataset in turn to reduce session-specific variability (Viessmann & 2899 Polimeni, 2021). Last, statistical power is a trade-off between number of trials per participant and number of participants (Baker et al., 2020). Studies with fewer participants but more trials 2900 2901 can have the same statistical power as more participants with fewer trials. Thus, we opt for 2902 collecting more sessions on the same participant rather than having one session on multiple 2903 participants.

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# 2905 Conclusion

With the increasing popular application of computational model in neuroimaging, UHF MRI brings tremendous advantages in advancing our understanding of the brain function, such as increased sensitivity and greater spatial resolution. This study brings out another benefits of UHF MRI and demonstrates higher model predictive power at UHF. These results suggest that future cognitive neuroscience studies may benefit from UHF by collecting less data and preserving strong statistical power. Thus, UHF functional MRI paves the way for individualized cognitive neuroscience.

Originally, with all the control experiments involved, it took about 5 hours of scanning at 7T per individual participant to discover the numerosity maps (B. M. Harvey et al., 2013). Based on the results we report here, it would have required around 20 hours per participant to uncover the numerosity maps at 3T, which would have made the initial discovery of numerosity maps at 3T highly unfeasible in practice. To sum up, UHF benefits cognitive neuroscience with higher SNR and BOLD sensitivity, and thus reduces the number of runs (trials) required to achieve reliable activation compared to lower field strength.

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# 2926 Competing interests

- 2927 The authors declare no competing interests.
- 2928

## 2929 Data and Code Availability Statement

2930 The code generated during this study is available in the Vistasoft repository2931 (<u>https://github.com/vistalab/vistasoft).</u>

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The datasets supporting the current study have not yet been deposited in a public repository because of participants privacy concerns, but are available from the corresponding author on request.

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2952	General discussion
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The present thesis focuses on numerosity perception and its underlying neural mechanisms in the human brain. We utilized methodological advances in neuroimaging and computational modelling, such as UHF MRI and pRF modelling, to examine the neural tuning of numerosity and thereby study several profound questions in the field.

2972 First, in Chapter 2, we tested two competing hypotheses on whether small and large 2973 numerosities are represented under two separate systems or a single mechanism. We 2974 demonstrated that topographic numerosity maps cover both the subitizing and estimation 2975 ranges, suggesting a single neural mechanism underlying small and large numerosities. 2976 Furthermore, as discussed below, these results also support the link between neural tuning and 2977 perception. Second, in Chapter 3, we investigated the role of attention in numerosity perception. 2978 We used three consecutive experiments to show that attention drives numerosity responses and 2979 that the neural populations displayed decreased responses when their preferred numerosities 2980 are shown but not attended (which would otherwise drive maximal response). Third, in Chapter 2981 4, we asked whether numerosity maps are also involved in symbolic number processing. We 2982 found that the numerosity maps at the temporal-occipital cortex also respond to numbers, supporting a link between numerosity and number symbol processing at the ventral stream. 2983 2984 Last, in Chapter 5, we assessed if the numerosity maps could be reconstructed at the current 2985 standard field strength of 3T MRI. We were able to detect the numerosity maps at 3T, though 2986 averaging more functional runs was required to reconstruct robust maps as compared to those 2987 at 7T. We further quantified that one 7T run had about four times the model predictive power 2988 of one 3T run, which makes the initially uncovering of the numerosity maps infeasible in 2989 practice. Overall, the findings in the current thesis establish links between neural tuning, with 2990 numerosity perception, attention and symbolic number processing, and contribute to the field 2991 in comparing MR systems at different field strengths. Here, we will discuss these results in 2992 more detail, together with a discussion regarding conceptual implications and future directions. 2993

#### 2994 Neural tuning underlies known numerosity perceptual phenomena

In Chapter 2 we demonstrated that small and large numerosities are represented continuously within the same maps, suggesting a single neural mechanism. Furthermore, our results suggest that the neural tuning properties, such as cortical magnification and tuning width, account for the known differential perception on subitizing and larger numerosities. Specifically, the quick and precise numerosity perception at the subitizing range can be explained by more cortical areas that are devoted to small numerosities and that neurons tuned to small numerosities have sharper tuning curves. 3002 Neural numerosity tuning can account for other perceptual phenomena, including the 3003 numerical distance and size effects (Tsouli, Harvey, et al., 2021). The tuning curves of neurons 3004 preferring numerically close numerosities (e.g., 8 and 9) overlap more than those preferring 3005 numerically distant numerosities (e.g., 4 and 8), resulting in more similar responses and thereby 3006 more difficult to discriminate (the numerical distance effect). As shown in Chapter 2, the tuning 3007 width increases progressively with increasing numerosity. Therefore, at a given numerical distance (e.g., a difference of 1), the tuning curves of neurons preferring larger numerosities 3008 3009 (e.g., 8 and 9) overlap more than those preferring smaller numerosities (e.g., 3 and 4), resulting 3010 in less discriminable responses (the numerical size effect).

3011 In addition, neural numerosity tuning can explain perceptual aftereffects of adaptation. 3012 Psychophysical studies have demonstrated that numerosity perception is highly susceptible to adaptation: adapting to a low numerosity leads to an overestimation of a numerosity 3013 3014 subsequently presented, whereas adapting to a high numerosity leads to an underestimation (D. 3015 C. Burr, Anobile, & Turi, 2011; D. Burr & Ross, 2008; Tsouli, Dumoulin, te Pas, & van der 3016 Smagt, 2019). For example, after adapting to the numerosity of 20, the subsequently presented 3017 numerosity of 10 will be subjectively perceived as the numerosity of 9, i.e., an underestimated 3018 bias. Recently, colleagues and ourselves provided evidence that adaptation to visual 3019 numerosity changes neural numerosity selectivity (Tsouli, Cai, et al., 2021). We speculated 3020 that the neural tuning can explain this phenomenon by viewing the perceptual consequence as 3021 the sum of responding neurons' preferred numerosity states, weighted by those neurons' response amplitude levels ((Clifford, Wenderoth, & Spehar, 2000; Tsouli, Harvey, et al., 2021). 3022 3023 Repeated stimulation with a specific adapter numerosity (i.e. 20) suppresses the responses of a 3024 population of neurons depending on the amplitude of their responses to the adapter stimulus. 3025 Specifically, the suppressive responses maximize at neurons preferring the adapter numerosity, 3026 and decline at neurons preferring numerosities that are numerically distant to the adapter 3027 numerosity (i.e. <10). Thus, the population responses to numerosities near the adapter will be 3028 biased away from the adapter, accounting for a repulsive shift.

Moreover, neural tuning also underlies interactions between different quantities and sensory modalities. Previous studies have shown overlapping brain activation evoked by quantity perception in different sensory modalities (Anobile, Arrighi, Togoli, & Burr, 2016b; Eger et al., 2003) and also perceptual interactions between quantity dimensions (Arrighi, Togoli, & Burr, 2014), suggesting a common neural mechanism for different quantities (A. Nieder, 2012). However, colleagues and ourselves have recently found that while topographic maps of different quantities, including object size (Ben M. Harvey et al., 2015), timing (Ben M. Harvey et al., 2020) and haptic numerosity (Hofstetter et al., 2021), spatially overlap in the cortex, they are all comprised of distinct neural populations (Tsouli, Harvey, et al., 2021). This finding suggests that observed commonalities in neural and behavioral representation between quantities are not accounted for by a common neural representational code across quantities, but by the interaction of spatially intermingled neural populations which are independently tuned to different quantities and modalities.

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## 3043 Attention is a necessary ingredient to elicit numerosity selective response

3044 In the primary sensory cortex, attention usually gains responses by boosting the neural 3045 responses of neurons preferring the attended location or feature, at the expense of neural 3046 responses to other spatial positions or other features (Martinez-Trujillo & Treue, 2004; 3047 McAdams & Maunsell, 1999b; O'Craven et al., 1997). Convergent evidence from 3048 psychophysical (e.g. adaptation) (D. Burr & Ross, 2008), neuroimaging (e.g. topographic maps) 3049 (B. M. Harvey et al., 2013) and computational research (Kim et al., 2021; Nasr et al., 2019; 3050 Stoianov & Zorzi, 2012) indicates that numerosity is a primary attribute, akin to color and 3051 orientation, etc. However, unlike responses in the early visual cortex, which happen whenever 3052 the preferred stimulus is shown, we have found that both bottom-up and top-down processing 3053 appear necessary to drive numerosity responses (Chapter 3). Former studies showed that these 3054 two processes interact in humans to control attention and modulate neural responses to target 3055 stimuli (Carrasco, 2011; Corbetta & Shulman, 2002). Of note, in our study the top-down attentional control is directed to the stimulus, but not necessarily to the numerosity feature of 3056 3057 the stimulus. Many previous studies have found numerosity-selective responses with tasks that 3058 did not ask for attention directed towards the numerosity feature (B. M. Harvey et al., 2013; 3059 Viswanathan & Nieder, 2013), and even with orthogonal tasks that directed attention away from numerosity feature (Castaldi et al., 2019). However, in all of these studies, participants 3060 3061 performed some tasks and thereby always attended the stimulus itself. Furthermore, we found 3062 that in the absence of attention, neural populations tuned to the numerosities in the unattended 3063 set displayed suppressive responses. These results suggest that top-down attentional control 3064 gates numerosity responses by selectively modulating sensory processing of numerosity targets 3065 (Hopfinger, Buonocore, & Mangun, 2000).

3066 Our study focuses on how the neural response amplitude is influenced by attentional 3067 modulation, but whether attention alters numerosity selectivity remain unknown. It is up to 3068 future studies to explore whether attentional modulation alter the tuning properties, such as the 3069 selectivity and tuning width, of the neural populations. Previously, our colleagues have 3070 demonstrated that spatial attention attracts pRF to the preferred positions systematically across the entire visual field, using a model incorporating a stimulus-driven receptive field (represents 3071 neural populational selectivity in the absence of attention) and an attention field (represents 3072 3073 attention's influences and is centered at the attended location) (Klein, Harvey, & Dumoulin, 3074 2014). In consistent with these findings, colleagues and ourselves found adaptation alters 3075 numerosity selectivity (Tsouli, Cai, et al., 2021). Thus, we speculate that attention would 3076 change neural response selectivity of numerosity-tuned neurons throughout the numerosity 3077 maps in a similar manner. It is up to future studies to apply computational models that 3078 conceptualizes attention's influence (i.e., the attention field) and its interactions with the 3079 stimulus-driven neural responses properties.

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#### 3081 Overlap, but distinct neural populations tuned to numerosity and number

3082 The very first study investigating the functions of the numerosity maps is presented in Chapter 3083 4, where we found that the NTO maps in both hemispheres respond to symbolic numbers, but 3084 that only the one in the left hemisphere also show tuned responses. These findings are in 3085 agreement with previous studies that link numerosity and symbolic number processing 3086 (Libertus, Feigenson, & Halberda, 2011; Andreas Nieder, 2020a; Piazza et al., 2007), and with 3087 laterality biases for number processing in the left side of the human brain (Ansari & Dhital, 3088 2006; Venkatraman, Ansari, & Chee, 2005). However, we did not observe a correlation 3089 between the preferred numerosity and preferred number estimates, suggesting that distinct neural populations respond to different number formats. This is in line with the recent 3090 3091 observation on single neuron recordings in epilepsy patients (Kutter et al., 2018). Akin to the 3092 observation of the spatially overlapping representations of different quantities and modalities 3093 (Tsouli, Harvey, et al., 2021), as discussed above, we speculate that the neural tuning underlies the behavioural interactions between numerosity perception and symbolic number processing. 3094 3095 Several reports indicate that numerical education and numerosity perception interact 3096 (Butterworth, 2018). Therefore, numerosity tuning may be influenced by education, and that 3097 neural tuning may undergo further refinement during developmental stages (Ansari, 2008; 3098 Butterworth, 2018; Halberda & Feigenson, 2008).

Moreover, we also found activations elicited by symbolic numbers in the vicinity of the numerosity maps. As it was out of the scope of this study, we did not perform further analyses on these parts of the data. It is up to future studies to examine these responses and what is the organization of number-selective neural populations, i.e. whether number-tuned neural populations are also organized as topographic maps. 3104

#### 3105 The BOLD signals and neural population responses

There are important factors to consider when attempting to translate fMRI finding to 3106 constituent biophysical mechanisms (Logothetis, 2002; Logothetis & Wandell, 2004). 3107 3108 Numerosity selectivity in this thesis was determined by fitting a pRF model to fMRI BOLD 3109 data within a recoding site (i.e. voxel). As such, the numerosity preference is the aggregate of the receptive fields of all neurons within a fMRI voxel, namely, the averaged preferred 3110 3111 numerosity of a neural population. Therefore, the heterogeneous contribution from different 3112 neurons of the populations at the same voxel may give a different overall response, indicated 3113 by different preferred numerosity estimates depending on the presented numerosity stimuli, 3114 though the neural tuning of single neuron remains stable (Chapter 2).

3115 Another related effect on the preferred numerosity estimates is the voxel size. A larger 3116 voxel will have more heterogeneous neurons, which will result in changes in the overall tuning, 3117 typically to a biased preferred numerosity by averaging more neural populations' tuning 3118 estimates. A novel and recent development in fMRI technique of recording BOLD responses 3119 at very high spatial resolution (i.e. < 1mm) (Ress, Glover, Liu, & Wandell, 2007) might help 3120 to resolve this issue. At such resolution, voxels can also be assigned to different lamina within 3121 the cortical gray matter (Fracasso, Petridou, & Dumoulin, 2016; van Dijk, Fracasso, Petridou, 3122 & Dumoulin, 2020). As feedforward and feedback signals are processed differently across the 3123 lamina, this method could be used to separate feedforward and feedback processing signals of numerosity responses (van Dijk, Fracasso, Petridou, & Dumoulin, 2021). Although there are 3124 3125 many technical challenges, such as requiring very precise alignment between functional and 3126 anatomical volumes and dealing with tiny neurovascular coupling across the lamina, this 3127 method provides a great promise in the neuroscience fields towards imaging at the human 3128 mesoscopic scale (Dumoulin, Fracasso, van der Zwaag, Siero, & Petridou, 2018). Thus, it is 3129 up to future studies to further explore numerosity neural representation at a finer resolution, 3130 across gray laminar and columnar.

One also needs to be cautious when interpreting the suppressive responses elicited by the preferred but unattended numerosity as a 'negative' BOLD response (Chapter 3). As the BOLD responses reflect input and intracortical processing rather that pyramidal cell output activity (Barbieri, Mazzoni, Logothetis, Panzeri, & Brunel, 2014), it is difficult to infer a suppressive response from the observed modulation of BOLD activity. In the context of our findings, negative responses indicate decreased response relative to the baseline response amplitudes where a zero response was predicted. It is up to future studies to examine this effect using optimized computational models, such as difference-of-Gaussian model (Zuiderbaan,
Harvey, & Dumoulin, 2012), compress spatial summation (CSS) model (Kay, Winawer, Mezer,
& Wandell, 2013), or normalization model (Aqil, Knapen, & Dumoulin, 2021) that have been
applied in visual field mapping.

3142 Last, in Chapter 5, we compared numerosity maps at 3T and 7T. To compensate for the 3143 data quality at the lower field strength, we used an isotropic resolution of about  $2^3$  mm, which 3144 is far from the optimal spatial resolution at the UHF (Peters et al., 2007; Triantafyllou et al., 3145 2005; van der Zwaag et al., 2009). Compared to 7T, the BOLD signals at 3T have lower SNR 3146 and sensitivity, thus much more data points (trials) are required to reconstruct numerosity maps 3147 at 3T (Baker et al., 2021). Moreover, our findings show that the numerosity tuning properties, e.g., numerosity preference and tuning width, remain stable at different field strengths. This is 3148 3149 in line with our recent study using an alternative fMRI data acquisition technique, i.e. recording 3150 vascular space occupancy (VASO) signals based on changes in cerebral blood volume (CBV), 3151 to reconstruct the visual field maps (Oliveira et al., 2022). These results suggest that the 3152 vascular component of pRF tuning response is not dominating in either VASO-CBV or BOLD 3153 signals, and that pRF model provides a great promise to characterize tuning properties of 3154 numerosity-selective neural populations with variable data measurements.

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# 3156 Conclusions

3157 In conclusion, we examined in this thesis the neural mechanism of numerosity perception and 3158 the links between neural tuning and perception by combining ultra-high field fMRI recordings 3159 with biological-inspired modelling analyses. We found that neural populations tuned to small 3160 and large numerosities are ordered in the same topographic maps, suggesting a single processing mechanism. These results are suggested that numerosity neural tuning properties 3161 3162 can account for the well-documented perceptual differences in the subitizing and estimation 3163 ranges. We demonstrated that attention to the stimulus is essential to drive numerosity selective 3164 responses. By acting as a top-down control mechanism, attentional selection modulates neural 3165 responses to attended information at the expense of information that is not attended. 3166 Specifically, numerosity-tuned neural populations respond maximally to attended stimulus 3167 with their preferred numerosity, and suppress responses when their preferred numerosity was 3168 not attended. We found that numerosity maps at the ventral occipital-temporal cortex also 3169 implant symbolic numbers, indicating links between numerosity perception and symbolic 3170 numerical cognition. Finally, we demonstrated that numerosity maps are detectable using high

3171	field fMRI at 3T, however, much more data is required than at 7T. This result suggests that
3172	ultra-high field MRI systems operating at 7T and above would pave the way for individualized
3173	cognitive neuroscience, such as to map functionally specialized brain regions on individual
3174	participants.
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Supplementary Figure 2.1. Visualization of numerosity maps of all participants and 3207 relationship of large numerosity preference at the large and large-control ranges. (A) 3208 3209 Illustration of the large-control range. (B) Visualization of numerosity maps of the small, large 3210 and large-control ranges. Cortical surface rendering of the right hemisphere of all the participants show a constant and similar network of numerosity maps at both the small and the 3211 3212 large ranges. However, stimulating only with large numerosities (>7, panel **a**), reveals only part of the maps. Only preferred numerosities are shown where the model explained over 30% 3213 3214 of response variance within the recording site. Black lines outline individual numerosity maps. 3215 The boarders of the lowest to the highest preferred numerosity in each map are marked by white lines. (C) Numerosity maps with more neural populations tuned to large numerosities 3216 3217 have more responses elicited by the large-control range. Given the cortical magnification, the 3218 numerosity maps have few responses to large numerosities, and thus most of the maps show 3219 little responses to the large-control range. Source data are provided as a source data file.



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3221 Supplementary Figure 2.2. Correlations between numerosity preferences estimated from 3222 small and large ranges indicate similar numerosity selectivity among individual maps and 3223 participants (P1–P8). Dots show the estimates from individua recording sites (variance 3224 explained > 30%), coloured lines indicate the best linear fits between the two estimates, the 3225 dashed line shows unity (i.e. identical estimates).



**Supplementary Figure 2.3.** Cortical progression with numerosity preference estimated from viewing small and large ranges in individual maps of all participants (P1–P8). Preferred numerosities increase systematically for both conditions. Points represent the mean preferred numerosity in each distance bin (every 2mm interval), with error bars showing the standard errors of the mean over all data points with each bin.



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**Supplementary Figure 2.4.** Tuning width progresses with preferred numerosity of all maps and all participants. Points represent the mean tuning width in each bin, error bars represent the standard error of the mean over all data points in each bin. Solid lines are the linear fit to the bins, weighted by the inverse of the standard error of each bin. Dashed lines represent 95% confidence intervals determined by bootstrapping fits to the binned points (n = 1000). P-values give the probability of the observed change from permutation analysis (n = 10000).



3240 Supplementary Figure 2.5. The cross validation datasets show near identical results. (A) Participant1's NTO numerosity preferences estimated from an example pair of the cross 3241 validation datasets (e.g. small-odd vs. large-odd) were strongly correlated. Dots show the 3242 estimates from individual recording sites survived from all cross validations iterations (n = 8, 3243 3244 variance explained > 30%), the blue line shows the linear fit between the two estimates, the dashed line shows unity (i.e. identical preferences). (B) Bars show the mean cross-validated 3245 percentage deviations for each participant; error bars show the standard errors of the mean over 3246 maps (n = 6). Only participant 6 has a significant higher deviation from the unity line than 3247 3248 other participants (two-way ANOVA analysis, followed by post hoc analysis, Bonferroni 3249 corrected for multiple comparison; F(7,47) = 23.1, \* indicates  $p = 2.3 \times 10^{-11}$ ). (C) Progression 3250 of numerosity preferences estimated from the split dataset of the large range as a function of 3251 normalized cortical distance in all numerosity maps, across all participants. The black line shows the best logarithmic fit that bins the data points from all the maps across normalized 3252 3253 cortical distance. (**D**) Tuning width increases with preferred numerosity in participant1's NTO 3254 map averaged by the two split datasets of the large range. Recording points are bins based on 3255 preferred numerosity. Points represent the average of the mean tuning width within each bin across the two split datasets, error bars represent the standard errors of the mean over the two 3256 split datasets. Solid line is the linear fit, weighted by the inverse of the standard deviation of 3257 each bin. (E) Linear fits of tuning width against preferred numerosity of all the numerosity 3258 maps averaged across the two splits of the large range, across participants (coloured lines) and 3259 3260 across maps (black line). In panel C - E: dashed lines represent 95% confidence intervals of 3261 the fits (coloured lines) to the binned points determined by bootstrapping (n = 1000). P-value gives the probability of the observed change from permutation analysis (n = 10000). Source 3262 3263 data are provided as a source data file. 3264

## 3266

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3268 Supplementary Table 3.1. Task performance of individual participants in Experiment 1

**Appendix B** 

Supplementary materials for Chapter 3

Participant (#)	Asymmetry level (#)	Attend black: d' (mean $\pm$ std)		Attend white: d' (mean $\pm$ std)	
		attended	unattended	attended	unattended
P1	0.1	$3.4 \pm 0.4$	$0.8 \pm 0.2$	$3.0\pm0.5$	$1.0 \pm 0.3$
P2	0.2	$2.8 \pm 0.5$	$1.0 \pm 0.3$	$2.9 \pm 0.4$	$1.0 \pm 0.4$
P3	0.2	$3.6 \pm 0.4$	$0.8 \pm 0.1$	$3.3 \pm 0.4$	$0.8 \pm 0.2$
P4	0.2	3.1 ± 0.5	$0.8 \pm 0.2$	3.0 ± 0.4	0.7 ± 0.2
Averaged	na	$3.2\pm0.5*$	$0.9\pm0.2$	$3.0 \pm 0.5*$	$0.9\pm0.3$

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Note: Asymmetry level indicates the degree of oval of the dot shape and 0 suggests the dot wasshown in circle. Pair *t*-test was performed to demonstrate the different task performances

between the attended and unattended sets, in a given condition. \*, p < 0.05.



3274 Supplementary Figure 3.1. Visualization of topographic numerosity maps derived from 3275 the two attentional conditions of all participants. Cortical surface rendering of both left (LH) 3276 and right hemispheres (RH) shows a similar network of numerosity maps. Maps show preferred numerosities of cortical recording sites, estimated from responses to the numerosities in the 3277 attended sets (black or white dots), with over 30% of the variance explained by the numerosity 3278 3279 pRF model. Black lines outline the lateral borders of individual maps. White lines denote the 3280 lowest and the highest preferred numerosities at each map. See Figure 3.2D for maps on the right hemisphere of Participant 1. 3281



**Supplementary Figure 3.2. Behavioural performances of Experiments 2 & 3.** Task performance was evaluated as discriminability indices (d') in the 'attend black' (circles) and 'attend white' (triangles) conditions. Filled markers denote detection performance on the attended set, i.e., hits, and open markers on the unattended set, i.e., false alarms. \* indicates *p* < 0.00001 by paired *t*-tests (Experiments 2:  $t_{ab} = 15.6$ ,  $p_{ab} = 7.6 \times 10^{-9}$ ;  $t_{aw} = 12.7$ ,  $p_{aw} = 6.4 \times 10^{-3}$ **8**; Experiment 3:  $t_{ab} = 12.1$ ,  $p_{ab} = 1.0 \times 10^{-7}$ ;  $t_{aw} = 10.5$ ,  $p_{aw} = 4.3 \times 10^{-7}$ ).

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**Supplementary Table 4.1.** Task performance of detecting targeted non-symbolic numerals

Appendix C

Supplementary materials for Chapter 4

Participants	Number of	Target detection	d'	
(#)	runs (#)	Catch trials	Embedded trials	
P1	16	70	88	3.7
P2	15	80	92	3.4
Р3	17	95	96	4.2
P4	16	88	92	3.7
P5	16	78	96	3.5
P6	16	77	93	3.4
P7	16	95	98	4.3
Average	16	83	94	3.7

**Supplementary Table 4.2.** MNI coordinates of NTO map of individual participants

	Montreal Neurological Institute coordinates (x, y, z)		
(#)	Left hemisphere	Right hemisphere	
P1	(-35, -80, -8)	(35, -72, -8)	
P2	(-47, -60, -9)	(42, -71, -11)	
Р3	(-39, -70, -6)	(44, -71, -5)	
P4	(-42, -70, -1)	(40, -74, -5)	
P5	(-36, -71, -12)	(40, -81, -7)	
P6	(-42, -61, -15)	(41, -73, -14)	
P7	(-39, -56, -7)	(36, -77, -2)	



3315

3316 Supplementary Figure 4.1. Cortical rendering of numerosity maps of all individual

3317 participants (akin to Figure 4.2). Black lines outline the edge borders of individual

3318 numerosity maps and white lines denote the lowest and highest preferred numerosities in

ach map. The map of preferred numerosity estimates is thresholded at a variance explained

- 3320 of 30%. LH, left hemisphere. RH, right hemisphere.
- 3321
- 3322



**Supplementary Figure 4.2. Stimulus-driven responses to symbolic numbers at numerosity maps for all individual participants (akin to Figure 4.3A).** The result of all participants of the GLM analysis which contrasted the responses to the number of "0" (blue colors) and "1-7" (yellow-red colors). Lower panel shows the ventral view of the cortical surface where the responses to symbolic numbers overlap with the NTO map. Only recording sites (i.e. voxels) where the variance explained (R<sup>2</sup>) by the GLM exceeding 30% were projected on the cortical surface.



3347 Supplementary Figure 5.1. Flowchart of the model-free analysis procedure comparing 3348 the predictive power between field strengths. (A) Eight functional runs (as one session) of 3349 either 3T or 7T were averaged and regarded as a reference dataset. (B) Numerosity modelling 3350 was performed for each reference dataset. Voxels with more than 30% of the variance 3351 explained  $(R^2)$  were selected. (C) The 'reference time series' was extracted from each selected voxel. (D) The remaining individual functional runs were taken as independent test datasets, 3352 i.e., the 3T and 7T test data. (E) The test data was averaged with increasing number of runs to 3353 produce averaged time series at 3T (grey dots) and 7T (black dots), respectively. (F) Pearson 3354 correlation coefficients of the 'reference time series' and the averaged time series derived from 3355 the test datasets, was calculated as a function of increasing number of runs  $(r_{(n)}^2)$ . We iterated 3356 3357 this procedure 6 times while splitting the data into different pairs of reference and test datasets.



3359 Supplementary Figure 5.2. Topographic numerosity maps of the other two participants 3360 at 3T and 7T. (A, D) Anatomical rendering of the right cerebral cortex. Black frames outline 3361 the region of interest (NPC1) in the intraparietal sulcus at the right hemisphere of participants 2 and 3, respectively. (B, E) Topographic maps of numerosity-selective neural populations at 3362 3363 NPC1 (black box in A/D) reconstructed using data of 8 functional runs at the two 7T scanning 3364 sessions, and all the runs across sessions (n=16). (C, F) Topographic maps reconstructed using 3365 data of the three 3T scanning sessions, and all the runs across sessions (n=24). Maps show 3366 preferred numerosities of cortical recording sites with over 30% of the variance explained. A 3367 larger cortical extend above the threshold at the 7T maps than the 3T maps. These maps become 3368 more reliable and comparable at 7T and 3T, with increasing number of runs (right panels).



Supplementary Figure 5.3. The similarity between preferred numerosity and tuning
width estimated at 3T and 7T. (A) The numerosity preferences estimated at 3T and 7T are
highly correlated, suggesting the similar numerosity tuning at the two field strengths. (B)
Moderate correlation between tuning widths estimated at the two field strengths. (C) Overall,
the preferred numerosity estimates are slightly higher at 7T, while the tuning width is broader
at 3T, except for participant 2.



Supplementary Figure 5.4. Quantification of field strength effects on pRF model predictive power as a function of number of runs, using the reference model derived from 3T reference datasets. (A) The variance explained of the reference model as a function of increasing number of runs at 3T (red) and 7T (blue). Shaded areas indicate standard errors of the mean over iterations using different reference datasets (n=6). The noise ceiling (dashed line) with 95% confidence intervals (grey bars) represents the maximum explainable variance (of one 3T session, i.e., 8 runs) given the noise in the data. (B) Linear fits of the number of runs required at 3T to have equivalent model predictive power of one 7T run. Coloured-coded texts indicate the factor between 3T and 7T runs to achieve the same variance explained for each participant. On average, one 7T run has 2.5 times the model predictive power of one 3T run using the 3T reference model (black).



3403 Supplementary Figure 5.5. Results of the model-free analyses. (A) Pearson correlations as a function of increasing number of runs at 3T (red) and 7T (blue), using 7T reference time 3404 3405 series. Shaded areas indicate standard errors of the mean over iterations using different 3406 reference datasets (n=6). The noise ceiling (dashed line) with 95% confidence intervals (grey 3407 bars) represents the maximum explainable variance (of one 7T session, i.e., 8 runs) given the 3408 noise in the data. Linear fits of the number of runs required at 3T to have equivalent correlation 3409 coefficient of one 7T run. Coloured-coded lines and texts indicate the factor between 3T and 7T runs to achieve the same correlation coefficient for each participant, and the black ones 3410 3411 indicate average across participants. (B) Pearson correlations as a function of increasing 3412 number of runs at 3T and 7T, using 3T reference time series. The noise ceiling denotes the maximum explainable variance (of one 3T session, i.e., 8 runs) given the noise in the data. 3413 3414 Other symbol representations as denoted in **a**. These results are in agreement with the results of the model-based analyses shown in Figure 5.5 & Supplementary Figure 5.4. 3415



Supplementary Figure 5.6. Results of the validation analyses using all data points across
cortical depth. (A) Results of the model-based analyses, using 7T reference model derived
from reference datasets. (B) Results of the model-based analyses, using 3T reference model.
(C) Results of the model-free analyses, using 7T reference time series. (D) Results of the
model-free analyses, using 3T reference time series. These results confirm with the main results.



Supplementary Figure 5.7. Results of the validation analyses using all data points within
NPC1, without any thresholding. (A) Results of the model-based analyses, using 7T
reference model derived from reference datasets. (B) Results of the model-based analyses,
using 3T reference model. (C) Results of the model-free analyses, using 7T reference time
series. (D) Results of the model-free analyses, using 3T reference time series. These results
confirm with the main results.

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4214 4215	Curriculum Vitae
4216	Yuxuan Cai was born on 30th August, 1990 in Shantou, China. In 2013 she attained her
4217	Bachler's degree of Arts at Guangzhou University of Chinese Medicine, with the major of
4218	English. In 2017 she completed the postgraduate program with the major of Basic Psychology
4219	for Master's degree in South China Normal University. During her master she was awarded a
4220	scholarship to pursue her study in the University of New South Wales as a joint Master student.
4221	The awardee was selected through a rigid academia evaluation process organized by South
4222	China Normal University in 2016. Between 2017-2021 she was awarded a scholarship under
4223	the State Scholarship Fund to pursue her study in the Vrije Universiteit Amsterdam as a PhD
4224	student, under supervision of prof. dr. S. O. Dumoulin and dr. S. Hofstetter. The awardee was
4225	selected through a rigid academia evaluation process organized by the China Scholarship
4226	Council (CSC) in 2017.
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4248	List of publications
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4252	Cai, Y., Hofstetter, S., van Dijk, J., Zuiderbaan, W., van der Zwaag, W., Harvey, B. M., &
4253	Dumoulin, S. O. (2021). Topographic numerosity maps cover subitizing and estimation ranges.
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4284	selective neural responses. Vision Science Society. (online talk)
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